

## Fermions

So far our computations have been very far from "topological": the results depended on every little detail of the input data (the function  $S$ ).

To get something topological we need one key new ingredient.

We extend our 0-dimensional QFT to include anticommuting fields: ie, we replace our space  $\mathcal{L}$  by some kind of "superspace," on which the space of functions is a supercommutative algebra.

$$\text{Fun}(\mathcal{L}) = \text{Fun}^0(\mathcal{L}) \oplus \text{Fun}^1(\mathcal{L}), \quad |f| = \begin{cases} 0 & \text{if } f \in \text{Fun}^0(\mathcal{L}) \text{ ("even", "bosonic")} \\ 1 & \text{if } f \in \text{Fun}^1(\mathcal{L}) \text{ ("odd", "fermionic")} \end{cases}$$
$$fg = (-1)^{|f||g|} gf$$

Action  $S \in \text{Fun}^0(\mathcal{L})$ .

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Simplest example:  $\mathcal{L} = \mathbb{R}^{0/2}$  "odd vector space"

$\mathcal{L}$  has two "coordinate functions"  $\psi^1, \psi^2 \in \text{Fun}^1(\mathcal{L})$   $\psi^1\psi^2 \in \text{Fun}^0(\mathcal{L})$   
which obey  $\psi^1\psi^2 = -\psi^2\psi^1$ ,  $(\psi^1)^2 = 0$ ,  $(\psi^2)^2 = 0$

So NB,  $\psi^1\psi^2$  is even but  $(\psi^1\psi^2)^2 = 0!$

$$\left[ \begin{array}{l} \text{Fun}(\mathcal{L}) = \wedge^*(\mathbb{R}^{2*}) \\ \text{Fun}^0(\mathcal{L}) = \wedge^0(\mathbb{R}^{2*}) = (\mathbb{R}^2)^* \\ \text{Fun}^1(\mathcal{L}) = \wedge^1(\mathbb{R}^{2*}) \end{array} \right]$$

The most general possible action:  $S = \frac{1}{2} M \psi^1 \psi^2$

Now, we'd like to define  $Z = \int d\psi^1 d\psi^2 e^{-S(\psi^1, \psi^2)}$

Rule for integration over odd variables:

$$\int d\psi (a + b\psi) = b$$

$$\text{(NB, this means } d(\lambda\psi^i) = \frac{1}{\lambda} d\psi^i)$$

$$\int d\psi^1 d\psi^2 \dots d\psi^K F = \int d\psi^1 \left[ \int d\psi^2 \left[ \dots \left[ \int d\psi^K F \right] \dots \right] \right]$$

So, expand:  $Z = \int d\psi^1 d\psi^2 \left( 1 - \frac{1}{2} M \psi^1 \psi^2 \right)$

$$= -\frac{1}{2} M \int d\psi^1 d\psi^2 \psi^1 \psi^2 = -\frac{1}{2} M$$

[cf. the case of even Gaussian integral over 2 variables which gives  $\frac{2\pi}{M}$ ]

More generally if  $\mathcal{L} = \mathbb{R}^{0|2n}$ ,  $S = \frac{1}{2} \psi^i M_{ij} \psi^j$  for an antisymmetric matrix  $M$ ,  
get  $Z = \text{Pf}(M)$

[Recall  $\text{Pf}(M)$  defined only for antisym matrices, polynomial in the entries, conj. invariant, has  $\text{Pf}(M)^2 = \det M$  — e.g.  $\text{Pf} \begin{pmatrix} 0 & x \\ -x & 0 \end{pmatrix} = x$ ]

Combining bosons and fermions: take  $\mathcal{L} = \mathbb{R}^{1|2}$

$$\text{Fun}^0(\mathcal{L}) = \text{Fun}(\mathbb{R}) \oplus \text{Fun}(\mathbb{R}) \psi^1 \psi^2$$

$$\text{Fun}^1(\mathcal{L}) = \text{Fun}(\mathbb{R}) \psi^1 \oplus \text{Fun}(\mathbb{R}) \psi^2$$

$$S(x, \psi^1, \psi^2) = S_1(x) - S_2(x) \psi^1 \psi^2$$

$$Z = \int dx d\psi^1 d\psi^2 e^{-S(x, \psi^1, \psi^2)}$$

$$\langle \psi^1 \psi^2 \rangle = \int dx e^{-S_1(x)}$$

$$= \int dx S_2(x) e^{-S_1(x)}$$

We could compute in perturbation theory.

But there is a special case where we can do much better:

$$S_1 = \frac{1}{2} (h'(x))^2, \quad S_2 = h''(x) \quad \text{for some } h: \mathbb{R} \rightarrow \mathbb{R}$$

Why is this case special? It has extra symmetry.

Analogy: consider  $\mathcal{L} = \mathbb{R}^2$ ,  $S = f(x^2 + y^2)$ .  $S$  is evidently invariant under  $U(1)$  action on  $\mathcal{L}$ , generated by the vector field  $V = y \partial_x - x \partial_y$ .

A convenient notation for checking this invariance: write the "infinitesimal U(1) action" as  $\delta x = \varepsilon y$ ,  $\delta y = -\varepsilon x$ . Then  $\delta S = 2xf'\delta x + 2yf'\delta y = \varepsilon(2xy - 2xy)f' = 0$ .

Also  $dx \wedge dy$  is invariant:  $\delta(dx \wedge dy) = \varepsilon(dy \wedge dy - dx \wedge dx) = 0$

So, to evaluate  $Z = \int_C dx dy e^{-S}$  we can "factor out" the direction along the U(1) orbits: (except at the origin)

$$\text{get } Z = \left[ \int_0^\infty r dr e^{-S} \right] \int_0^{2\pi} d\theta = 2\pi \int_0^\infty r dr e^{-S}$$


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Now return to the  $S$  of our interest.  $S$  doesn't have a symmetry vector field in the usual sense. However it does have two odd symmetry vector fields:

$$V_1 = \psi' \frac{\partial}{\partial x} - h'(x) \frac{\partial}{\partial \psi^2}$$

$$V_1 S = V_2 S = 0$$

$$V_2 = \psi^2 \frac{\partial}{\partial x} + h'(x) \frac{\partial}{\partial \psi^1}$$

They generate a super Lie algebra:  $([A, B] = AB - (-1)^{|A||B|} BA)$

$$[V_1, V_1] = -2\psi' h''(x) \frac{\partial}{\partial \psi^2}$$

$$[V_2, V_2] = 2\psi^2 h''(x) \frac{\partial}{\partial \psi^1}$$

$$[V_1, V_2] = \psi' h''(x) \frac{\partial}{\partial \psi^1} - \psi^2 h''(x) \frac{\partial}{\partial \psi^2} + 2h'(x) \frac{\partial}{\partial x}$$

Another common notation: consider infinitesimal variation of the form

$$\delta x = \varepsilon_1 \psi' + \varepsilon_2 \psi^2$$

$$\delta \psi_1 = \varepsilon_2 h'(x)$$

$$\delta \psi_2 = -\varepsilon_1 h'(x)$$

(regard  $\varepsilon_1, \varepsilon_2$  as infinitesimal odd parameters)

$$\text{Then } \delta S = h'(x) h''(x) (\varepsilon_1 \psi' + \varepsilon_2 \psi^2) - h''(x) (\varepsilon_2 h'(x) \psi_2 - \psi_1 \varepsilon_1 h'(x)) = 0$$

The vector fields  $V_1, V_2$  are also (super) divergence-free, i.e. they preserve the "integration measure"  $dx d\psi_1 d\psi_2$ .

How to exploit the odd symmetries?

Heuristic:

At least formally, we could do the same as we did with even v.f. above:

in any patch away from the zeroes of (say)  $V_1$ , choose local

coordinates  $(\psi, \theta, x)$  such that  $Z$  becomes  $\int dx d\psi e^{-S(x, \psi)} \int d\theta$

But then  $\int d\theta$  just gives zero! So, do we conclude  $Z=0$ ? Not quite:

correct conclusion is that  $Z$  can be evaluated by localization — a sum over contributions from the loci where our odd vector fields vanish, i.e.

where  $h' = 0$ ,  $\psi_1 = \psi_2 = 0$ : critical points of  $h$

How to actually evaluate? Deform.

Recall for even symmetries: if  $V$  is a divergence-free vector field then  $\langle V(f) \rangle = 0$

We'll use the same principle for odd symmetries.

Take  $f = \rho'(x) \psi^1$  and

$$g = (V_1 + V_2)f = \psi^2 \rho''(x) \psi^1 + h'(x) \rho'(x)$$

The odd symmetry  $\Rightarrow \langle g \rangle = 0$   $\left[ \begin{array}{l} \text{Pf: } \int dx d\psi^1 d\psi^2 V_i g = 0 \quad \forall g \text{ by explicit comp.} \\ \text{in p.t.c. } \int (V_1 + V_2) f e^{-S} = \int (V_1 + V_2) (f e^{-S}) = 0 \end{array} \right]$

But  $\langle g \rangle = \frac{\partial}{\partial \lambda} \Big|_{\lambda=0} \int e^{-(S + \lambda g)}$  as long as  $\deg(g) \leq \deg(S)$

And replacing  $S \rightarrow S + \lambda g$  is equiv. to replacing  $h \rightarrow h + \lambda \rho + \mathcal{O}(\lambda^2)$

So:  $Z$  is invariant under deformations of  $h$  which don't change its degree!

In particular we may deform  $h \rightarrow \lambda h$  and take  $\lambda$  large.

Recall the bosonic case: say we had  $S: \mathbb{R} \rightarrow \mathbb{R}$ , then expansion of  $Z = \int_{-\infty}^{\infty} e^{-\lambda S(x)}$  around  $\lambda = \infty$  is governed by saddle point expansion —

an asymptotic expansion w/ leading term  $\sum_{x_c \in P} \int_{-\infty}^{\infty} e^{-S(x_c) - S''(x_c)(x-x_c)^2}$

(where  $P$  is some nonempty subset of  $\{x: S'(x)=0\}$ )

Our case is slightly trickier because we aren't just rescaling the whole action by  $\lambda$ .

Still, we can study how the critical pts behave.

$$\frac{\partial S}{\partial x} = \lambda h'(x)h''(x) - \lambda h'''(x)\psi^1\psi^2, \quad \frac{\partial S}{\partial \psi^1} = -\lambda h''(x)\psi^2, \quad \frac{\partial S}{\partial \psi^2} = \lambda h''(x)\psi^1$$

Assuming  $h''(x) \neq 0$ , critical points are at  $h'(x)=0, \psi^1=0, \psi^2=0$ .

Now replace  $S$  by its quadratic approximation around each critical point:

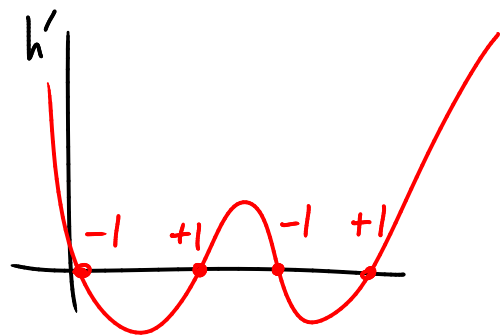
$$Z = \lim_{\lambda \rightarrow \infty} Z = \sum_c \int dx d\psi^1 d\psi^2 e^{-\frac{1}{2}h''(x_c)(x-x_c)^2 - h''(x_c)\psi^1\psi^2}$$

(justified by Schwarz, hep-th/9210115)

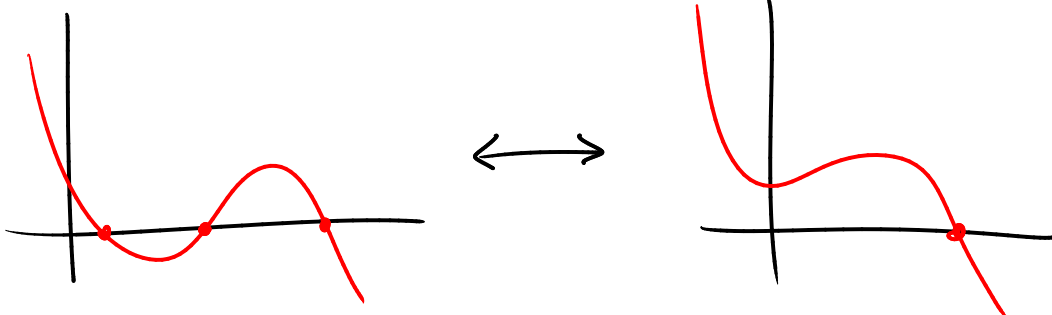
$$= \sqrt{2\pi} \sum_{c: h''(x_c) > 0} \frac{h''(x_c)}{|h''(x_c)|} \leftarrow \text{from fermions} + \left[ 0 \text{ from the crit pts with } h''(x)=0 \right]$$

$$= \sqrt{2\pi} \sum_c \text{sgn}(h''(x_c))$$

$$\in \sqrt{2\pi} \{0, 1, -1\}$$



Indeed a deformation invariant of  $h$ !



Actually, we could also see this answer by integrating out the fermions directly:

$$Z = \int_{-\infty}^{\infty} dx h''(x) e^{-\frac{1}{2} h'(x)^2} = \int dy e^{-\frac{1}{2} y^2} \quad y = h'(x)$$

Limits of integration over  $y$  determine whether we get 0, +1 or -1.

So: computation of  $Z$  localizes to fixed pts of  $V_1, V_2$ .

But  $\langle f \rangle$  for  $f \neq 1$  doesn't localize this way.  
(Because  $V_1 f \neq 0, V_2 f \neq 0$ .)

There is a complexification of the story that is a bit richer:

take a holomorphic function  $W: \mathbb{C} \rightarrow \mathbb{C}$

take  $\mathcal{L} = \mathbb{C}^{1/2}$

and let  $S = |W'(z)|^2 - W''(z) \psi' \psi^2 - \overline{W''(z)} \overline{\psi'} \overline{\psi}^2$

Invariant under 2 complex odd vector fields:  $V_1 = \frac{\partial}{\partial z} + \overline{W'(z)} \frac{\partial}{\partial \psi^2} \quad \overline{V}_1 = \dots$

$V_2 = \frac{\partial}{\partial z} - \overline{W'(z)} \frac{\partial}{\partial \psi^1} \quad \overline{V}_2 = \dots$

Here  $Z = \#$  cnt. pts. of  $W$  (not counted with signs).

Also, if  $f$  is holomorphic then it's invariant under  $\overline{V}_1$  and  $\overline{V}_2$ ; this is enough to show the quadratic approx. is exact,

$$\langle f \rangle = \sum_{z_c} f(z_c)$$

Similarly if  $f$  is antihol. But for mixed  $f$ , no localization.

Let's study the holomorphic observables a bit further. Say  $\overline{V} = \overline{V}_1$ . Note  $\overline{V}^2 = 0$ .

Look at  $\overline{V}$  acting on  $\text{Fun}^0(\mathcal{L})$ . Holomorphic  $f(z)$  have  $\overline{V}f = 0$ ; and for any

$g \in \text{Fun}(\mathcal{L}), \langle \overline{V}g \rangle = 0$ . Thus it's natural to consider the cohomology  $R = \frac{\text{Ker } \overline{V}}{\text{Im } \overline{V}}$   
("chiral ring")

$$\text{Ker } \bar{V} = \{\text{hol. functions } f(z)\}$$

$$\text{Im } \bar{V} = \{f(z) = w'(z)g(z)\}$$

$$\text{so } \mathcal{R} = \mathbb{C}[z] / \langle w'(z) \rangle$$

("Jacobi ring")