

# ADELIC VERSION OF MARGULIS ARITHMETICITY THEOREM

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ABSTRACT. In this paper, we generalize Margulis's  $S$ -arithmeticity theorem to the case when  $S$  can be taken as an infinite set of primes. Let  $R$  be the set of all primes including infinite one  $\infty$  and set  $\mathbb{Q}_\infty = \mathbb{R}$ . Let  $S$  be any subset of  $R$ . For each  $p \in S$ , let  $G_p$  be a connected semisimple adjoint  $\mathbb{Q}_p$ -group without any  $\mathbb{Q}_p$ -anisotropic factors and  $D_p \subset G_p(\mathbb{Q}_p)$  be a compact open subgroup for almost all finite prime  $p \in S$ . Let  $(G_S, D_p)$  denote the restricted topological product of  $G_p(\mathbb{Q}_p)$ 's,  $p \in S$  with respect to  $D_p$ 's. Note that if  $S$  is finite,  $(G_S, D_p) = \prod_{p \in S} G_p(\mathbb{Q}_p)$ . We show that if  $\sum_{p \in S} \text{rank}_{\mathbb{Q}_p}(G_p) \geq 2$ , any irreducible lattice in  $(G_S, D_p)$  is a *rational* lattice. We also present a criterion on the collections  $G_p$  and  $D_p$  for  $(G_S, D_p)$  to admit an irreducible lattice. In addition, we describe discrete subgroups of  $(G_\mathbb{A}, D_p)$  generated by lattices in a pair of opposite horospherical subgroups.

## 1. Introduction

Let  $R$  denote the set of all prime numbers including the infinite prime  $\infty$  and  $R_f$  the set of finite prime numbers, i.e.,  $R_f = R - \{\infty\}$ . We set  $\mathbb{Q}_\infty = \mathbb{R}$ . For each  $p \in R$ , let  $G_p$  be a non-trivial connected semisimple algebraic  $\mathbb{Q}_p$ -group and for each  $p \in R_f$ , let  $D_p$  be a compact open subgroup of  $G_p(\mathbb{Q}_p)$ . The adèle group of  $G_p$ ,  $p \in R$  with respect to  $D_p$ ,  $p \in R_f$  is defined to be the restricted topological product of the groups  $G_p(\mathbb{Q}_p)$  with respect to the distinguished subgroups  $D_p$ . We denote this group by  $(G_\mathbb{A}, \{D_p, p \in R_f\})$  or simply by  $(G_\mathbb{A}, D_p)$ . That is,

$$(G_\mathbb{A}, D_p) = \left\{ (g_p) \in \prod_{p \in R} G_p(\mathbb{Q}_p) \mid g_p \in D_p \text{ for almost all } p \in R_f \right\}.$$

As is well known, the adèle group  $(G_\mathbb{A}, D_p)$  is a locally compact topological group.

If  $G$  is a connected semisimple  $\mathbb{Q}$ -group, then we mean by  $(G_\mathbb{A}, G(\mathbb{Z}_p))$  the adèle group attached to the groups  $G_p = G$ ,  $p \in R$  with respect to the subgroups  $G(\mathbb{Z}_p)$ ,  $p \in R_f$ . It is a well known result of Borel [Bo1] that the diagonal embedding of  $G(\mathbb{Q})$  into  $(G_\mathbb{A}, G(\mathbb{Z}_p))$ , which we will identify with  $G(\mathbb{Q})$ , is a lattice in  $(G_\mathbb{A}, G(\mathbb{Z}_p))$ . Furthermore

Godement's criterion in an adelic setting, proved by Mostow and Tamagawa [MT] and also independently by Borel [Bo1], implies that  $G$  is  $\mathbb{Q}$ -isotropic if and only if  $G(\mathbb{Q})$  is a non-uniform lattice in  $(G_{\mathbb{A}}, G(\mathbb{Z}_p))$ .

In the spirit of Margulis arithmeticity theorem [Ma1], we show in this paper that any irreducible lattice in an adèle group  $(G_{\mathbb{A}}, D_p)$  is essentially of the form described as above. We say that a lattice  $\Gamma$  in  $(G_{\mathbb{A}}, D_p)$  is *irreducible* if, for any finite subset  $S$  of  $R$  containing  $\infty$ ,  $\pi_S(\Gamma \cap \{(g_p) \in G_{\mathbb{A}} \mid g_p \in D_p \text{ for all } p \notin S\})$  is an irreducible lattice in  $\prod_{p \in S} G_p(\mathbb{Q}_p)$  in the usual sense (see [Ma2, Ch III, 5.9] or definition 2.9 below) where  $\pi_S$  denotes the natural projection  $(G_{\mathbb{A}}, D_p) \rightarrow \prod_{p \in S} G_p(\mathbb{Q}_p)$ .

The following is a sample case of our main theorem:

**1.1. Theorem.** *For each  $p \in R$ , let  $G_p$  be a connected semisimple adjoint  $\mathbb{Q}_p$ -group without any  $\mathbb{Q}_p$ -anisotropic factors and  $D_p$  a compact open subgroup for almost all  $p \in R_f$ . Assume that  $G_{\infty}$  is absolutely simple. Then any irreducible non-uniform lattice  $\Gamma$  in  $(G_{\mathbb{A}}, D_p)$  is rational in the sense that there exist a connected absolutely simple  $\mathbb{Q}$ -isotropic  $\mathbb{Q}$ -group  $H$  and a  $\mathbb{Q}_p$ -isomorphism  $f_p : H \rightarrow G_p$  for each  $p \in R$  with  $f_p(H(\mathbb{Z}_p)) = D_p$  for almost all  $p \in R_f$  such that  $\Gamma$  is a subgroup of finite index in  $f(H(\mathbb{Q}))$  where  $f$  is the restriction of the product map  $\prod_{p \in R} f_p$  to  $(H_{\mathbb{A}}, H(\mathbb{Z}_p))$ . In particular,  $f$  provides a topological group isomorphism of  $(H_{\mathbb{A}}, H(\mathbb{Z}_p))$  to  $(G_{\mathbb{A}}, D_p)$ .*

In order to define a rational lattice in an adèle group in generality, we first describe arithmetic methods of constructing irreducible lattices in adèle groups. Let  $K$  be a number field. Let  $R_K$  be the set of all (inequivalent) valuations of  $K$ . For each  $v \in R_K$ ,  $K_v$  denotes the local field which is the completion of  $K$  with respect to  $v$  and for non-archimedean  $v \in R_K$ ,  $\mathcal{O}_v$  denotes the ring of integers of  $K_v$ . If  $\mathcal{H}$  is a connected absolutely simple  $K$ -group, it is a well known fact that the set  $\mathcal{T}(\mathcal{H}) = \{v \in R_K \mid \mathcal{H}(K_v) \text{ is compact}\}$  is finite. Let  $S$  be a subset of  $R_K - \mathcal{T}(\mathcal{H})$  containing all archimedean valuations in  $R_K - \mathcal{T}(\mathcal{H})$ , and let  $(\mathcal{H}_S, \mathcal{H}(\mathcal{O}_v))$  denote the restricted topological product of the groups  $\mathcal{H}(K_v)$ ,  $v \in S$  with respect to the subgroups  $\mathcal{H}(\mathcal{O}_v)$ . Then the subgroup  $\mathcal{H}(K(S))$ , when identified with its image under the diagonal embedding into  $(\mathcal{H}_S, \mathcal{H}(\mathcal{O}_v))$ , is a lattice in  $(\mathcal{H}_S, \mathcal{H}(\mathcal{O}_v))$  where  $K(S)$  denotes the ring of  $S$ -integers in  $K$  [Bo1]. The group  $\mathcal{H}$  being absolutely simple,  $\mathcal{H}(K(S))$  is in fact an irreducible lattice in  $(\mathcal{H}_S, \mathcal{H}(\mathcal{O}_v))$ .

Unless mentioned otherwise, throughout the introduction, we let  $G_p$  be a connected semisimple adjoint  $\mathbb{Q}_p$ -group for each  $p \in R$  and  $D_p$  a compact open subgroup for each  $p \in R_f$ .

**1.2. Definition.** We call an irreducible lattice  $\Gamma$  in  $(G_{\mathbb{A}}, D_p)$  *rational* if there exist  $K, \mathcal{H}, S$  as above and a topological group epimorphism  $f : (G_{\mathbb{A}}, D_p) \rightarrow (\mathcal{H}_S, \mathcal{H}(\mathcal{O}_v))$  with compact kernel such that  $f(\Gamma)$  is commensurable with  $\mathcal{H}(K(S))$ .

*Remark.*

- (1) Since  $S \subset R_K - \mathcal{T}(\mathcal{H})$ ,  $\mathcal{H}(K_v)$  is non-compact for each  $v \in S$ . If we denote by  $G_p^i$  the maximal connected normal  $\mathbb{Q}_p$ -subgroup of  $G_p$  without any  $\mathbb{Q}_p$ -anisotropic factors for each  $p \in R$  and let  $D_p^i = D_p \cap G_p^i$  for each  $p \in R_f$ , then in the above definition the quotient  $(G_{\mathbb{A}}, D_p)/\ker f$  is isomorphic to  $(G_{\mathbb{A}}^i, D_p^i)$ . In particular, if  $G_p(\mathbb{Q}_p)$  has no compact factors for any  $p \in R$ , we may assume that  $f$  is an isomorphism in Definition 1.2.
- (2) If  $R_0 = \{p \in R \mid G_p(\mathbb{Q}_p) \text{ is non-compact}\}$ , then  $(G_{\mathbb{A}}^i, D_p^i)$  is naturally identified with the restricted topological product of the groups  $G_p^i(\mathbb{Q}_p)$ ,  $p \in R_0$  with respect to the subgroups  $D_p^i$ . If  $R_0$  is finite, then  $(G_{\mathbb{A}}^i, D_p^i) = \prod_{p \in R_0} G_p^i(\mathbb{Q}_p)$ . In this case, the above definition of a rational lattice in  $(G_{\mathbb{A}}, D_p)$  coincides with that of an  $R_0$ -arithmetic (usually referred to as ‘‘S-arithmetic’’) lattice of  $\prod_{p \in R_0} G_p^i(\mathbb{Q}_p)$  given in [Ma2, Ch IX, 1.4].
- (3) If  $\Gamma$  is an irreducible lattice in  $(G_{\mathbb{A}}, D_p)$ , then  $pr(\Gamma)$  is an irreducible lattice in  $(G_{\mathbb{A}}^i, D_p^i)$  as well where  $pr$  denotes the natural projection  $(G_{\mathbb{A}}, D_p) \rightarrow (G_{\mathbb{A}}^i, D_p^i)$ . Then an irreducible lattice  $\Gamma$  in  $(G_{\mathbb{A}}, D_p)$  is rational if and only if  $pr(\Gamma)$  is a rational lattice in  $(G_{\mathbb{A}}^i, D_p^i)$  in the sense of Definition A (or Definition B) in 4.1.

The following is a special case of Corollary 4.10 below.

**1.3. Main Theorem.** *If  $\sum_{p \in R} \text{rank}_{\mathbb{Q}_p}(G_p) \geq 2$ , any irreducible lattice in  $(G_{\mathbb{A}}, D_p)$  is rational.*

That the adèle group  $(G_{\mathbb{A}}, D_p)$  contains an irreducible lattice imposes a strong restriction not only on the family of the ambient groups  $G_p$  but also on the family of distinguished subgroups  $D_p$ . The following presents a necessary and sufficient condition on those restriction:

**1.4. Theorem.** *For each  $p \in R$ , assume that  $G_p(\mathbb{Q}_p)$  has no compact factors. The adèle group  $(G_{\mathbb{A}}, D_p)$  admits an irreducible lattice if and only if there exist a connected semisimple  $\mathbb{Q}$ -simple  $\mathbb{Q}$ -group  $H$  such that  $G_p$  is  $\mathbb{Q}_p$ -isomorphic to a connected normal  $\mathbb{Q}_p$ -subgroup of  $H$  for each  $p \in R$  and  $D_p$  is a subgroup whose volume is maximum among all compact open subgroups of  $G_p(\mathbb{Q}_p)$  for almost all  $p \in R_f$ .*

See Theorem 4.13 below for a more general statement.

**Example.**

- (1) If  $G_p$  is  $\mathbb{Q}_p$ -simple and  $\mathbb{Q}_p$ -isotropic for each  $p \in R$  and  $(G_{\mathbb{A}}, D_p)$  admits an irreducible lattice, then all  $G_p$ 's are typewise homogeneous, that is, their Dynkin types are the same.
- (2) Let  $n \geq 2$  and  $G_p = PGL_n$  for each  $p \in R$ . Then  $(G_{\mathbb{A}}, D_p)$  has an irreducible lattice if and only if  $D_p$  is conjugate to  $PGL_n(\mathbb{Z}_p)$  for almost all  $p \in R_f$ .

For  $n = 2$ , for each  $p \in R_f$ , there are two conjugacy classes of maximal compact open subgroups of  $PGL_2(\mathbb{Q}_p)$ , represented by  $PGL_2(\mathbb{Z}_p)$  and by

$$L_p = \left\langle \left\{ \begin{pmatrix} a & b \\ pc & d \end{pmatrix} \in PGL_2(\mathbb{Z}_p) \right\}, \begin{pmatrix} 0 & 1 \\ p & 0 \end{pmatrix} \right\rangle$$

respectively. Note that in the Bruhat-Tits tree associated to  $PGL_2(\mathbb{Q}_p)$ , the conjugacy class of  $PGL_2(\mathbb{Z}_p)$  corresponds to the stabilizer of a vertex and the conjugacy class of  $L_p$  corresponds to the stabilizer of the middle point of an edge. If we denote by  $\mu_p$  a Haar measure of  $PGL_2(\mathbb{Q}_p)$ , then  $\mu_p(L_p) = \frac{2}{p+1}\mu_p(PGL_2(\mathbb{Z}_p))$  because the common subgroup  $\left\{ \begin{pmatrix} a & b \\ pc & d \end{pmatrix} \in PGL_2(\mathbb{Z}_p) \right\}$  has index  $p+1$  in  $PGL_2(\mathbb{Z}_p)$  while it has index 2 in  $L_p$ . Hence if  $D_p$  is conjugate to  $L_p$  for infinitely many primes  $p$ ,  $(G_{\mathbb{A}}, D_p)$  does not admit an irreducible lattice. Furthermore, it follows from Theorem 1.1 and the Hasse principle that, up to automorphism of  $(G_{\mathbb{A}}, PGL_2(\mathbb{Z}_p))$ ,  $PGL_2(\mathbb{Q})$  is the unique irreducible non-uniform lattice in  $(G_{\mathbb{A}}, PGL_2(\mathbb{Z}_p))$  up to commensurability (see Proposition 6.3 below).

- (3) Let  $n \geq 1$ . If  $G_p = PGSp_{2n}$  for each  $p \in R$ , then  $(G_{\mathbb{A}}, D_p)$  admits an irreducible lattice only when  $D_p$  is conjugate to  $PGSp_{2n}(\mathbb{Z}_p)$  for almost all  $p \in R_f$ . Up to automorphism of  $(G_{\mathbb{A}}, PGSp_{2n}(\mathbb{Z}_p))$ , the subgroup  $PGSp_{2n}(\mathbb{Q})$  is the unique irreducible non-uniform lattice in  $(G_{\mathbb{A}}, PGSp_{2n}(\mathbb{Z}_p))$  up to commensurability (see Proposition 6.3 below).
- (4) More generally, if  $G$  is a connected absolutely simple  $\mathbb{Q}$ -group, then for almost all  $p \in R_f$ ,  $G(\mathbb{Z}_p)$  is a hyperspecial subgroup of  $G(\mathbb{Q}_p)$ , or equivalently, the volume of  $G(\mathbb{Z}_p)$  is the maximum among all compact open subgroups of  $G(\mathbb{Q}_p)$  [Ti]. It thus follows from Theorem 1.4 that if we let  $G_p = G$ ,  $p \in R$ , then  $(G_{\mathbb{A}}, D_p)$  admits an irreducible lattice if and only if  $D_p$  is conjugate to  $G(\mathbb{Z}_p)$  for almost all  $p \in R_f$  (see 4.14).

If  $H$  is a connected semisimple  $\mathbb{Q}$ -isotropic  $\mathbb{Q}$ -group, there exists a pair  $P_1, P_2$  of opposite proper  $\mathbb{Q}$ -parabolic subgroups of  $H$ . Then the subgroup  $R_u(P_i)(\mathbb{Q})$  is a (uniform) lattice in  $(H_{\mathbb{A}}, H(\mathbb{Z}_p)) \cap \prod_{p \in R} R_u(P_i)(\mathbb{Q}_p)$  where  $R_u(P_i)$  denotes the unipotent radical

of  $P_i$  for each  $i = 1, 2$  [Bo1]. The notation  $H(\mathbb{Q})^+$  denotes the subgroup generated by all unipotent elements contained in  $H(\mathbb{Q})$ . If  $H$  is almost  $\mathbb{Q}$ -simple, the subgroup generated by these two lattices  $R_u(P_1)(\mathbb{Q})$  and  $R_u(P_2)(\mathbb{Q})$  coincides with the subgroup  $H(\mathbb{Q})^+$  [BT1]. We also show that a *discrete* subgroup of  $(G_{\mathbb{A}}, D_p)$  containing *any* lattices in a pair of opposite horospherical subgroups respectively, is essentially of the form  $H(\mathbb{Q})^+$  for  $H$  as above, under some additional assumptions on  $G_{\infty}$ .

A subgroup  $U$  of  $(G_{\mathbb{A}}, D_p)$  is called a *horospherical* subgroup if  $U = (G_{\mathbb{A}}, D_p) \cap \prod_{p \in R} R_u(P_p)$  where  $P_p$  is a proper parabolic  $\mathbb{Q}_p$ -subgroups of  $G_p$  for each  $p \in R$ . Two horospherical subgroups of  $(G_{\mathbb{A}}, D_p)$  are called *opposite* if the corresponding  $\mathbb{Q}_p$ -parabolic subgroups are opposite for each  $p \in R$ . For a subgroup  $\Gamma \subset (G_{\mathbb{A}}, D_p)$ , we denote by  $\Gamma^{\infty}$  the image of  $\Gamma \cap (G_{\infty}(\mathbb{R}) \times \prod_{p \in R_f} D_p)$  under the natural projection  $pr_{\infty} : (G_{\mathbb{A}}, D_p) \rightarrow G_{\infty}(\mathbb{R})$ .

**1.5. Theorem.** *For each  $p \in R$ , assume that  $G_p$  has no  $\mathbb{Q}_p$ -anisotropic factors. Assume that  $\text{rank}(G_{\infty}) \geq 2$ . Let  $\Gamma$  be a subgroup of  $(G_{\mathbb{A}}, D_p)$  containing lattices in a pair of opposite horospherical subgroups of  $(G_{\mathbb{A}}, D_p)$ . Assume moreover (\*) that  $\Gamma^{\infty}$  is a lattice in  $G_{\infty}(\mathbb{R})$ . Then  $\Gamma$  is discrete if and only if there exist a connected absolutely simple  $\mathbb{Q}$ -isotropic  $\mathbb{Q}$ -group  $H$  and a topological group isomorphism  $f : (H_{\mathbb{A}}, H(\mathbb{Z}_p)) \rightarrow (G_{\mathbb{A}}, D_p)$  such that  $f(H(\mathbb{Q})^+) \subset \Gamma \subset f(H(\mathbb{Q}))$ .*

*Remark.* The above theorem holds without the assumption (\*) provided Margulis's conjecture (see [Oh1, Conjecture 0.1]) holds for  $G_{\infty}$ . Indeed, for a discrete subgroup  $\Gamma$  as above,  $\Gamma^{\infty}$  is a discrete subgroup containing lattices in a pair of opposite horospherical subgroups in  $G_{\infty}(\mathbb{R})$ . The conjecture says that any such a discrete subgroup is a lattice in  $G_{\infty}(\mathbb{R})$  as long as the real rank of  $G_{\infty}$  is at least 2. See [Oh, Theorem 4.1] and the remark following it for the list of groups for which the conjecture has been settled. For instance, the list includes groups  $G_{\infty}$  which are split over  $\mathbb{R}$  and not locally isomorphic to  $SL_3(\mathbb{R})$ .

We also remark that in an  $S$ -arithmetic setting ( $S$  finite), i.e., when  $G = \prod_{p \in S} G_p(\mathbb{Q}_p)$ , the class of discrete subgroup of  $G$  containing lattices in a pair of opposite horospherical subgroups coincides with that of non-uniform lattices in  $G$  [Oh1]. In an adelic setting this is no more true, since the subgroup  $H(\mathbb{Q})^+$  has infinite index in  $H(\mathbb{Q})$  in general (cf. Remark 4.12). However  $H(\mathbb{Q})^+$  is contained every subgroup of finite index in  $H(\mathbb{Q})$  [BT1].

Naturally one may ask how many irreducible lattices an adèle group  $(G_{\mathbb{A}}, D_p)$  can admit up to commensurability. By the Hasse principle for an adjoint absolutely simple  $\mathbb{Q}$ -group, Theorem 1.1 implies that for instance, if for some  $p \in R$ ,  $G_p$  is not of

type  $A_n$  ( $n \geq 2$ ),  $D_n$  ( $n \geq 3$ ), or  $E_6$ , then  $(G_{\mathbb{A}}, D_p)$  admits at most one irreducible non-uniform lattice up to commensurability and up to automorphism of  $(G_{\mathbb{A}}, D_p)$  (see Proposition 6.3).

In section 2, we set up some notation as well as state some well known facts about algebraic groups. In section 3, we obtain a necessary and sufficient condition on the collection of distinguished subgroups  $D_p$  so that  $(G_{\mathbb{A}}, D_p)$  admits a lattice contained in  $G(\mathbb{Q})$  (Theorem 3.9). Theorem 1.3 immediately follows from Theorem 4.9 (see Corollary 4.10). For the proof of Theorem 4.9, based on the  $S$ -arithmeticity theorem and a special case of super-rigidity theorem of Margulis [Ma2], we first obtain a connected  $\mathbb{Q}$ -simple  $\mathbb{Q}$ -group  $H$  so that, up to  $\mathbb{Q}_p$ -anisotropic factors,  $H$  is isomorphic to  $G_p$ , say via  $f_p$ , for each  $p \in R$  and  $H(\mathbb{Q})$  corresponds to  $\Gamma$  under the product map  $\prod_{p \in R} f_p$ , up to commensurability. Here we embed  $\Gamma$  into  $\prod_{p \in R} f_p(H(\mathbb{Q})) \cap (G_{\mathbb{A}}, D_p)$  as well. Up to this part, the proof proceeds exactly the same way as in the Margulis  $S$ -arithmeticity theorem for  $S$  finite. The difference in the case of  $S$  infinite is to handle the compact subgroups  $D_p$ 's. To ensure the  $\mathbb{Q}_p$ -isomorphisms  $f_p$ 's transfer the  $\mathbb{Q}$ -structure on  $H$  to  $(G_{\mathbb{A}}, D_p)$  in a compatible way, we have to show that  $f_p(H(\mathbb{Z}_p)) = D_p$  for almost all  $p \in R_f$ . This is based on the strong approximation property of the simply connected covering of  $H$ , explained in Section 3.

Similarly the proof of Theorem 1.5 is based on the result analogous in the  $S$ -arithmetic setting obtained by the author [Oh1]. These are explained in section 5. In section 6, we relate the Hasse principle with the set of irreducible non-uniform lattices in  $(G_{\mathbb{A}}, D_p)$ .

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## 2. Notation and Terminology

We continue the definitions and the notation mentioned in the introduction.

**2.1.** For any field  $k$ , we mean by a linear algebraic  $k$ -group  $M$  that  $M$  is a Zariski closed  $k$ -subgroup of  $GL_N$  for some  $N$ , and we set  $M(J) = M \cap GL_N(J)$  for any subring  $J$  of  $k$ . The term “ $k$ -group” will always mean a linear algebraic group with a fixed realization as a  $k$ -closed subgroup of  $GL_N$  for some  $N$ .

**2.2.** Let  $K$  be a number field. Let  $R_K$ ,  $K_v$  and  $\mathcal{O}_v$  be as in the introduction. For any  $S \subset R_K$ , we set  $K(S)$  to be the ring of  $S$ -integers in  $K$ , that is,  $K(S) = \{x \in K \mid x \in \mathcal{O}_v \text{ for all } v \in R_f - S\}$ . If  $K = \mathbb{Q}$ , we simply set  $R = R_{\mathbb{Q}}$  and we sometimes write  $\mathbb{Z}_S$

for  $\mathbb{Q}(S)$ .

**2.3.** For each  $v \in R_K$ , let  $G_v$  be a connected semisimple algebraic  $K_v$ -group. Fix a compact open subgroup  $D_v$  of  $G_v(K_v)$  for each non-archimedean  $v \in R_K$ . For any subset  $T \subset R_K$ , we denote by  $(G_T, D_v)$  the restricted topological product of the groups  $G_v(K_v)$ ,  $v \in T$  with respect to the distinguished subgroups  $D_v$ . If  $T$  is finite, then  $(G_T, D_v) = \prod_{v \in T} G_v(K_v)$ , which we will then simply denote by  $G_T$ . If  $T = R_K$ , we write  $(G_{\mathbb{A}}, D_v) = (G_T, D_v)$  and call an adèle group. The topology on  $(G_T, D_v)$  is given as follows: a base of open subsets consists of the sets of the form  $\prod_{p \in S} U_v \times \prod_{v \notin S} D_v$  where  $S$  is a finite subset of  $T$  and  $U_v \subset G_v(K_v)$  is an open subset for each  $v \in S$ . The group  $(G_T, D_v)$  is a locally compact group with respect to this topology.

**2.4.** As is well known, for each  $v \in R_K$ , the local field  $K_v$  is a finite extension of a subfield isomorphic to  $\mathbb{Q}_p$  for some (unique)  $p \in R$ . For each  $p \in R$ , we denote by  $I_p$  the set of valuations  $v \in R_K$  such that  $\mathbb{Q}_p \subset K_v$  (up to isomorphism). Then  $H_p = \prod_{v \in I_p} \text{Rest}_{K_v/\mathbb{Q}_p} G_v$  is a connected semisimple  $\mathbb{Q}_p$ -group and  $\prod_{v \in I_p} G_v(K_v)$  is isomorphic to  $H_p(\mathbb{Q}_p)$  as topological groups. We denote this isomorphism by  $\text{Rest}^0$ . The map  $\text{Rest}^0$  also extends to an isomorphism of the adèle group  $(G_{\mathbb{A}}, D_v)$  with the adèle group  $(H_{\mathbb{A}}, M_p)$  where  $M_p = \prod_{v \in I_p} \text{Rest}_{K_v/\mathbb{Q}_p} D_v$  (cf. [Ma2, Ch I, 3.1.4] and [Bo1]).

**2.5.** If  $G$  is a connected semisimple  $K$ -group, then we mean by  $(G_{\mathbb{A}}, G(\mathcal{O}_v))$  the adèle group attached to the groups  $G_v = G$  with respect to the subgroups  $G(\mathcal{O}_v)$ . The diagonal embedding of  $G(K)$  into  $(G_{\mathbb{A}}, G(\mathcal{O}_v))$ , which we will identify with  $G(K)$ , is a lattice in  $(G_{\mathbb{A}}, G(\mathcal{O}_v))$  [Bo1]. Denote by  $\mathcal{T}(G)$  the set of all  $v \in R_K$  such that  $G(K_v)$  is compact. Then  $\mathcal{T}(G)$  is finite (cf. [Ma2, Ch I, 3.2.3]). It then follows that if  $T \subset R_K$  contains all archimedean valuations in  $R_K - \mathcal{T}(G)$ , the subgroup  $G(K(T))$  is a lattice in  $(G_T, G(\mathcal{O}_v))$  when diagonally embedded into  $(G_T, G(\mathcal{O}_v))$ . Note that

$$G(K(T)) = \{x \in G(K) \mid x \in G(\mathcal{O}_v) \text{ for all non-archimedean } v \in R_K - T\}.$$

The group  $G$  is  $K$ -isotropic if and only if  $G(K(T))$  is a non-uniform lattice in  $(G_T, G(\mathcal{O}_v))$ .

**2.6.** For each  $p \in R$ , we denote by  $pr_p$  the natural projection  $(G_{\mathbb{A}}, D_p) \rightarrow G_p(\mathbb{Q}_p)$ . For any  $T \subset R$ , the notation  $pr_T$  denotes the natural projection  $(G_{\mathbb{A}}, D_p) \rightarrow (G_T, D_p)$ . We set

$$G_{\mathbb{A}(T)} = \{(g_p) \in G_{\mathbb{A}} \mid g_p \in D_p \text{ for all } p \in R_f - T\}.$$

For any subgroup  $H$  of  $(G_{\mathbb{A}}, D_p)$  and for any subset  $T \subset R$ , we set

$$H^T = pr_T (H \cap G_{\mathbb{A}(T)}).$$

**2.7.** For any finite  $S \subset R$ , let  $G_p$  be a connected semisimple algebraic  $\mathbb{Q}_p$ -group without any  $\mathbb{Q}_p$ -anisotropic factors for each  $p \in S$ . A lattice  $\Lambda$  in  $G_S = \prod_{p \in S} G_p(\mathbb{Q}_p)$  is called *irreducible* if for any two connected normal subgroups  $H = \prod_{p \in S} H_p(\mathbb{Q}_p)$  and  $M = \prod_{p \in S} M_p(\mathbb{Q}_p)$  of  $G_S$  such that for each  $p \in S$ ,  $G_p$  is an almost direct product of connected normal  $\mathbb{Q}_p$ -subgroups  $M_p$  and  $H_p$ ,  $(\Lambda \cap H) \cdot (\Lambda \cap M)$  has infinite index in  $\Lambda$  (cf. [Ma2, Ch III, 5.9]). This is equivalent to saying that  $\Gamma$  cannot be an almost direct product of two infinite normal subgroups of  $\Gamma$ .

**2.8.** For a connected semisimple  $\mathbb{Q}_p$ -subgroup  $G_p$ , we denote by  $G_p^i$  ( $i$  standing for isotropic) the maximal connected normal subgroup of  $G_p$  without any  $\mathbb{Q}_p$ -anisotropic factors. Note that  $G_p(\mathbb{Q}_p)/G_p^i(\mathbb{Q}_p)$  is compact. That  $G_p$  has no  $\mathbb{Q}_p$ -anisotropic factors is equivalent to saying that  $G_p(\mathbb{Q}_p)$  has no compact factors.

**2.9.** Let  $G_p$  be a connected semisimple algebraic  $\mathbb{Q}_p$ -group for each  $p \in R$ . Fix a compact open subgroup  $D_p$  of  $G_p(\mathbb{Q}_p)$  for each  $p \in R_f$ . Let  $T \subset R$ . A lattice  $\Gamma$  in  $(G_T, D_p)$  is called *irreducible* if the projection of  $\Gamma^S$  into  $\prod_{p \in S} G_p(\mathbb{Q}_p)^i$  is an irreducible lattice in  $\prod_{p \in S} G_p(\mathbb{Q}_p)^i$  for any finite subset  $S \subset T$  containing  $\infty$ .

**2.10.** For a connected semisimple algebraic  $K$ -group  $G$ , the notation  $G(K)^+$  denotes the normal subgroup of  $G(K)$  generated by the subgroups  $R_u(P)(K)$  where  $P$  runs through the set of all parabolic  $K$ -subgroups of  $G$  and  $R_u(P)$  denotes the unipotent radical of  $P$ . Or equivalently  $G(K)^+$  denotes the subgroup generated by all unipotent elements in  $G(K)$  [BT1].

If  $G$  is almost  $K$ -simple and  $K$ -isotropic,  $G(K)^+$  coincides with the subgroup generated by  $R_u(P_1)(K)$  and  $R_u(P_2)(K)$  for any pair  $P_1, P_2$  of opposite proper parabolic  $K$ -subgroups [BT1]. Recall that two parabolic subgroups are called opposite if their intersection is a common Levi subgroup in both of them.

**2.11.** We refer to [PR], [Bo1] or [Ma2, Ch I] as a general reference to our terminology regarding algebraic groups.

### 3. Distinguished subgroups $D_p$

**3.1. Lemma.** *Let  $G_p$  be a connected semisimple  $\mathbb{Q}_p$ -group for each  $p \in R$ . Fix a compact open subgroup  $D_p$  of  $G_p(\mathbb{Q}_p)$  for each  $p \in R_f$ . Let  $S$  be a finite subset of  $R$ . Assume that  $\infty \in S$  if  $G_\infty(\mathbb{R})$  is non-compact.*

- (1) *If  $\Gamma$  is a discrete subgroup in  $(G_\mathbb{A}, D_p)$ , then  $\Gamma^S$  is a discrete subgroup in  $G_S$ .*
- (2) *If  $\Gamma$  is a (uniform) lattice in  $(G_\mathbb{A}, D_p)$ , then  $\Gamma^S$  is a (uniform) lattice in  $G_S$ .*



*Proof.* For simplicity, set  $G_{\mathbb{A}} = (G_{\mathbb{A}}, D_p)$ . Denote by  $pr^S$  the restriction of  $pr_S$  to the subgroup  $G_{\mathbb{A}(S)}$ . Since the kernel of  $pr^S$  is compact, the subgroup  $\Gamma^S$  is discrete if  $\Gamma$  is so. Let  $\Gamma$  be a (uniform) lattice in  $G_{\mathbb{A}}$ . Since  $G_{\mathbb{A}(S)}$  is an open subgroup of  $G_{\mathbb{A}}$ , the intersection  $\Gamma \cap G_{\mathbb{A}(S)}$  is a (uniform) lattice in  $G_{\mathbb{A}(S)}$ . Consider the natural map  $\tilde{pr}^S : G_{\mathbb{A}(S)}/(\Gamma \cap G_{\mathbb{A}(S)}) \rightarrow G_S/\Gamma^S$  induced by  $pr^S$ . Now if  $\mu$  is an invariant measure on  $G_{\mathbb{A}(S)}/(\Gamma \cap G_{\mathbb{A}(S)})$ , then  $\tilde{pr}_*^S(\mu)$  is an invariant measure on  $G_S/\Gamma^S$ . Hence if  $\Gamma$  is a uniform lattice in  $G_{\mathbb{A}}$ , then  $\Gamma^S$  is a uniform lattice in  $G_S$ .  $\square$

**3.2.** We recall the following corollary of (a special case of) the strong approximation theorem:

**Theorem.** *Let  $G$  be a connected semisimple simply connected almost  $\mathbb{Q}$ -simple group. Let  $S$  be a finite subset of  $R$  such that  $\prod_{p \in S} G_p(\mathbb{Q}_p)$  is non-compact. Then  $G(\mathbb{Z}_S)$  is dense in the direct product  $\prod_{p \in R_f - S} G(\mathbb{Z}_p)$ , when diagonally embedded. In particular if  $\Delta$  is a subgroup of finite index in  $G(\mathbb{Z}_S)$ , then the closure of  $\Delta$  has finite index in  $\prod_{p \in R_f - S} G(\mathbb{Z}_p)$ .*

*Proof.* Let  $G_{\mathbb{A}_S}$  denote the restricted topological product of the  $G_p(\mathbb{Q}_p)$  for  $p \in R_f - S$  with respect to the subgroups  $G(\mathbb{Z}_p)$ ,  $p \in R_f - S$ .

By the strong approximation theorem (cf. [PR, Theorem 7.12, P. 427]),  $G(\mathbb{Q})$  is dense in  $G_{\mathbb{A}_S}$ . Since  $\prod_{p \in R_f - S} G(\mathbb{Z}_p)$  is an open subgroup of  $G_{\mathbb{A}_S}$ ,  $G(\mathbb{Q}) \cap \prod_{p \in R_f - S} G(\mathbb{Z}_p)$  is dense in  $\prod_{p \in R_f - S} G(\mathbb{Z}_p)$ . Since  $G(\mathbb{Z}_S) = G(\mathbb{Q}) \cap \prod_{p \in R_f - S} G(\mathbb{Z}_p)$ , this proves the first claim. For the second claim, it suffices to note that  $[\overline{G(\mathbb{Z}_S)} : \overline{\Delta}] \leq [G(\mathbb{Z}_S) : \Delta]$  by the first claim.  $\square$

**3.3. Proposition.** *Let  $p \in R_f$ . Let  $G$  be a connected semisimple  $\mathbb{Q}_p$ -group and let  $K_1$  and  $K_2$  be maximal compact subgroups of  $G(\mathbb{Q}_p)$ . Assume that there exists a maximal compact subgroup  $K$  of  $\tilde{G}(\mathbb{Q}_p)$  such that  $\pi(K) \subset K_1 \cap K_2$  where  $\tilde{G}$  is the simply connected covering of  $G$  and  $\pi : \tilde{G} \rightarrow G$  is the  $\mathbb{Q}_p$ -isogeny. Then  $K_1 = K_2$ .*

*Proof.* Consider the Bruhat-Tits building  $\mathcal{B}$  attached to  $G$ . In the following proof, we use some results in [Ti] without repeating reference. The group  $\tilde{G}(\mathbb{Q}_p)$  acts on  $\mathcal{B}$  through the map  $\pi$ . For each  $i = 1, 2$ , the maximal compact subgroup  $K_i$  is the stabilizer  $G(\mathbb{Q}_p)^{x_i}$  in  $G(\mathbb{Q}_p)$  of some point  $x_i \in \mathcal{B}$ . Since  $\tilde{G}$  is simply connected, there exists a vertex  $v \in \mathcal{B}$  such that  $\tilde{G}(\mathbb{Q}_p)^v = K$ . We claim that  $v = x_1 = x_2$ , which implies that  $K_i \subset G(\mathbb{Q}_p)^v$  for both  $i = 1$  and  $2$ . Since  $K_1$  and  $K_2$  are maximal compact subgroups, this implies that  $K_1 = K_2 = G(\mathbb{Q}_p)^v$ . Suppose that  $v \neq x_i$  for some  $i \in \{1, 2\}$ . Since  $\pi(K)$  stabilizes  $v$  and  $x_i$ , it stabilizes pointwisely the unique geodesic  $l$  joining  $v$  and  $x_i$ . Since  $v \neq x_i$ , we can find a facet  $\mathcal{F}$  whose closure contains  $v$  and  $\mathcal{F} \cap l$  is non-empty. Fix  $z \in \mathcal{F} \cap l$ . Note

that the dimension of  $\mathcal{F}$  is positive. Since  $\tilde{G}$  is simply connected,  $\tilde{G}(\mathbb{Q}_p)^z = \tilde{G}(\mathbb{Q}_p)^{\mathcal{F}}$  where  $\tilde{G}(\mathbb{Q}_p)^{\mathcal{F}}$  is the pointwise stabilizer of  $\mathcal{F}$  in  $\tilde{G}(\mathbb{Q}_p)$ . Therefore  $\pi(K) \subset \tilde{G}(\mathbb{Q}_p)^{\mathcal{F}}$ . This is a contradiction, since the stabilizer of a facet of positive dimension in  $\tilde{G}(\mathbb{Q}_p)$  cannot be a maximal compact subgroup. This finishes the proof.  $\square$

**3.4. Lemma** [Ti, 3.2]. *Let  $G$  be a connected semisimple  $\mathbb{Q}$ -group. Then  $G(\mathbb{Z}_p)$  is a maximal compact subgroup for almost all  $p \in R_f$ .*

**3.5. Lemma.** *Let  $G$  be a connected semisimple  $\mathbb{Q}$ -group,  $\tilde{G}$  the simply connected covering of  $G$  and  $\pi : \tilde{G} \rightarrow G$  the central  $\mathbb{Q}$ -isogeny. Then for almost all  $p \in R_f$ ,  $\pi(\tilde{G}(\mathbb{Z}_p)) \subset G(\mathbb{Z}_p)$ .*

See [PR, P. 451] for the proof of Lemma 3.5.

**3.6. Proposition.** *Let  $G$ ,  $\tilde{G}$  and  $\pi$  be as in Lemma 3.5. For each  $p \in R_f$ , let  $D_p$  be a compact open subgroup of  $G(\mathbb{Q}_p)$ . Assume that  $\pi(\tilde{G}(\mathbb{Z}_p)) \subset D_p$  for almost all  $p \in R_f$ . Then  $D_p \subset G(\mathbb{Z}_p)$  for almost all  $p \in R_f$ .*

*Proof.* Since every compact open subgroup of  $G(\mathbb{Q}_p)$  is contained in a maximal compact open subgroup, we may assume that  $D_p$  is a maximal compact open subgroup for all  $p \in R_f$ . By Lemmas 3.4 and 3.5, there exists a finite subset  $S \subset R_f$  such that for each  $p \in R_f - S$ , both  $D_p$  and  $G(\mathbb{Z}_p)$  are maximal compact subgroups of  $G(\mathbb{Q}_p)$  and  $\pi(\tilde{G}(\mathbb{Z}_p)) \subset D_p \cap G(\mathbb{Z}_p)$ . Applying Proposition 3.3, we have  $D_p = G(\mathbb{Z}_p)$  for all  $p \in R_f - S$ , proving the claim.  $\square$

**3.7.** The following is a special case of [Ma2, Ch IX, 4.15].

**Theorem.** *Let  $G$  be a connected semisimple almost  $\mathbb{Q}$ -simple  $\mathbb{Q}$ -group. Let  $S$  be a finite subset of  $R$  such that  $\sum_{p \in S} \text{rank}_{\mathbb{Q}_p} G \geq 2$ . If  $G(\mathbb{R})$  is non-compact, we assume that  $\infty \in S$ . If  $\Gamma \subset G(\mathbb{Q})$  and  $\Gamma$  is a lattice in  $G_S$  (when diagonally embedded), then  $\Gamma$  and  $G(\mathbb{Z}_S)$  are commensurable. In particular  $\Gamma$  contains a subgroup of finite index in  $G(\mathbb{Z}_S)$ .*

**3.8.** Set  $G(\mathbb{Q}) \cap (G_{\mathbb{A}}, D_p) = \{x \in G(\mathbb{Q}) \mid x \in D_p \text{ for almost all } p \in R_f\}$ . We identify this set with its image under the diagonal embedding into  $(G_{\mathbb{A}}, D_p)$ .

**Theorem.** *Let  $G$  and  $S$  be as in Lemma 3.7. Let  $D_p$  be a compact open subgroup of  $G(\mathbb{Q}_p)$  for each  $p \in R_f$ . If  $\Gamma$  is a closed subgroup of  $G(\mathbb{Q}) \cap (G_{\mathbb{A}}, D_p)$  such that  $\Gamma^S$  is a lattice in  $G_S = \prod_{p \in S} G(\mathbb{Q}_p)$ , then  $\pi(\tilde{G}(\mathbb{Z}_p)) \subset D_p \subset G(\mathbb{Z}_p)$  for almost all  $p \in R_f$ , where  $\tilde{G}$  and  $\pi$  are as in Lemma 3.5. Furthermore if  $\Gamma$  is a lattice in  $(G_{\mathbb{A}}, D_p)$ , then  $D_p = G(\mathbb{Z}_p)$  for almost all  $p \in R_f$ .*

*Proof.* We first consider the case when  $G$  is simply connected. By Theorem 3.7,  $\Gamma^S$  contains a subgroup of finite index in  $G(\mathbb{Z}_S)$ . Let  $\Delta$  denote the diagonal embedding of the subgroup  $\Gamma^S \cap G(\mathbb{Z}_S)$  into  $\prod_{p \in R_f - S} G(\mathbb{Z}_p)$ . Note that  $\Delta \subset \prod_{p \in R_f - S} (G(\mathbb{Z}_p) \cap D_p) \subset \prod_{p \in R_f - S} G(\mathbb{Z}_p)$ . By Theorem 3.2, the closure  $\overline{\Delta}$  of  $\Delta$  is a compact open subgroup in  $\prod_{p \in R_f - S} G(\mathbb{Z}_p)$ , and hence  $G(\mathbb{Z}_p) \subset pr_p(\overline{\Delta})$  for almost all  $p \in R_f$ . On the other hand, since  $\prod_{p \in R_f - S} (G(\mathbb{Z}_p) \cap D_p)$  is compact, we have  $pr_p(\overline{\Delta}) \subset G(\mathbb{Z}_p) \cap D_p$  for each  $p \in R_f - S$ . Therefore  $G(\mathbb{Z}_p) = D_p$  for almost all  $p \in R_f$  by Lemma 3.4.

If  $G$  is not simply connected, consider the simply connected covering  $\tilde{G}$  and the  $\mathbb{Q}$ -isogeny  $\pi : \tilde{G} \rightarrow G$ . Denote by  $\pi_p$  the restriction of  $\pi$  to  $\tilde{G}(\mathbb{Q}_p)$ . By Lemma 3.5, we have that  $\tilde{G}(\mathbb{Z}_p) = \pi_p^{-1}G(\mathbb{Z}_p)$  for almost all  $p \in R_f$ . Set  $\tilde{\Gamma} = \tilde{G}(\mathbb{Q}) \cap (\tilde{G}_{\mathbb{A}}, \pi_p^{-1}(D_p))$ . Since the kernel of  $\prod_{p \in S} \pi_p$  is finite, it is clear that  $\tilde{\Gamma}^S$  is a lattice in  $\prod_{p \in S} \tilde{G}(\mathbb{Q}_p)$ . Therefore, by the previous simply connected case, we have  $\pi_p^{-1}(D_p) = \tilde{G}(\mathbb{Z}_p)$ ; hence  $\pi_p(\tilde{G}(\mathbb{Z}_p)) \subset D_p$  for almost all  $p \in R_f$ . Therefore for almost  $p \in R_f$ ,  $\pi_p(\tilde{G}(\mathbb{Z}_p)) \subset D_p \subset G(\mathbb{Z}_p)$  by Proposition 3.6.

Now assume that  $\Gamma$  is a lattice in  $(G_{\mathbb{A}}, D_p)$ . Recall that  $G(\mathbb{Q})$  is a lattice in  $(G_{\mathbb{A}}, G(\mathbb{Z}_p))$ . Denote by  $\mu_1$  and  $\mu_2$  the Haar measures on  $(G_{\mathbb{A}}, D_p)$  and  $(G_{\mathbb{A}}, G(\mathbb{Z}_p))$  normalized so that  $\mu_1(D_p) = 1$  and  $\mu(G(\mathbb{Z}_p)) = 1$  for all  $p \in R_f$ , respectively. For each finite  $S \subset R$  containing  $\infty$ ,  $\Gamma^S$  is a subgroup of finite index in  $G(\mathbb{Z}_S)$  and both are lattices in  $G_S$ . Hence  $\mu_2(G_S/\Gamma^S) \geq \mu_2(G_S/G(\mathbb{Z}_S))$ . Note that

$$\mu_2(G_S/\Gamma^S) = \frac{\mu_1(G_S/\Gamma^S)}{\prod_{p \in R_f \cap S} [G(\mathbb{Z}_p) : D_p]}.$$

For any increasing sequence  $S_i, i = 1, 2, \dots$  such that  $R = \cup_i S_i$ , the measures  $\mu_2(G_{S_i}/G(\mathbb{Z}_{S_i}))$  and  $\mu_1(G_{S_i}/\Gamma^{S_i})$  converge to the measures  $\mu_2((G_{\mathbb{A}}, G(\mathbb{Z}_p))/G(\mathbb{Q}))$  and  $\mu_1((G_{\mathbb{A}}, D_p)/\Gamma)$  respectively. Hence  $\lim_{i \rightarrow \infty} \prod_{p \in R_f \cap S_i} [G(\mathbb{Z}_p) : D_p]$  should be bounded. Hence  $D_p = G(\mathbb{Z}_p)$  for almost all  $p \in R_f$ .  $\square$

**3.9. Theorem.** *Let  $G$  be a connected semisimple almost  $\mathbb{Q}$ -simple group and  $D_p$  a compact open subgroup of  $G(\mathbb{Q}_p)$  for each  $p \in R_f$ . If  $G$  is not simply connected, assume that  $D_p$  is a maximal compact open subgroup for almost all  $p \in R_f$ . Then the following are equivalent.*

- (1) *The adèle group  $(G_{\mathbb{A}}, D_p)$  admits a lattice contained in  $G(\mathbb{Q}) \cap (G_{\mathbb{A}}, D_p)$ .*
- (2) *There exists a finite subset  $S \subset R$  such that  $\sum_{p \in S} \text{rank}_{\mathbb{Q}_p} G \geq 2$ , and  $\Gamma^S$  is a lattice in  $\prod_{p \in S} G(\mathbb{Q}_p)$  where  $\Gamma = G(\mathbb{Q}) \cap (G_{\mathbb{A}}, D_p)$ .*
- (3)  *$D_p = G(\mathbb{Z}_p)$  for almost all  $p \in R_f$ .*

*Proof.* To show (1)  $\Rightarrow$  (2), since  $G(\mathbb{Q}_p)$  is non-compact for almost all  $p \in R$  (see 2.5), there exists a finite subset  $S \subset R$  such that  $\sum_{p \in S} \text{rank}_{\mathbb{Q}_p} G \geq 2$ . If  $G_\infty(\mathbb{R})$  is non-compact, we assume  $\infty \in S$ . Let  $\Delta$  be a lattice in  $(G_{\mathbb{A}}, D_p)$  contained in  $G(\mathbb{Q})$ . It is not difficult to check that  $\Gamma$  is a discrete subgroup of  $(G_{\mathbb{A}}, D_p)$ . Since  $\Delta \subset \Gamma$ , the subgroup  $\Gamma$  is a lattice in  $(G_{\mathbb{A}}, D_p)$  as well. Hence  $\Gamma^S$  is a lattice in  $\prod_{p \in S} G(\mathbb{Q}_p)$  by Lemma 3.1. The direction (2)  $\Rightarrow$  (3) follows from Theorem 3.8. If (3) holds, then  $(G_{\mathbb{A}}, D_p) = (G_{\mathbb{A}}, G(\mathbb{Z}_p))$  in the sense that the identity map provides a topological group isomorphism between them, and hence  $G(\mathbb{Q})$  is a lattice in  $(G_{\mathbb{A}}, D_p)$ .  $\square$

**3.10.** The second claim in Theorem 3.8 combined with Theorem 3.9 yields the following:

**Theorem.** *Let  $G$  be a connected semisimple almost  $\mathbb{Q}$ -simple group and  $D_p$  a compact open subgroup of  $G(\mathbb{Q}_p)$  for each  $p \in R_f$ . Then the following are equivalent.*

- (1) *The adèle group  $(G_{\mathbb{A}}, D_p)$  admits a lattice contained in  $G(\mathbb{Q}) \cap (G_{\mathbb{A}}, D_p)$ .*
- (2)  *$D_p = G(\mathbb{Z}_p)$  for almost all  $p \in R_f$ .*

#### 4. Rationality of an irreducible lattice in $G_{\mathbb{A}}$

**4.1.** In the following we give two definitions of a rational lattice. It is convenient for our purpose to understand the equivalence of the two definitions.

In both definitions, let  $T \subset R$  and let  $G_p$  be a connected semisimple adjoint  $\mathbb{Q}_p$ -group without any  $\mathbb{Q}_p$ -anisotropic factors for each  $p \in T$  and  $D_p$  a compact open subgroup of  $G(\mathbb{Q}_p)$  for almost all finite  $p \in T$ .

**Definition A.** An irreducible lattice  $\Gamma$  in  $(G_T, D_p)$  is called a *rational* lattice if there exist:

- (1) a connected semisimple adjoint  $\mathbb{Q}$ -simple  $\mathbb{Q}$ -group  $H$ ;
- (2) if  $\infty \notin T$ ,  $H(\mathbb{R})$  is compact;
- (3) for each  $p \in T$ , a decomposition  $H = H_p^1 \times H_p^2$  where  $H_p^1$  and  $H_p^2$  are connected semisimple adjoint  $\mathbb{Q}_p$ -groups;
- (4) for each  $p \in T$ , a maximal compact open subgroup  $M_p \subset H_p^2(\mathbb{Q}_p)$  with  $M_p = H(\mathbb{Z}_p) \cap H_p^2(\mathbb{Q}_p)$  for almost all finite  $p \in T$ ; and
- (5) a family of  $\mathbb{Q}_p$ -epimorphisms  $f_p : H \rightarrow G_p$ ,  $p \in T$  with  $\ker f_p = H_p^2$  and  $f_p(H(\mathbb{Z}_p)) = D_p$  for almost all finite  $p \in T$

such that  $\Gamma$  is commensurable with the subgroup

$$f \left( H(\mathbb{Q}(T)) \cap \prod_{p \in T} (H_p^1(\mathbb{Q}_p) \times M_p) \right)$$

where  $f = \prod_{p \in T} f_p$ .

**Definition B.** An irreducible lattice in  $(G_T, D_p)$  is called a *rational* lattice if there exist a number field  $K$ , a connected absolutely simple  $K$ -group  $\mathcal{H}$  and a subset  $B \subset R_K - \mathcal{T}(\mathcal{H})$  containing all archimedean valuations in  $R_K - \mathcal{T}(\mathcal{H})$  such that there exists a topological group isomorphism  $f : (\mathcal{H}_B, \mathcal{H}(\mathcal{O}_v)) \rightarrow (G_T, D_p)$  and  $f(\mathcal{H}(K(B)))$  is commensurable with  $\Gamma$ .

*Remark.* When  $T$  is finite, Definition A (essentially) coincides with that of an  $S$ -arithmetic lattice given in [Zi, Theorem 10.1.12] and Definition B coincides with that of an  $S$ -arithmetic lattice given in [Ma2, Ch IX]

**4.2. Proposition.** *Definitions A and B are equivalent.*

*Proof.* Assume that  $\Gamma$  is a rational lattice in  $(G_T, D_p)$  as in Definition A. For any  $H$  as in (1), there exist a number field  $K$  and a connected absolutely simple  $K$ -group  $\mathcal{H}$  such that  $H = \text{Rest}_{K/\mathbb{Q}} \mathcal{H}$ . For each  $p \in T$ , let  $I_p \subset R_K$  be as in 2.4. Then considering  $H$  as a  $\mathbb{Q}_p$ -group and  $\mathcal{H}$  as a  $K_v$ -group for each  $v \in I_p$ , we have the decomposition  $H = \prod_{v \in I_p} \text{Rest}_{K_v/\mathbb{Q}_p} \mathcal{H}$  over  $\mathbb{Q}_p$ . Since  $\mathcal{H}$  is a connected absolutely simple  $K_v$ -group, each  $\text{Rest}_{K_v/\mathbb{Q}_p} \mathcal{H}$  is a connected semisimple adjoint  $\mathbb{Q}_p$ -simple  $\mathbb{Q}_p$ -group. For each  $p \in T$ , we can find a partition of  $I_p$  into  $I_p^1 \cup I_p^2$  such that  $H_p^1 = \prod_{v \in I_p^1} \text{Rest}_{K_v/\mathbb{Q}_p} \mathcal{H}$  and  $H_p^2 = \prod_{v \in I_p^2} \text{Rest}_{K_v/\mathbb{Q}_p} \mathcal{H} = \ker f_p$ . Set  $B = \cup_{p \in T} I_p^1$ . Note that if  $\infty \in T$ ,  $H_\infty^2(\mathbb{R})$  is compact, since otherwise  $H_\infty^2(\mathbb{R})$  does not admit a compact open subgroup. Hence if  $v \in R_K$  is an archimedean valuation with  $\mathcal{H}(K_v)$  non-compact, then  $v \notin I_\infty^2$ . That is,  $I_\infty^1$  and hence  $B$  contains all archimedean valuations in  $R_K - \mathcal{T}(\mathcal{H})$ . If  $\infty \notin T$ ,  $H(\mathbb{R})$  is compact, and hence for all archimedean  $v \in I_\infty$ ,  $\mathcal{H}(K_v)$  is compact, that is,  $v \in \mathcal{T}(\mathcal{H})$ . Since  $G_p(\mathbb{Q}_p)$  has no compact factors,  $B \subset R_K - \mathcal{T}(\mathcal{H})$ .

Since  $M_p = H(\mathbb{Z}_p) \cap H_p^2(\mathbb{Q}_p)$  for almost all finite  $p \in T$  and  $M_\infty$  has finite index in  $H_\infty^2(\mathbb{R})$  in the case when  $\infty \in T$ , the subgroup  $\text{Rest}_{K/\mathbb{Q}} \mathcal{H}(K(B))$  is commensurable with

$$\{x \in H(\mathbb{Q}(T)) \mid x \in H_p^1(\mathbb{Q}_p) \times M_p \text{ for each } p \in T\}.$$

Via the map  $\text{Rest}^0$  (see 2.4), the group  $(\mathcal{H}_B, \mathcal{H}(\mathcal{O}_v))$  is isomorphic to  $(H_T^1, H(\mathbb{Z}_p) \cap H^1(\mathbb{Q}_p))$  and the lattice  $\mathcal{H}(K(B))$  is mapped to a subgroup commensurable with the subgroup

$$pr_1 \circ \delta_T (\{x \in H(\mathbb{Q}(T)) \mid x \in H_p^1(\mathbb{Q}_p) \times M_p \text{ for each } p \in T\})$$

where  $\delta_T$  denotes the diagonal embedding of  $H(\mathbb{Q})$  into  $(H_T, H(\mathbb{Z}_p))$  and  $pr_1$  denotes the canonical projection  $(H_T, H(\mathbb{Z}_p)) \rightarrow (H_T^1, H(\mathbb{Z}_p) \cap H_p^1(\mathbb{Q}_p))$ .

Note that  $\text{Rest}^0(\prod_{v \in I_p^1} \mathcal{H}(\mathcal{O}_v)) = H(\mathbb{Z}_p) \cap H_p^1(\mathbb{Q}_p)$  for each finite  $p \in T$ . Hence if  $f_1$  denotes the restriction of the map  $f$  to  $(H_T^1, H(\mathbb{Z}_p) \cap H^1(\mathbb{Q}_p))$ , then  $f_1 \circ \text{Rest}^0$  is an isomorphism of  $(\mathcal{H}_B, \mathcal{H}(\mathcal{O}_v))$  to  $(G_T, D_p)$  and the image of  $\mathcal{H}(K(B))$  under this isomorphism is commensurable with  $\Gamma$ . Hence  $\Gamma$  is a rational lattice in Definition B as well.

To see the converse, if we let  $H = \text{Rest}_{K/\mathbb{Q}} \mathcal{H}$ , then  $H$  is a connected semisimple adjoint  $\mathbb{Q}$ -simple  $\mathbb{Q}$ -group. Let  $T = \{p \in R \mid \text{for some } v \in B, K_v \text{ is a finite extension of } \mathbb{Q}_p\}$ . Note that  $H(\mathbb{R})$  is non-compact if and only if  $\mathcal{H}(K_v)$  is non-compact for an archimedean valuation  $v \in R_K$ . Hence if  $H(\mathbb{R})$  is non-compact, then  $\infty \in T$ , since  $B$  contains all archimedean valuations in  $R_K - \mathcal{T}(\mathcal{H})$ . Let  $I_p^1 = I_p \cap B$  and  $I_p^2 = I_p - I_p^1$ . Set  $H_p^1 = \prod_{v \in I_p^1} \text{Rest}_{K_v/\mathbb{Q}_p} \mathcal{H}$  and  $H_p^2 = \prod_{v \in I_p^2} \text{Rest}_{K_v/\mathbb{Q}_p} \mathcal{H}$ . Note that if  $\infty \in T$ ,  $H_\infty^2(\mathbb{R})$  is compact. It follows from the lemma below that there exist  $\mathbb{Q}_p$ -isomorphisms  $h_p : H_p^1 \rightarrow G_p$ ,  $p \in T$  such that  $h_p(H_p^1(\mathbb{Q}_p) \cap H_p(\mathbb{Z}_p)) = D_p$  for almost all finite  $p \in T$  and  $f = \prod_{p \in T} h_p \circ \text{Rest}^0$  where  $f : (\mathcal{H}_B, \mathcal{H}(\mathcal{O}_v)) \rightarrow (G_T, D_p)$  is the given topological group isomorphism and  $\text{Rest}^0 : \prod_{v \in I_p^1} \mathcal{H}(K_v) \rightarrow H_p^1(\mathbb{Q}_p)$  as in 2.4. If  $pr_p$  denotes the natural projection  $H \rightarrow H_p^1$ , then the map  $f_p = h_p \circ pr_p$  is a  $\mathbb{Q}_p$ -epimorphism from  $H \rightarrow G_p$  with  $\ker f_p = H_p^2$  and  $f_p(H(\mathbb{Z}_p)) = D_p$  for almost all finite  $p \in T$ . Set  $M_p = H(\mathbb{Z}_p) \cap H_p^2(\mathbb{Q}_p)$  for each finite  $p \in T$ . If  $\infty \in T$ , set  $M_\infty = H_\infty^2(\mathbb{R})$ . Then  $\mathcal{H}(K(B))$ , is commensurable to the subgroup

$$\{x \in \mathcal{H}(K(B_0)) \mid \prod_{v \in I_p} \text{Rest}_{K_v/\mathbb{Q}_p} x \in H_p^1 \times M_p \text{ for each } p \in T\}$$

where  $B_0 = \cup_{p \in T} I_p$ . Therefore via the map  $\prod_{p \in T} f_p$ ,  $\Gamma$  is commensurable to

$$\{x \in H(\mathbb{Q}(T)) \mid x \in H_p^1(\mathbb{Q}_p) \times M_p \text{ for each } p \in T\}.$$

Hence  $\Gamma$  is rational as in Definition A.  $\square$

We formulate the lemma used in the above proof.

**4.3. Lemma.** *Let  $S, T \subset R$ . Let  $G_p, p \in S$  (resp.  $H_p, p \in T$ ) be connected semisimple adjoint  $\mathbb{Q}_p$ -groups without any  $\mathbb{Q}_p$ -anisotropic factors and  $M_p \subset G_p(\mathbb{Q}_p)$  (resp.  $L_p \subset H_p(\mathbb{Q}_p)$ ) compact open subgroups for each finite prime  $p \in S$  (resp.  $p \in T$ ). Assume that  $M_p$  and  $L_p$  are maximal compact subgroups for almost all finite  $p \in S$ . If  $f : (G_S, M_p) \rightarrow (H_T, L_p)$  is a topological group isomorphism, then  $S = T$ , there exist  $\mathbb{Q}_p$ -isomorphisms  $f_p : G_p \rightarrow H_p$ ,  $p \in S$  such that  $f_p(M_p) = L_p$  for almost all finite  $p \in S$  and  $f(x) = \prod_{p \in S} f_p(x)$  for any  $x \in (G_S, M_p)$ .*

*Proof.* For each  $p \in S$  and  $r \in T$ , consider the map  $f_{pr} : G_p(\mathbb{Q}_p) \rightarrow H_r(\mathbb{Q}_r)$  defined by  $f_{pr}(g) = pr_r(f(g))$  for each  $g \in G_p(\mathbb{Q}_p)$ . Here  $pr_r : (H_T, L_p) \rightarrow H_r(\mathbb{Q}_r)$  denotes the natural projection map. Then  $f_{pr}$  is a continuous homomorphism for each  $p$  and  $r$ . Since  $f$  is an isomorphism, for each  $p \in S$ ,  $f_{pr}(G_r(\mathbb{Q}_r)) \neq \{e\}$  for some  $r \in T$ . By [Ma2, Ch I, Proposition 2.6.1], we have  $f_{pr}(G_r(\mathbb{Q}_r)) \neq \{e\}$  if and only if  $p = r$ , and when  $p = r$ , the topological group isomorphism  $f_{pp} : G_p(\mathbb{Q}_p) \rightarrow H_p(\mathbb{Q}_p)$  extends to a rational  $\mathbb{Q}_p$ -isomorphism  $f_p : G_p \rightarrow H_p$ . It follows that  $S = T$ . Since the restriction of  $f$  to  $(G_{S \cap R_f}, M_p)$  induces an isomorphism  $f' : (G_{S \cap R_f}, M_p) \rightarrow (H_{T \cap R_f}, L_p)$ , the image  $f'(\prod_{p \in S \cap R_f} M_p)$  is an open compact subgroup of  $(H_{T \cap R_f}, L_p)$ . Since  $f'(\prod_{p \in S \cap R_f} M_p) = \prod_{p \in S \cap R_f} f_p(M_p)$  and  $f_p(M_p) \subset H_p(\mathbb{Q}_p)$  for each  $p \in S \cap R_f$ ,  $L_p \subset f_p(M_p)$  for almost all finite  $p \in S$ . Since  $M_p$  and  $L_p$  are maximal compact for almost all finite  $p \in S$ , we have that  $L_p = f_p(M_p)$  for almost all finite  $p \in S$ .  $\square$

**4.4.** Margulis's S-arithmeticity theorem states:

**Theorem.** *Let  $S \subset R$  be a finite subset and let  $G_p$  be a connected semisimple adjoint  $\mathbb{Q}_p$ -group without any  $\mathbb{Q}_p$ -anisotropic factors for each  $p \in S$ . If  $\sum_{p \in S} \text{rank}_{\mathbb{Q}_p}(G_p) \geq 2$ , any irreducible lattice in  $G_S$  is an S-arithmetic lattice in  $G_S$ .*

See [Ma2, Ch IX, Theorem 1.11 and the remark 1.3. (iii)] or [Zi, Theorem 10.1.12].

**4.5.** Before we give a proof of rationality theorem which works for uniform and non-uniform lattices simultaneously, we give an instructive simpler proof for an irreducible non-uniform lattice assuming that  $G_\infty$  is absolutely simple. Theorem 1.1 immediately follows from the following:

**Theorem.** *For each  $p \in R$ , let  $G_p$  be a connected semisimple adjoint  $\mathbb{Q}_p$ -group without any  $\mathbb{Q}_p$ -anisotropic factors. For each  $p \in R_f$ , let  $D_p \subset G_p(\mathbb{Q}_p)$  be a compact open subgroup. Assume that  $G_\infty$  is absolutely simple. Fix a finite subset  $S_0 \subset R$  containing  $\infty$  such that  $\sum_{p \in S_0} \text{rank}_{\mathbb{Q}_p}(G_p) \geq 2$ . Let  $\Gamma$  be a subgroup of  $(G_\mathbb{A}, D_p)$  such that  $\Gamma^S$  is an irreducible non-uniform lattice in  $G_S$  for any finite  $S \subset T$  including  $S_0$ . Then there exist a connected absolutely simple  $\mathbb{Q}$ -isotropic  $\mathbb{Q}$ -group  $H$  and a  $\mathbb{Q}_p$ -isomorphism  $f_p : H \rightarrow G_p$  for each  $p \in R$  with  $f_p(H(\mathbb{Z}_p)) = D_p$  for almost all  $p \in R_f$  such that  $\Gamma \subset f(H(\mathbb{Q}))$  where  $f$  is the restriction of  $\prod_{p \in R} f_p$  to  $(H_\mathbb{A}, H(\mathbb{Z}_p))$ .*

*Proof.* Set  $\Omega = \{S \subset R \mid S_0 \subset S, |S| < \infty\}$ .

*Step 1.* Obtain  $\mathbb{Q}$ -forms  $H_S$  for each  $S \in \Omega$ . For any  $S \in \Omega$ , by Theorem 4.4 and Definition A, there exist a connected absolutely simple  $\mathbb{Q}$ -group  $H_S$ ,  $\mathbb{Q}_p$ -isomorphisms

$r_{Sp} : H_S \rightarrow G_p$ ,  $p \in S$  such that  $\Gamma^S$  is commensurable with the subgroup

$$\{(r_{Sp}(x)) \mid x \in H_S(\mathbb{Z}_S)\}.$$

Since the groups  $G_p$ ,  $p \in S$  and  $H_S$  are adjoint, we may assume that  $\Gamma_S$  is a finite index subgroup of  $\{(r_{Sp}(x)) \mid x \in H_S(\mathbb{Z}_S)\}$ .

*Step 2. The  $\mathbb{Q}$ -forms  $H_S$  are all  $\mathbb{Q}$ -isomorphic.* Set  $H = H_{S_0\infty}$  and  $r = r_{S_0\infty}$ . By the assumption and Lemma 3.1,  $\Gamma^\infty$  is a lattice in  $G_\infty(\mathbb{R})$  and hence is Zariski dense in  $G_\infty$  by Borel density theorem. For any  $S \in \Omega$ , since  $\Gamma^\infty \subset r_{S\infty}(H_S(\mathbb{Q})) \cap r(H(\mathbb{Q}))$  and  $r \circ r_{S\infty}^{-1}(\Gamma^\infty) \subset H_S(\mathbb{Q})$ , the map  $r \circ r_{S\infty}^{-1} : H \rightarrow H_S$  is defined over  $\mathbb{Q}$  [Ma2, Ch I, 0.11]. Since both  $H$  and  $H_S$  are absolutely simple, the map  $r \circ r_{S\infty}^{-1}$  is indeed a  $\mathbb{Q}$ -isomorphism.

*Step 3. Define a  $\mathbb{Q}_p$ -isomorphism  $f_p : H \rightarrow G_p$  for each  $p \in R$ .* For each  $p \in R$ , we define a map  $f_p : H \rightarrow G_p$  by  $f_p = r_{Sp} \circ (r_{S\infty})^{-1} \circ r$  for any  $S \in \Omega$  containing  $p$ . To show that this is independent of the choice of  $S$ , we claim that for any  $p \in R$  and for any  $S_1, S_2 \in \Omega$  such that  $p \in S_1 \cap S_2$ ,  $r_{S_1p} \circ r_{S_1\infty}^{-1} = r_{S_2p} \circ r_{S_2\infty}^{-1}$ . Since

$$\Gamma^{\infty,p} \subset \{(r_{S_1\infty}(x), r_{S_1p}(x)) \mid x \in H_{S_1}(\mathbb{Q})\} \cap \{(r_{S_2\infty}(x), r_{S_2p}(x)) \mid x \in H_{S_2}(\mathbb{Q})\},$$

we have that  $r_{S_1p} \circ r_{S_1\infty}^{-1}(z) = r_{S_2p} \circ r_{S_2\infty}^{-1}(z)$  for any  $z \in pr_\infty(\Gamma^{\{\infty,p\}})$ . Since  $pr_\infty(\Gamma^{\{\infty,p\}})$  is a Zariski dense subset in  $G_\infty$ ,  $r_{S_1p} \circ r_{S_1\infty}^{-1} = r_{S_2p} \circ r_{S_2\infty}^{-1}$  and hence the map  $f_p$  is well defined for each  $p \in R$ . Since  $r_{Sp}$  is a  $\mathbb{Q}_p$ -isomorphism and  $(r_{S\infty})^{-1} \circ r$  is a  $\mathbb{Q}$ -isomorphism,  $f_p$  is a  $\mathbb{Q}_p$ -isomorphism.

*Step 4. Show  $\Gamma \subset f(H(\mathbb{Q}))$  where  $f = \prod_{p \in R} f_p$ .* We now claim that  $\Gamma \subset f(H(\mathbb{Q}))$  where  $f = \prod_{p \in R} f_p$  and  $f(H(\mathbb{Q})) = \{(f_p(x)) \mid x \in H(\mathbb{Q})\}$ . It suffices to show that  $\Gamma^S \subset f_S(H(\mathbb{Q})) = \{(f_p(x))_{p \in S} \mid x \in H(\mathbb{Q})\}$  for each  $S \in \Omega$ . But  $\Gamma^S \subset \{(r_{Sp}(x))_{p \in S} \mid x \in H_S(\mathbb{Q})\}$ . If  $x \in H_S(\mathbb{Q})$ , then there exists a unique  $y \in H(\mathbb{Q})$  such that  $x = r_{S\infty}^{-1} \circ r(y)$ . Hence  $r_{Sp}(x) = f_p(y)$  for each  $p \in S$ . Therefore  $\Gamma^S \subset f_S(H(\mathbb{Q}))$  for any  $S \in \Omega$ .

*Step 5. Show  $f_p(H(\mathbb{Z}_p)) = D_p$  for almost all  $p \in R_f$ .* The product map  $f$  induces a topological group isomorphism from  $(H_\mathbb{A}, f_p^{-1}(D_p))$  to  $(G_\mathbb{A}, D_p)$ . Note that  $f^{-1}(\Gamma) \subset H(\mathbb{Q}) \cap (H_\mathbb{A}, f_p^{-1}(D_p))$ . Since  $f^{-1}(\Gamma)^S$  is a lattice in  $\prod_{p \in S} H(\mathbb{Q}_p)$  for any  $S \in \Omega$ , by Theorem 3.10, we have  $f_p^{-1}(D_p) = H(\mathbb{Z}_p)$ , or equivalently  $f_p(H(\mathbb{Z}_p)) = D_p$ , for almost all  $p \in R_f$ . This finishes the proof.  $\square$

**4.6.** The proof of Theorem 1.3 is more involved in general cases. We will need the following preparation before giving its proof. In view of the equivalence of the two definitions given in 4.1, the following is a direct corollary of [Ma2, Ch VIII, Theorem 3.6]:



**Proposition.** *Let  $H$  be a connected semisimple adjoint  $\mathbb{Q}$ -simple  $\mathbb{Q}$ -group. Let  $S$  be a finite subset of  $R$ . Assume that  $\infty \in S$  if  $H(\mathbb{R})$  is non-compact. For each  $p \in S$ , let  $H = H_p^1 \times H_p^2$  where the subgroups  $H_p^1$  and  $H_p^2$  are connected normal  $\mathbb{Q}_p$ -subgroups of  $H$ ,  $H_p^1$  has no  $\mathbb{Q}_p$ -anisotropic factors and  $M_p \subset H_p^2(\mathbb{Q}_p)$  a compact open subgroup. Let  $F$  be a connected adjoint semisimple  $\mathbb{Q}$ -group,  $\Lambda$  a subgroup of  $H$  commensurable with  $\{x \in H(\mathbb{Q}(S)) \mid x \in (H_p^1(\mathbb{Q}_p) \times M_p) \text{ for each } p \in S\}$  and  $\delta : \Lambda \rightarrow F(\mathbb{Q})$  a homomorphism with a Zariski dense image in  $F$ . Assume that  $\sum_{p \in S} \text{rank}_{\mathbb{Q}_p}(H_p^1) \geq 2$ . Then there exists a (unique)  $\mathbb{Q}$ -isomorphism  $j : H \rightarrow F$  which extends  $\delta$ .*

**4.7. Lemma.** *Let  $S$  and  $G_p$  be as on Theorem 4.4. If  $\Gamma$  is an irreducible lattice in  $G_S$ . Then for any  $p \in S$ , the restriction of  $pr_p : G_S \rightarrow G_p$  to  $\Gamma$  is injective.*

*Proof.* If  $S = \{p\}$ , the statement is trivial. Suppose not. Set  $N = \{\gamma \in \Gamma \mid pr_p(\gamma) = e\}$ . Then  $N$  is a normal subgroup of  $\Gamma$ . Since the lattice  $\Gamma$  is irreducible, the image of  $\Gamma$  under  $pr_p$  is infinite. Hence  $N$  is not commensurable with  $\Gamma$ . By Margulis's normal subgroup theorem [Ma2, Ch VIII, Theorem 2.6],  $N$  is contained in the center of  $G_S$ . Since the groups  $G_p$  are adjoint, the center of  $G_S$  is trivial, proving the claim.  $\square$

**4.8. Lemma.** *For any  $p \in R$ , let  $G$  be a connected reductive  $\mathbb{Q}_p$ -group. Then any compact open subgroup of  $G_p(\mathbb{Q}_p)$  is contained in only a finitely number of compact subgroups of  $G_p(\mathbb{Q}_p)$ .*

*Proof.* If  $G(\mathbb{R})$  contains a compact open subgroup, say  $U$ , it follows that  $G(\mathbb{R})$  itself is compact and  $U$  has a finite index in  $G(\mathbb{R})$ . Hence the claim follows. For a finite prime  $p$ , see [PR, Proposition 3.6, P 136].  $\square$

**4.9.** We are now ready to prove the main theorem:

**Theorem.** *Let  $T \subset R$ . For each  $p \in T$ , let  $G_p$  be a connected semisimple adjoint  $\mathbb{Q}_p$ -group without any  $\mathbb{Q}_p$ -anisotropic factors. For almost all finite  $p \in T$ , let  $D_p \subset G_p(\mathbb{Q}_p)$  be a maximal compact open subgroup. Fix a finite subset  $S_0 \subset T$  (containing  $\infty$  if  $\infty \in T$ ) such that  $\sum_{p \in S_0} \text{rank}_{\mathbb{Q}_p}(G_p) \geq 2$ . Let  $\Gamma$  be a subgroup of  $(G_T, D_p)$  such that  $\Gamma^S$  is an irreducible lattice in  $G_S$  for any finite  $S \subset T$  including  $S_0$ . Then  $\Gamma$  is contained in some rational lattice in  $(G_T, D_p)$ .*

*Proof.* Set  $p_0 = \infty$  if  $\infty \in T$ , and otherwise let  $p_0$  be any fixed prime in  $S_0$ . Set  $\Omega = \{S \subset T \mid S_0 \subset S, |S| < \infty\}$ .

*Step 1.* Obtain the  $\mathbb{Q}$ -forms  $H_S$ ,  $S \in \Omega$ . For each  $S \in \Omega$ , we denote by  $H_S$ ,  $H_{S_p}^1$ ,  $H_{S_p}^2$ ,  $M_{S_p}$ ,  $f_{S_p}$ ,  $pr_{S_p}$  as in Theorem 4.4 and Definition A in 4.1. Also set  $r_{S_p}$  to be the

composition map  $f_{S_p} \circ pr_{S_p} : H_S \rightarrow G_p$ . Since the groups  $G_p$ ,  $p \in S$  and  $H_S$  are adjoint, we may assume that  $\Gamma^S$  is a subgroup of finite index in

$$\{(r_{S_p}(x))_{p \in S} \mid x \in H_S(\mathbb{Z}_S) \cap (H_{S_p}^1 \times M_{S_p}) \text{ for each } p \in S\}.$$

*Step 2.* The  $\mathbb{Q}$ -forms  $H_S$  are all  $\mathbb{Q}$ -isomorphic. Set  $H = H_{S_0}$  and  $r = r_{S_0 p_0}$ . We first claim that the group  $H_S$  is  $\mathbb{Q}$ -isomorphic to  $H$  for any  $S \in \Omega$ . Consider the maps  $r : H \rightarrow G_{p_0}$  and  $r_{S_{p_0}} : H_S \rightarrow G_\infty$ . For simplicity, we set  $pr_{p_0} = pr_0$ . Since  $pr_0(\Gamma^S) \subset r_{S_{p_0}}(H_S(\mathbb{Z}_S))$  for any  $S \in \Omega$ , the set  $r_{S_{p_0}}^{-1}(pr_0(\Gamma^S)) = \{x \in H_S(\mathbb{Z}_S) \mid r(x) \in pr_{S_{p_0}}(\Gamma^S)\}$  is contained in  $H_S(\mathbb{Z}_S)$ .

Since the map  $r_{S_{p_0}}$  is injective over  $r_{S_{p_0}}^{-1}(pr_0(\Gamma^{S_0}))$  by Lemma 4.7, the composition map  $r_{S_{p_0}}^{-1} \circ r$  is well defined on  $r^{-1}(pr_0(\Gamma^{S_0}))$ , which we denote by  $j_{S_{p_0}} : r^{-1}(pr_0(\Gamma^{S_0})) \rightarrow H_S(\mathbb{Q})$ . By Proposition 4.6, the map  $j_{S_{p_0}}$  extends to a  $\mathbb{Q}$ -rational isomorphism  $j_S : H \rightarrow H_S$ , proving our claim.

*Step 3.* Define  $\mathbb{Q}_p$ -epimorphisms  $f_p : H \rightarrow G_p$ . For each  $p \in T$ , we define a map  $f_p : H \rightarrow G_p$  by  $f_p = r_{S_p} \circ j_S$  for any  $S \in \Omega$  containing  $p$ . To show that this is independent of the choice of  $S$ , we claim that for any  $p \in T$  and for any  $S_1, S_2 \in \Omega$  such that  $p \in S_1 \cap S_2$ ,  $r_{S_1 p} \circ j_{S_1} = r_{S_2 p} \circ j_{S_2}$ . Note that  $r$  is injective over  $H(\mathbb{Z}_{S_0})$  by Lemma 4.7. We let  $r^{-1}(\Gamma^{S_0}) = \{x \in H(\mathbb{Z}_{S_0}) \mid r(x) \in \Gamma^{S_0}\}$ .

Since  $H_{S_0}$  is  $\mathbb{Q}$ -simple, it follows that  $r^{-1}(pr_0(\Gamma^{S_0}))$  is Zariski dense in  $H_{S_0}$ . Hence it suffices to verify this equality for any  $x \in r^{-1}(pr_0(\Gamma^{S_0}))$ . There exists a unique (see Lemma 4.7) element  $y \in \Gamma^{S_0}$  such that  $r(x) = pr_0(y)$ , and there exist elements  $z_i \in H_{S_i}(\mathbb{Q})$ ,  $i = 1, 2$  such that  $y = pr_{S_0}(r_{S_1 q}(z_1)) = pr_{S_0}(r_{S_2 q}(z_2))$ . Again by Lemma 4.7, we have  $r_{S_1 p}(z_1) = r_{S_2 p}(z_2)$ . Then  $r_{S_1 p} \circ j_{S_1}(x) = r_{S_1 p} \circ r_{S_1 p_0}^{-1} \circ r(x) = r_{S_1 p}(z_1)$  which is equal to  $r_{S_2 p}(z_2) = r_{S_2 p} \circ r_{S_2 p_0}^{-1} \circ r(x) = r_{S_2 p} \circ j_{S_2}(x)$ . This proves our claim, yielding that  $f_p$  is well defined for each  $p \in T$ . Since  $r_{S_p}$  is a  $\mathbb{Q}_p$ -epimorphism and  $j_S$  is a  $\mathbb{Q}$ -isomorphism,  $f_p$  is a  $\mathbb{Q}_p$ -epimorphism.

*Step 4.* The groups  $H_p^1$ ,  $H_p^2$  and  $M_p$ . Note that  $\ker f_p$  is a connected semisimple adjoint  $\mathbb{Q}_p$ -group, as is any connected normal  $\mathbb{Q}_p$ -subgroup of  $H$ . Letting  $H_p^2 = \ker f_p$  for each  $p \in T$ , there exists a connected semisimple adjoint  $\mathbb{Q}_p$ -group  $H_p^1$  such that  $H = H_p^1 \times H_p^2$ . Note that the restriction  $f_p : H_p^1 \rightarrow G_p$  is a  $\mathbb{Q}_p$ -isomorphism. Since  $j_S$  is a  $\mathbb{Q}$ -isomorphism,  $H_p^1 = j_S^{-1}(H_{S_p}^1)$  and  $H_p^2 = j_S^{-1}(H_{S_p}^2)$  for each  $S \in \Omega$  containing  $p$ .

We claim that there exists a compact open subgroup, say,  $M_p$ , of  $H_p^2(\mathbb{Q}_p)$  such that  $f_p^{-1}(pr_p(\Gamma^S)) \subset M_p$  for each  $S \in \Omega$  containing  $p$ . Note that  $f_p^{-1}(pr_p(\Gamma^{S_0})) \subset f_p^{-1}(pr_p(\Gamma^S))$  for each  $S \in \Omega$  containing  $p$ . On the other hand, the latter subgroup is

contained in the compact open subgroup  $j_S^{-1}(M_{S_p}) \subset H_{S_p}^2(\mathbb{Q}_p)$ . Since  $f_p^{-1}(pr_p(\Gamma^{S_0})) \subset \cap_{S \in \Omega} j_S^{-1}(M_{S_p})$ ,  $\cap_{S \in \Omega} j_S^{-1}(M_{S_p})$  is a compact open subgroup of  $H_p^2(\mathbb{Q}_p)$ . Hence by Lemma 4.8,  $\cup_{p \in S \in \Omega} j_S^{-1}(M_{S_p}) = j_{S_m}^{-1}(M_{S_m p})$  for some  $S_m \in \Omega$ . It suffices to set  $M_p$  to be a maximal compact open subgroup of  $H_p^2(\mathbb{Q}_p)$  containing  $j_{S_m}^{-1}(M_{S_m p})$ .

*Step 5.* Show  $\Gamma \subset f(H(\mathbb{Q}))$  where  $f = \prod_{p \in T} f_p$ . We now claim that  $\Gamma \subset f(H(\mathbb{Q}) \cap \prod_{p \in T} (H_p^1 \times M_p))$  where  $f = \prod_{p \in T} f_p$ . It suffices to show that  $\Gamma^S \subset f_S(H(\mathbb{Q}) \cap \prod_{p \in R} (H_p^1 \times M_p))$  for each  $S \in \Omega$ , where  $f_S = \prod_{p \in S} f_p$ . For any  $\gamma \in \Gamma^S$ , we have  $\gamma = (r_{S_p}(x))_{p \in S}$  for some  $x \in H_S(\mathbb{Q})$  and in the decomposition  $x = x_p^1 x_p^2$  for  $x_p^1 \in H_{S_p}^1$  and  $H_{S_p}^2$ , we have  $x_p^2 \in M_p$ . Since  $x \in H_S(\mathbb{Q})$ , then there exists a unique  $y \in H(\mathbb{Q})$  such that  $x = j_S(y)$ . Hence  $r_{S_p}(x) = f_p(y)$  and  $y = j_S^{-1}(x_p^1) j_S^{-1}(x_p^2)$  where  $j_S^{-1}(x_p^1) \in H_p^1$  and  $j_S^{-1}(x_p^2) \in j_S^{-1}(\ker pr_{S_p}) \subset M_p$  for each  $p \in S$ . Therefore  $\Gamma^S \subset f_S(H(\mathbb{Q}) \cap \prod_{p \in R} (H_p^1 \times M_p))$  for any  $S \in \Omega$ .

*Step 6.*  $f_p(H(\mathbb{Z}_p)) = D_p$  for almost all finite  $p \in T$ . For each  $p \in T$ , set  $D'_p = \{x \in H_p^1(\mathbb{Q}_p) \mid f_p(x) \in D_p\}$ . For  $p \in T$ , set  $L_p = D'_p \times M_p$  and for  $p \in R_f - T$ ,  $L_p = H(\mathbb{Z}_p)$ . Consider the adèle group  $(H_{\mathbb{A}}, L_p)$ . Now the subgroup  $\{x \in H(\mathbb{Q}) \mid f_T(x) \in \Gamma\}$  satisfies the property (2) in Theorem 3.8 where  $f_T = \prod_{p \in T} f_p$ . Hence  $L_p = H(\mathbb{Z}_p)$  for almost all  $p \in R_f$ , and hence we have  $D'_p \times M_p = H(\mathbb{Z}_p)$  and  $M_p = H(\mathbb{Z}_p) \cap H_p^2(\mathbb{Q}_p)$  for almost all finite  $p \in T$ . Therefore  $f_p(H(\mathbb{Z}_p)) = D_p$  for almost all finite  $p \in T$ . Therefore we have constructed  $(H, f_p, M_p)$  as required in Definition A.  $\square$

**4.10. Corollary.** *Let  $T \subset R$ . For each  $p \in T$ , let  $G_p$  be a connected semisimple adjoint  $\mathbb{Q}_p$ -group without any  $\mathbb{Q}_p$ -anisotropic factors. For each finite  $p \in T$ , let  $D_p \subset G_p(\mathbb{Q}_p)$  be a compact open subgroup. If  $\sum_{p \in T} \text{rank}_{\mathbb{Q}_p}(G_p) \geq 2$ . then any irreducible lattice in  $(G_T, D_p)$  is rational.*

*Proof.* The condition on maximality of  $D_p$ 's was used only in Step 6 in the above proof. Here instead of referring to Theorem 3.9, it suffices to refer to Theorem 3.10 to deduce  $L_p = H(\mathbb{Z}_p)$  for almost all  $p \in R_f$ . Then the rest proceeds exactly the same way.  $\square$

**4.11.** Without the assumption of  $G_p$  being adjoint, we can deduce the following from Theorem 4.5 and Theorem 3.9:

**Proposition.** *For each  $p \in R$ , let  $G_p$  be a connected semisimple  $\mathbb{Q}_p$ -group without any  $\mathbb{Q}_p$ -anisotropic factors and let  $G_{\infty}$  be absolutely almost simple. Let  $D_p$  be a compact open subgroup of  $G_p(\mathbb{Q}_p)$  for each  $p \in R_f$ . If  $\Gamma$  is an irreducible non-uniform lattice in  $(G_{\mathbb{A}}, D_p)$ , then there exists a connected absolutely simple  $\mathbb{Q}$ -group  $H$  and a  $\mathbb{Q}_p$ -isogeny  $f_p : G_p \rightarrow H$  for each  $p \in R$  such that  $\pi(\tilde{H}(\mathbb{Z}_p)) \subset f_p(D_p) \subset H(\mathbb{Z}_p)$  for almost  $p \in R$*

and  $\prod_{p \in R} f_p(\Gamma) \subset H(\mathbb{Q})$  where  $\tilde{H}$  is the simply connected covering of  $H$  and  $\pi : \tilde{H} \rightarrow H$  is the  $\mathbb{Q}$ -isogeny.

**Example.** Let  $n \geq 2$  and  $G_p = SL_n$  for each  $p \in R$ . Let  $D_p$  be a (not necessarily maximal) compact open subgroup of  $SL_n(\mathbb{Q}_p)$  for each  $p \in R$ . If  $(G_{\mathbb{A}}, D_p)$  has a non-uniform irreducible lattice, then for almost all  $p \in R_f$ ,  $D_p$  is conjugate to  $SL_n(\mathbb{Z}_p)$  by an element of  $GL_n(\mathbb{Q}_p)$ .

*4.12. Remark.* We remark that the subgroup  $\Gamma$  need not be a lattice in  $G_{\mathbb{A}}$  to satisfy the assumptions in Theorem 4.5 or 4.9. Let  $G$  be a connected absolutely simple  $\mathbb{Q}$ -isotropic  $\mathbb{Q}$ -group. If  $G(\mathbb{Q})^+ \subset \Lambda \subset G(\mathbb{Q})$ ,  $\Lambda^S$  is an irreducible lattice in  $G_S$  for any finite set  $S$  containing  $\infty$  such that  $\sum_{p \in S} \text{rank}_{\mathbb{Q}_p}(G_p) \geq 2$ . Indeed,  $\Lambda^S$  is a discrete subgroup of  $G_S$  such that  $G(\mathbb{Q})^{+S} \subset \Lambda^S \subset G(\mathbb{Q})^S$ . Note that  $G(\mathbb{Z}_S) = G(\mathbb{Q})^S$  and  $G(\mathbb{Q})^{+S}$  is an infinite normal subgroup of  $G(\mathbb{Z}_S)$ . Hence by Margulis's normal subgroup theorem,  $G(\mathbb{Q})^{+S}$  has finite index in  $G(\mathbb{Z}_S)$  ([Ma2, Ch VIII, Theorem 2.6]). Therefore  $\Lambda^S$  is a lattice in  $G_S$ . From the assumption that  $G$  is absolutely simple, the subgroup  $G(\mathbb{Z}_S)$  and hence  $\Lambda^S$  is an *irreducible* lattices in  $G_S$ .

However  $G(\mathbb{Q})^+$  does not have finite index in  $G(\mathbb{Q})$  in general. If we denote by  $\tilde{G}$  the simply connected covering of  $G$  and  $\pi : \tilde{G} \rightarrow G$  is the  $\mathbb{Q}$ -isogeny, then  $G(\mathbb{Q})^+ = \pi(\tilde{G}(\mathbb{Q}))$ . Suppose that  $H^1(\mathbb{Q}, \tilde{G})$  is trivial, this happens for example if  $\tilde{G} = SL_n$ . Let  $C$  denote the kernel of  $\pi$ . From the exact sequence  $1 \rightarrow C \rightarrow \tilde{G} \rightarrow G \rightarrow 1$  it follows that

$$G(\mathbb{Q})/G(\mathbb{Q})^+ = G(\mathbb{Q})/\pi(\tilde{G}(\mathbb{Q})) \approx H^1(\mathbb{Q}, C).$$

If  $G = PGL_n$  and  $\tilde{G} = SL_n$ , then

$$H^1(\mathbb{Q}, C) = H^1(\mathbb{Q}, \mu_n) = \mathbb{Q}^*/(\mathbb{Q}^*)^n$$

where  $\mu_n$  is the  $n$ -th root of unity.

**4.13.** For a connected semisimple  $\mathbb{Q}$ -group  $H$ , for almost all  $p \in R_f$ ,  $H$  is unramified over  $\mathbb{Q}_p$ , that is, quasi-split over  $\mathbb{Q}_p$  and split over an unramified extension of  $\mathbb{Q}_p$ . For such primes  $p \in R_f$ ,  $H(\mathbb{Z}_p)$  is a hyperspecial subgroup of  $H(\mathbb{Q}_p)$  or equivalently, a compact subgroup whose volume is maximum among all compact subgroups of  $H(\mathbb{Q}_p)$ . Hyperspecial subgroups of  $H(\mathbb{Q}_p)$  are conjugate to each other by an element of  $H^{ad}(\mathbb{Q}_p)$  where  $H^{ad}$  is the adjoint group of  $H$  [Ti, 3.8].

**Theorem.** Let  $T \subset R$  and let  $G_p$  be a connected semisimple adjoint  $\mathbb{Q}_p$ -group without any  $\mathbb{Q}_p$ -anisotropic factors for each  $p \in T$ . Assume that  $\sum_{p \in T} \text{rank}_{\mathbb{Q}_p}(G_p) \geq 2$ . Then

the group  $(G_T, D_p)$  admits an irreducible lattice if and only if there exist a connected semisimple  $\mathbb{Q}$ -simple  $\mathbb{Q}$ -group  $H$  such that  $G_p$  is  $\mathbb{Q}_p$ -isomorphic to a connected normal  $\mathbb{Q}_p$ -subgroup of  $H$  for each  $p \in T$  and  $D_p$  is a subgroup whose volume is maximum among all compact subgroups of  $G_p(\mathbb{Q}_p)$  for almost all finite  $p \in T$ .

*Proof.* The “only if” direction follows from Corollary 4.10, Definition A in 4.1 and the above remark. To see the other direction, denote by  $f_p : H \rightarrow G_p$  a  $\mathbb{Q}_p$ -epimorphism for each  $p \in T$ . Let  $S$  be a finite subset of  $R_f$  such that for any  $p \in R_f - S$ ,  $H$  unramified over  $\mathbb{Q}_p$  and  $H(\mathbb{Z}_p)$  is a hyperspecial subgroup of  $H(\mathbb{Q}_p)$ . By the hypothesis on  $D_p$ , we can find a hyperspecial subgroup  $D'_p \subset H(\mathbb{Q}_p)$  such that  $D_p \subset f(D'_p)$  for each  $p \in (R_f - S) \cap T$ . Hence for each  $p \in (R_f - S) \cap T$ , there exists  $g_p \in H^{ad}(\mathbb{Q}_p)$  such that  $g_p D'_p g_p^{-1} = H(\mathbb{Z}_p)$ . Let  $\phi_p = \text{int} g_p$  if  $p \in (R_f - S) \cap T$  and  $\phi_p = \text{id}$  if  $p \in R \cap \{S, \infty\} \cap T$ . Then  $\phi = \prod_{p \in T} \phi_p$  yields a topological group isomorphism between  $(H_T, D'_p)$  and  $(H_T, H(\mathbb{Z}_p))$ . Since  $H(\mathbb{Q}(T))$  is an irreducible ( $H$  being almost  $\mathbb{Q}$ -simple) lattice in  $(H_T, H(\mathbb{Z}_p))$ ,  $\phi^{-1}(H(\mathbb{Q}(T)))$  is an irreducible lattice in  $(H_T, D'_p)$ . For each  $p \in T$ , write  $H = H_p^1 \times \ker f_p$  and  $D'_p = M_p^1 \times M_p^2$  so that  $M_p^1 \subset H_p^1(\mathbb{Q}_p)$  and  $M_p^2 \subset \ker f_p(\mathbb{Q}_p)$ . Since  $\prod_{p \in T} (H_p^1(\mathbb{Q}_p) \times M_p^2) \cap (H_T, D'_p)$  is an open subgroup of  $(H_T, D'_p)$ , the intersection  $\phi^{-1}(H(\mathbb{Q})) \cap \prod_{p \in T} (H_p^1(\mathbb{Q}_p) \times M_p^2)$  is a lattice in  $\prod_{p \in T} (H_p^1(\mathbb{Q}_p) \times M_p^2) \cap (H_T, D'_p)$ . Now the canonical projection  $pr : \prod_{p \in T} (H_p^1(\mathbb{Q}_p) \times M_p^2) \cap (H_T, D'_p) \rightarrow (H_T^1, M_p^1)$  has compact kernel, the subgroup  $pr(\phi^{-1}(H(\mathbb{Q})) \cap \prod_{p \in T} (H_p^1(\mathbb{Q}_p) \times M_p^2))$  is a lattice in  $(H_T^1, M_p^1)$ . Since the restriction of  $\prod_{p \in T} f_p$  provides a topological group isomorphism from  $(H_T^1, M_p^1)$  onto  $(G_T, D_p)$ , we obtain a lattice in  $(G_T, D_p)$ . Since  $H$  is  $\mathbb{Q}$ -simple, the lattice obtained this way is irreducible, otherwise, it would yield a proper  $\mathbb{Q}$ -subgroup of  $H$ .  $\square$

**4.14. Corollary.** *Let  $H$  be a connected absolutely simple  $\mathbb{Q}$ -group. Let  $D_p \subset H(\mathbb{Q}_p)$  be a compact open subgroup for each  $p \in R_f$ . If  $(H_{\mathbb{A}}, D_p)$  admits an irreducible lattice, then  $D_p$  is conjugate to  $H(\mathbb{Z}_p)$  for almost all  $p \in R_f$ .*

## 5. Discrete subgroups containing lattices in horospherical subgroups

In the whole section 5, for each  $p \in R$ , let  $G_p$  be a connected semisimple adjoint  $\mathbb{Q}_p$ -group without any  $\mathbb{Q}_p$ -anisotropic factors and  $D_p$  a maximal compact open subgroup for almost all  $p \in R_f$ . We will say that  $(G_{\mathbb{A}}, D_p)$  has a  $\mathbb{Q}$ -form (resp.  $\mathbb{Q}$ -isotropic form) if there exists a connected semisimple adjoint (resp.  $\mathbb{Q}$ -isotropic)  $\mathbb{Q}$ -group  $H$  and a  $\mathbb{Q}_p$ -isomorphism  $f_p : H \rightarrow G_p$  for each  $p \in R$  such that  $f_p(D_p) = H(\mathbb{Z}_p)$  for almost all  $p \in R_f$ . If  $(G_{\mathbb{A}}, D_p)$  has a  $\mathbb{Q}$ -form, we denote by  $G_{\mathbb{A}}(\mathbb{Q})$  (resp.  $G_{\mathbb{A}}(\mathbb{Q})^+$ ) the image of  $H(\mathbb{Q})$  (resp.  $H(\mathbb{Q})^+$ ) under the restriction of  $\prod_{p \in R} f_p$  to  $(G_{\mathbb{A}}, D_p)$ .

**5.1. Theorem.** *Assume that  $\text{rank}_{\mathbb{R}} G_{\infty} \geq 2$ . Let  $P_{1p}, P_{2p}$  be a pair of proper opposite parabolic  $\mathbb{Q}_p$ -subgroups of  $G_p$  for each  $p \in R$ . Let  $\Gamma$  be a subgroup of  $(G_{\mathbb{A}}, D_p)$  containing lattices in  $R_u(P_1)_{\mathbb{A}}$  and  $R_u(P_2)_{\mathbb{A}}$  respectively, where  $R_u(P_i)_{\mathbb{A}} = (G_{\mathbb{A}}, D_p) \cap \prod_{p \in R} R_u(P_{ip})$  for each  $i = 1, 2$ . Assume that (\*)  $\Gamma^{\infty}$  is a lattice in  $G_{\infty}(\mathbb{R})$ . If  $\Gamma$  is discrete, then  $(G_{\mathbb{A}}, D_p)$  has a  $\mathbb{Q}$ -isotropic form such that  $G_{\mathbb{A}}(\mathbb{Q})^+ \subset \Gamma \subset G_{\mathbb{A}}(\mathbb{Q})$ .*

*Proof.* Set  $F_i = \Gamma \cap R_u(P_i)_{\mathbb{A}}$ . By the assumption,  $F_i$  is a lattice in  $R_u(P_i)_{\mathbb{A}}$ . For any finite subset  $S$  of  $R$  containing  $\infty$ ,  $\Gamma^S$  is a discrete subgroup of  $G_S$ , and  $F_i^S$  is a lattice in  $R_u(P_i)_{\mathbb{A}} \cap G_S$  for each  $i = 1, 2$  by Lemma 3.1. Under the hypothesis, it follows from [Oh1] that the subgroup  $\Gamma^S$  is a non-uniform  $S$ -arithmetic lattice in  $G_S$ . Applying Theorem 4.5, we obtain a  $\mathbb{Q}$ -form on  $G_{\mathbb{A}}$  such that  $\Gamma \subset G_{\mathbb{A}}(\mathbb{Q})$ . Without loss of generality, we may assume that there exists a connected absolutely simple  $\mathbb{Q}$ -group  $H$  such that  $\Gamma \subset H(\mathbb{Q})$  and both  $P_{1p}$  and  $P_{2p}$  are parabolic subgroups of  $H$  defined over  $\mathbb{Q}_p$  for each  $p \in R$ . Since  $pr_p(\gamma) = pr_q(\gamma)$  for any  $\gamma \in \Gamma$  and for any  $p, q \in R$ , we have

$$pr_p(\Gamma \cap (R_u(P_i))_{\mathbb{A}}) \subset R_u(P_{ip}) \cap R_u(P_{iq}).$$

On the other hand, since  $\Gamma \cap (R_u(P_i))_{\mathbb{A}}$  is a lattice in  $(R_u(P_i))_{\mathbb{A}}$ , it follows that  $pr_p(\Gamma \cap (R_u(P_i))_{\mathbb{A}})$  is Zariski dense in  $R_u(P_{ip})$  for each  $p \in R$  (c.f. [Lemma 2.3, Oh1]). Hence  $R_u(P_{ip}) = R_u(P_{iq})$  for any  $p, q \in R$ . For some fixed prime  $p \in R$ , set  $U_1 = R_u(P_{1p})$  and  $U_2 = R_u(P_{2p})$ . Then  $pr_p(\Gamma \cap U_i)$  is Zariski dense in  $U_i$ , and hence  $U_i$  is defined over  $\mathbb{Q}$ . It follows that the  $\mathbb{Q}$ -form on  $H$  is isotropic. Since  $\Gamma \cap (U_i)_{\mathbb{A}} \subset U_i(\mathbb{Q})$  and both are lattices in  $(U_i)_{\mathbb{A}}$ ,  $\Gamma \cap (U_i)_{\mathbb{A}}$  has a finite index in  $U_i(\mathbb{Q})$ . But  $U_i(\mathbb{Q})$  is a unipotent group, and hence it has no finite index subgroup except itself. Therefore  $\Gamma \cap (U_i)_{\mathbb{A}} = U_i(\mathbb{Q})$ . It is then well known that the subgroup of  $H(\mathbb{Q})$  generated by  $U_1(\mathbb{Q})$  and  $U_2(\mathbb{Q})$  coincides with  $H(\mathbb{Q})^+$  (see [BT1]). Therefore  $\Gamma$  contains  $H(\mathbb{Q})^+$ . This finishes the proof.  $\square$

**5.2. Corollary.** *Let  $P_1, P_2$  and  $G_{\infty}$  be same as in the above theorem. Let  $F_i$  be a lattice in  $R_u(P_i)_{\mathbb{A}}$  for each  $i = 1, 2$ . Denote by  $\Gamma_{F_1, F_2}$  the subgroup of  $(G_{\mathbb{A}}, D_p)$  generated by  $F_1$  and  $F_2$ . Assume that (\*)  $\Gamma_{F_1, F_2}^{\infty}$  is a lattice in  $G_{\infty}(\mathbb{R})$ . Then  $\Gamma_{F_1, F_2}$  is discrete if and only if there exists a  $\mathbb{Q}$ -form on  $G_{\mathbb{A}}$  such that  $F_i = G_{\mathbb{A}}(\mathbb{Q}) \cap R_u(P_i)_{\mathbb{A}}$  for each  $i = 1, 2$  and  $\Gamma_{F_1, F_2} = G_{\mathbb{A}}(\mathbb{Q})^+$ .*

*Remark 5.3.* Theorem 5.1 and Corollary 5.2 hold without the assumption (\*) for any group  $G_{\infty}$  for which Margulis's conjecture (see [Oh1, Conjecture 0.1]) has been verified. Indeed, for the subgroup  $\Gamma$  in Theorem 5.1 (or for  $\Gamma_{F_1, F_2}$  in Corollary 5.2),  $\Gamma^{\infty}$  is a discrete subgroup containing lattices in a pair of opposite horospherical subgroups in  $G_{\infty}(\mathbb{R})$ . See the remark following Theorem 1.5.

Hence we obtain the following:

**5.4. Corollary.** *Let  $G_\infty$  be  $\mathbb{R}$ -split, rank  $G_\infty \geq 2$  and  $G_\infty(\mathbb{R})$  not locally isomorphic to  $SL_3(\mathbb{R})$ . Then the following sets are all equal:*

- (1) *discrete subgroups in  $G_{\mathbb{A}}$  containing lattices in opposite horospherical subgroups of  $G_{\mathbb{A}}$ ;*
- (2) *subgroups generated by all unipotent elements of a non-uniform irreducible lattice in  $G_{\mathbb{A}}$ ;*
- (3) *subgroups of  $f(H(\mathbb{Q}))$  containing  $f(H(\mathbb{Q}))^+$  for some  $H$  and  $f$  as in Theorem 1.1.*

The above sets are non-empty only when the adèle group  $(G_{\mathbb{A}}, D_p)$  is isomorphic to  $(H_{\mathbb{A}}, H(\mathbb{Z}_p))$  as a topological group for some connected absolutely simple  $\mathbb{Q}$ -isotropic  $\mathbb{Q}$ -group  $H$ .

## 6. Lattices in $(G_{\mathbb{A}}, G(\mathbb{Z}_p))$ .

**6.1.** Let  $G$  be a connected absolutely almost simple  $\mathbb{Q}$ -group. Recall that for any field  $k$ , the non-isomorphic  $k$ -forms of a  $k$ -algebraic variety  $M$  are parametrized by the first Galois cohomology set  $H^1(k, \text{Aut}(M))$  (cf. [PR, 2.2.3]). Therefore the number of non-isomorphic  $\mathbb{Q}$ -forms of  $G$  is determined by the following question on the Hasse principle for  $\text{Aut}(G)$ : what is the size of the kernel (the Shafarevich-Tate group of  $G$ ) of the natural map

$$(*) \quad H^1(\mathbb{Q}, \text{Aut}(G)) \rightarrow \prod_{p \in R} H^1(\mathbb{Q}_p, \text{Aut}(G))?$$

As remarked in [Se, 4.6], a theorem of Borel [Bo, Theorem 6.8] implies that the above kernel is always finite.

If  $G$  does not have any outer automorphism, for instance, if  $G$  is not of type  $A_n$  ( $n \geq 2$ ),  $D_n$  ( $n \geq 4$ ) or  $E_6$ , then  $\text{Aut}(G) = \text{Int}(G)$ , which is canonically isomorphic to  $G^{ad}$ . Then the Hasse principle for an adjoint  $\mathbb{Q}$ -group (see [PR, Theorem 6.22]) says that the kernel of the above map is trivial. We say that a connected absolutely almost simple  $\mathbb{Q}$ -group  $H$  is a  $\mathbb{Q}$ -form of  $G_{\mathbb{A}}$  if for each  $p \in R$ ,  $H$  and  $G$  are isomorphic over  $\mathbb{Q}_p$ . Hence we summarize:

**Proposition.** *Let  $G$  be a connected absolutely almost simple  $\mathbb{Q}$ -group. Then  $G_{\mathbb{A}}$  admits only finitely many non-isomorphic  $\mathbb{Q}$ -forms. Moreover if  $G$  is not of type  $A_n$  ( $n \geq 2$ ),  $D_n$  ( $n \geq 4$ ) or  $E_6$ , then there is a unique  $\mathbb{Q}$ -form on  $G_{\mathbb{A}}$  up to  $\mathbb{Q}$ -isomorphism.*

We remark that there exists two central simple division algebras over  $\mathbb{Q}$  of degree at least 2, say,  $D_1$  and  $D_2$  such that  $PSL_1(D_1)$  and  $PSL_1(D_2)$  are not isomorphic over  $\mathbb{Q}$ ,

but isomorphic over all  $\mathbb{Q}_p$ ,  $p \in R$ . Hence the adèle group associated with  $PSL_1(D_1)$  has (at least) two non-isomorphic  $\mathbb{Q}$ -forms.

**6.2.** If  $G$  is a connected absolutely simple  $\mathbb{Q}$ -isotropic  $\mathbb{Q}$ -group,  $G$  has no  $\mathbb{Q}_p$ -anisotropic factors for each  $p \in R$ . Hence by Lemma 4.3, we have:

**Proposition.** *Let  $G$  be a connected absolutely simple  $\mathbb{Q}$ -isotropic  $\mathbb{Q}$ -group. Let  $f$  be a topological group automorphism of  $(G_{\mathbb{A}}, G(\mathbb{Z}_p))$ . Then there exist  $\mathbb{Q}_p$ -automorphisms  $f_p$  of  $G_p$ 's,  $p \in R$  with  $f_p(G(\mathbb{Z}_p)) = G(\mathbb{Z}_p)$  for almost all  $p \in R_f$  such that  $f$  is the restriction of  $\prod_{p \in R} f_p$ .*

By Theorem 1.1, the above proposition yields the following:

**6.3.** By Theorem 1.1 and Proposition 6.1, we have:

**Proposition.** *Let  $G$  be a connected absolutely simple  $\mathbb{Q}$ -isotropic  $\mathbb{Q}$ -group. Then up to automorphism of  $(G_{\mathbb{A}}, G(\mathbb{Z}_p))$ , the adèle group  $(G_{\mathbb{A}}, G(\mathbb{Z}_p))$  admits only finitely many non-uniform irreducible lattices up to commensurability. Moreover if  $G$  is not of type  $A_n$  ( $n \geq 2$ ),  $D_n$  ( $n \geq 4$ ) or  $E_6$ . then  $(G_{\mathbb{A}}, G(\mathbb{Z}_p))$  admits a unique non-uniform irreducible lattice up to commensurability and up to automorphism of  $(G_{\mathbb{A}}, G(\mathbb{Z}_p))$ .*

**6.4.** Recall that for a linear algebraic  $\mathbb{R}$ -group  $G$ ,  $(H, f : H \rightarrow G)$  is called an  $\mathbb{R}/\mathbb{Q}$ -form of  $G$  or simply  $\mathbb{Q}$ -form of  $G$  if  $H$  is a linear algebraic  $\mathbb{Q}$ -group and  $f$  is an isomorphism defined over  $\mathbb{R}$ . For any connected semisimple  $\mathbb{R}$ -group  $G$  with  $G(\mathbb{R})$  non-compact,  $G(\mathbb{R})$  admits a  $\mathbb{Q}$ -form with  $\text{rank}_{\mathbb{Q}}(G) = 0$ ; hence a uniform (arithmetic) lattice  $G(\mathbb{Z})$ , as constructed by Borel [Bo1]. It also admits a  $\mathbb{Q}$ -form with the same  $\mathbb{Q}$ -rank as  $\text{rank}_{\mathbb{R}}(G)$ ; hence a non-uniform arithmetic lattice  $G(\mathbb{Z})$ . This readily follows from [Oh1, Proposition 1.4.2] whose proof is due to Prasad. His proof was not delivered clearly therein. We take this opportunity to give a short proof provided by him.

**Proposition (cf. [Oh1, Proposition 1.4.2]).** *Let  $G$  be connected adjoint semisimple linear algebraic group defined over  $\mathbb{R}$ . Then for a given minimal parabolic  $\mathbb{R}$ -subgroup  $P$ , there exists a  $\mathbb{Q}$ -form on  $G$  with respect to which every parabolic  $\mathbb{R}$ -subgroup containing  $P$  is defined over  $\mathbb{Q}$ .*

*Proof.* It is not difficult to reduce to the case when  $G$  is absolutely simple (see [Oh1]). Let  $G^q$  be the adjoint  $\mathbb{Q}$ -split group if the  $\mathbb{R}$ -form of  $G$  is inner or the quasi-split  $\mathbb{Q}$ -form of  $G$ , splitting over  $k = \mathbb{Q}(i)$  otherwise. Let  $P^q$  be the corresponding parabolic subgroup to  $P$  of  $G^q$ . By [Se, Proposition 37],  $G$  is then obtained from  $G^q$  by twisting by a  $P^q$ -valued cocycle, say,  $c$  on  $\text{Gal}(\mathbb{C}/\mathbb{R})$ . By [PR, Proposition 6.17], the natural map



$H^1(\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}), P^q) \rightarrow H^1(\text{Gal}(\mathbb{C}/\mathbb{R}), P^q)$  is surjective. Hence there exists a  $P^q$ -valued cocycle  $d$  on  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$  whose restriction to  $\text{Gal}(\mathbb{C}/\mathbb{R})$  is cohomologous to  $c$ . Naturally we may regard this cocycle  $d$  as an element of  $H^1(\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}), G^q)$ . Then the twist of  $G^q$  by the cocycle  $d$  coincides with the  $\mathbb{R}$ -form of  $G$  over  $\mathbb{R}$  and its distinguished orbits of  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$  contain all the distinguished orbits of  $\text{Gal}(\mathbb{C}/\mathbb{R})$  of the  $\mathbb{R}$ -form of  $G$  (cf. [Oh1, 1.4.1]). This proves our claim.  $\square$

If  $G$  is a connected non-compact semisimple linear algebraic group over  $\mathbb{Q}_p$ , Tamagawa showed that  $G(\mathbb{Q}_p)$  does not admit any non-uniform lattice [Ta]. However it always admits a uniform lattice as shown by Borel and Harder [BH].

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