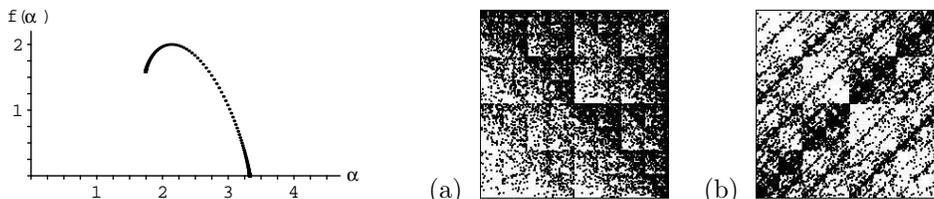


Fifth homework set solutions

1. From the  $f(\alpha)$  curve we see  $f(\alpha_{\max}) = 0$ , so the maximum value of  $\alpha$  occurs on a set of dimension 0, a point, for instance. Also,  $f(\alpha_{\min})$  looks like about  $\log(3)/\log(2) \approx 1.6$ , so the minimum value of  $\alpha$  occurs on a gasket. In (a) we see addresses 2, 3, and 4 are about equally filled, and more filled than address 1, suggesting  $\text{prob}_1 < \text{prob}_2 = \text{prob}_3 = \text{prob}_4$ . In (b) we see addresses 1 and 4 are about equally filled, and addresses 2 and 3 are about equally filled, and less than 1 and 4. This suggests  $\text{prob}_2 = \text{prob}_3 < \text{prob}_1 = \text{prob}_4$ . Recalling that so far as the  $r$  values are the same, the maximum  $\alpha$  corresponds to the minimum probability and the minimum  $\alpha$  corresponds to the maximum probability, we see the multifractal (a) is better described by this  $f(\alpha)$  curve



2. Consider the multifractal generated by this IFS.

$r$	$s$	$\theta$	$\varphi$	$e$	$f$	prob
1/3	1/3	0	0	0	0	3/20
1/3	1/3	0	0	1/3	0	3/20
1/3	1/3	0	0	2/3	0	3/20
1/3	1/3	0	0	0	1/3	3/20
1/3	1/3	0	0	1/3	1/3	3/20
1/3	1/3	0	0	2/3	1/3	3/20
1/3	1/3	0	0	0	2/3	1/30
1/3	1/3	0	0	1/3	2/3	1/30
1/3	1/3	0	0	2/3	2/3	1/30

(a) This IFS generates a fractal composed of  $N = 9$  pieces, each scaled by  $r = 1/3$ , so having dimension  $\log(9)/\log(3) = 2$ . This is the maximum value of  $f(\alpha)$ .

(b) Because all the scaling factors are the same,  $1/3$ , the maximum  $\alpha$  corresponds to the minimum probability. This occurs for the fractal generated by the last three transformations, a fractal having dimension  $\log(3)/\log(3) = 1$ . That is,  $f(\alpha_{\max}) = 1$ .

(c) Similarly, the minimum value of  $\alpha$  occurs for the fractal generated by the first six transformations, a fractal with dimension  $\log(6)/\log(3)$ . That is,  $f(\alpha_{\min}) = \log(6)/\log(3)$ .

3. (a) The maximum dimension occurs if at every stage  $r_1 = r_2 = 1/2$ . If this happens, the result is a fractal made of  $N = 2$  pieces, each scaled by  $r = 1/2$ ,

and so of dimension  $\log(2)/\log(2) = 1$ . The minimum dimension occurs if at every stage  $r_1 = r_2 = 1/4$ . If this happens, the result is a fractal made of  $N = 2$  pieces, each scaled by  $r = 1/4$ , and so of dimension  $\log(2)/\log(4) = 1/2$ .

(b) In order to have the maximum dimension, at each stage we must have  $r_1 = 1/2$  and  $r_2 = 1/2$ . The first has probability  $1/2$ , the second has probability  $1/4$ , so at each stage  $r_1 = r_2 = 1/2$  occurs with probability  $(1/2) \cdot (1/4) = 1/8$ . Assuming the choices from stage to stage are independent of one another, the probability of finding  $r_1 = r_2 = 1/2$  in  $n$  stages is  $(1/8)^n$ . As  $n \rightarrow \infty$ ,  $(1/8)^n \rightarrow 0$ , do the probability of obtaining a fractal of dimension 1 from this construction is 0.

In order to have the minimum dimension, at each stage we must have  $r_1 = 1/4$  and  $r_2 = 1/4$ . The first has probability  $1/2$ , the second has probability  $3/4$ , so at each stage  $r_1 = r_2 = 1/4$  occurs with probability  $(1/4) \cdot (3/4) = 3/16$ . Assuming the choices from stage to stage are independent of one another, the probability of finding  $r_1 = r_2 = 1/4$  in  $n$  stages is  $(3/16)^n$ . As  $n \rightarrow \infty$ ,  $(3/16)^n \rightarrow 0$ , do the probability of obtaining a fractal of dimension  $1/2$  from this construction is 0.

(c) Because  $r_1 = 1/2$  with probability  $1/2$  and  $r_1 = 1/4$  with probability  $1/2$ , the expected value of  $r_1^d$  is  $(1/2) \cdot (1/2)^d + (1/2) \cdot (1/4)^d$ . Because  $r_2 = 1/2$  with probability  $1/4$  and  $r_2 = 1/4$  with probability  $3/4$ , the expected value of  $r_2^d$  is  $(1/4) \cdot (1/2)^d + (3/4) \cdot (1/4)^d$ . The the randomized Moran equation

$$\mathbb{E}(r_1^d) + \mathbb{E}(r_2^d) = 1$$

becomes

$$(1/2) \cdot (1/2)^d + (1/2) \cdot (1/4)^d + (1/4) \cdot (1/2)^d + (3/4) \cdot (1/4)^d = 1$$

Taking  $x = (1/2)^d$ , so  $x^2 = ((1/2)^d)^2 = ((1/2)^2)^d = (1/4)^d$ , the randomized Moran equation is

$$(1/2)x + (1/2)x^2 + (1/4)x + (3/4)x^2 = 1$$

The positive solution is  $x = (-3 + \sqrt{89})/10$  and so the expected value of the dimension is

$$d = \frac{\log((-3 + \sqrt{89})/10)}{\log(1/2)} \approx 0.694242.$$