

Lecture 7.

1) PID's

2) Main Thm on moduly / PID's.

3) Proof of the main Thm.

Ref: Dummit & Foote, Chapter 12.

Bonus: finite dimensional modules over $\mathbb{C}[x,y]$.

1) Motivating question: for a field F , we can completely classify finite dimensional F -vector spaces: \forall such V $\exists k \in \mathbb{Z}_{>0}$ s.t. $V \cong F^{\oplus k}$; this k is uniquely recovered from V : $k = \dim V$. Can we generalize this to finitely gen'd modules over a ring?

A: Yes, but only in very rare - yet important - cases.

1.1) PID: definition & examples: A is comm'ive unital ring.

Definition: • An ideal $I \subset A$ is principal if $I = (a)$ for some $a \in A$.

• Say A is PID if A is a domain & every ideal in A is principal.

Examples: • \mathbb{Z} , $F[x]$ (F is field) are PID's & every Eucliden domain is a PID.

Non-examples: $\mathbb{Z}[\sqrt{-5}]$, $\mathbb{Z}[x]$, $F[x,y]$ are not PID:
 $(2, 1+\sqrt{-5})$ $(2, x)$ (x, y) - not principal.

1.2) Unique factorization: $a, b \in A$ (PID) \rightsquigarrow ideal $(a, b) \subset A$

$\exists d \in A \mid (a, b) = (d)$

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• d divides both a, b .

• every other common divisor of a, b divides d .

So $d = \text{GCD}(a, b)$, moreover $d = xa + yb$ for some $x, y \in A$.

Classical application: unique factorization holds for A .

Recall $p \in A$ is prime $\Leftrightarrow (p)$ is a prime ideal.

UF property: $\forall a \in A$ decomposes as a product of prime elements in an (essentially) unique way: 2 decompositions are obtained from one another by permuting factors & multiplying them by invertible elements.

Remark: • in a PID every prime ideal is maximal: if (p) is prime, then $(f) \supseteq (p) \Leftrightarrow f$ divides $p \Leftrightarrow (f) = (p)$ or $(f) = A$.

• PID is Noetherian.

2.1) Main theorem: A is PID.

Let M be a finitely generated A -module

Thm: 1) $\exists k \in \mathbb{Z}_{\geq 0}$, primes $p_1, \dots, p_\ell \in A$, $d_1, \dots, d_\ell \in \mathbb{Z}_{\geq 0}$ s.t

$$M \cong A^{\oplus k} \oplus \bigoplus_{i=1}^{\ell} A/(p_i^{d_i}).$$

2) k is uniquely determined by M , $(p_1^{d_1}), \dots, (p_\ell^{d_\ell})$ are uniquely determined up to permutation.

Example: $A = \mathbb{Z}$, this Thm = classif'n of fin. gen'd abelian grps.

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2.2) Case of $A = F[x]$, F is alg. closed.

Assume $\dim_F M < \infty$. (so $K=0$).

F is closed \Rightarrow primes in $F[x]$ are $x - \lambda$, $\lambda \in F$, (up to invert. factor).

Main Thm $\Rightarrow \exists \lambda_i \in F, d_i \in \mathbb{Z}_{>0}$ s.t. $M = \bigoplus_{i=1}^{\ell} F[x]/((x - \lambda_i)^{d_i})$.

Reminder: A module $/ F[x] = F$ -vector space & an operator X .

Choose an F -basis in $F[x]/((x - \lambda_i)^{d_i})$:

$$1, (x - \lambda_i), (x - \lambda_i)^2, \dots, (x - \lambda_i)^{d_i - 1}$$

$$X(x - \lambda_i)^j = [x = (x - \lambda_i) + \lambda_i] = \begin{cases} (x - \lambda_i)^{j+1} + \lambda_i(x - \lambda_i)^j & \text{if } j < d_i - 1 \\ \lambda_i(x - \lambda_i)^j & \text{if } j = d_i - 1. \end{cases}$$

So X acts as a Jordan block:

$$J_{d_i}(\lambda_i) = \begin{pmatrix} \lambda_i & 1 & & 0 \\ & \lambda_i & \ddots & \\ & & \ddots & 1 \\ 0 & & & \lambda_i \end{pmatrix}$$

Main Thm in this case = Jordan Normal Form thm:

Let X be a linear operator on a fin. dim. F -vector space, M , let F be alg. closed. Then in some basis X is represented by a "Jordan matrix": $\text{diag}(J_{d_1}(\lambda_1), \dots, J_{d_e}(\lambda_e))$.

Can recover the pairs $(d_i, \lambda_i), \dots, (d_e, \lambda_e)$ from X - will discuss in Lec 8.

3) Proof of Main Thm, part 1) -existence.

Two parts:

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Part 1: Prove that $M \cong A^{\oplus k} \oplus \bigoplus_{i=1}^m A/(f_i)$, where $f_1, \dots, f_m \in A$, nonzero.

Part 2: Prove that for $f \in A \setminus \{0\}$, have
 $A/(f) \cong \bigoplus_{i=1}^s A/(p_i^{d_i})$, where p_1, \dots, p_s are pairwise distinct primes & $f = p_1^{d_1} \dots p_s^{d_s} \cdot (\text{invertible})$.

Today: Part 1: M is fin. generated \Rightarrow is a quotient of a free module $F \cong A^{\oplus n}$ (for some n), so have epimorphism $\mathcal{N}: F \twoheadrightarrow M$, $K := \ker \mathcal{N}$

Since A is Noetherian $\Rightarrow K$ is finitely generated.

So can choose: • a basis e_1, \dots, e_n in F

• a set of generators $y_1, \dots, y_r \in K$.

The crucial claim: we can choose e_1, \dots, e_n & y_1, \dots, y_r in such a way that $\exists f_1, \dots, f_m \mid r=m \leq n$ & $y_i = f_i e_i$.

Then $M = F/K = \left(\bigoplus_{i=1}^n A e_i \right) / \left(\bigoplus_{i=1}^m A f_i e_i \right) \cong \bigoplus_{i=1}^m A/(f_i) \oplus A^{\oplus n-m}$.
-precisely claim of Part 1.

Now we need to prove the crucial claim: reduce this to a question about matrices w. coeff's in A .

$$y_i = \sum_{j=1}^n y_{ij} e_j \rightsquigarrow Y = (y_{ij}) \in \text{Mat}_{r \times n}(A).$$

Q: How does changing y_1, \dots, y_r & e_1, \dots, e_n affect Y ?

← by replacing w. non-degenerate linear combin'n

replace (y_1, \dots, y_r) w. $(y_1, \dots, y_r)R$, where $R \in \text{Mat}_{r \times r}(A)$ is invertible ($\Leftrightarrow \det(R) \in A$ is invertible)

Here $Y \sim RY$

Similarly, $(e_1, \dots, e_n) \sim (e_1, \dots, e_n)N$ ($N \in \text{Mat}_{n \times n}(A)$ invertible) gives $Y \sim YN$.

The crucial claim, matrix style:

$\forall Y \in \text{Mat}_{r \times n}(A) \exists$ non-degenerate (\Leftrightarrow invertible \det)
 $R \in \text{Mat}_{r \times r}(A), N \in \text{Mat}_{n \times n}(A)$ s.t. $RYN = \begin{pmatrix} f_1 & & 0 \\ & \ddots & \\ 0 & f_m & 0 \end{pmatrix}$

$f_1, \dots, f_m \in A$.

Proof of this:

Step 1: Spec. case $r=2, n=1$

Lemma: let $y_1, y_2 \in A, y := \text{GCD}(y_1, y_2)$. Then $\exists R \in \text{Mat}_{2 \times 2}(A)$ $\det(R) = 1$ s.t.

$$R \begin{pmatrix} y_1 \\ y_2 \end{pmatrix} = \begin{pmatrix} y \\ 0 \end{pmatrix}.$$

Proof: Dividing y_1, y_2 by y , can assume $\text{GCD}(y_1, y_2) = 1$
 $\Rightarrow \exists a, b \in A$ s.t. $ay_1 + by_2 = 1$ (use A is PID)

$$R := \begin{pmatrix} a & b \\ -y_2 & y_1 \end{pmatrix} \quad R \begin{pmatrix} y_1 \\ y_2 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}!$$

\leftarrow VIP (very important property) \square

Step 2: We use the following steps:

(i) Multiply Y w. $\begin{pmatrix} R'_1 & 0 \\ 0 & \ddots & 1 \\ \underbrace{\hspace{2cm}}_2 \end{pmatrix}^{\oplus 2}$ to kill element in position $(2,1)$

(ii) permute rows #2 & #j ($j > 2$)
By iterating these steps: arrive at

$$\begin{pmatrix} * & * & \dots & * \\ 0 & & & \\ \vdots & * & & \\ 0 & & & \end{pmatrix}$$

Now multiply on the right by similar matrices & permute columns.

We arrive at $\begin{pmatrix} \lambda_1 & 0 & \dots & 0 \\ 0 & & & \\ \vdots & Y_1 & & \\ 0 & \underbrace{\hspace{2cm}}_{n-1} & & \end{pmatrix}^{\left. \vphantom{\begin{pmatrix} \lambda_1 & 0 & \dots & 0 \\ 0 & & & \\ \vdots & Y_1 & & \\ 0 & \underbrace{\hspace{2cm}}_{n-1} & & \end{pmatrix}} \right\}^{r-1}$

Continue w. this Y_1 & arrive at $\begin{pmatrix} \lambda_1 & & 0 \\ & \ddots & \\ 0 & \lambda_e & 0 \end{pmatrix}$.

Finishes Part 1. □

BONUS: Finite dimensional modules over $\mathbb{C}[x,y]$.

Fix $n \in \mathbb{N}_{>0}$. Our question: classify $\mathbb{C}[x,y]$ -modules that have $\dim_{\mathbb{C}} = n$. In the language of Linear algebra: classify pairs of commuting matrices X, Y (up to simultaneous conjugation).

For n large enough, there's no reasonable solution. However, various geometric objects related to the problem are of great importance, and we'll discuss them below.

Set $C := \{(X, Y) \in \text{Mat}_n(\mathbb{C})^{\oplus 2} \mid XY = YX\}$. Consider the

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subset $C_{\text{cyc}} \subset C$ of all pairs for which there is a cyclic vector $v \in \mathbb{C}^n$ meaning that v is a generator of the corresponding $\mathbb{C}[x,y]$ -module. The group $GL_n(\mathbb{C})$ acts on C by simultaneous conjugation: $g \cdot (X,Y) = (gXg^{-1}, gYg^{-1})$

Exercise: C_{cyc} is stable under the action & all the stabilizers for the resulting $GL_n(\mathbb{C})$ -action are trivial.

Premium exercise: the set of $GL_n(\mathbb{C})$ -orbits in C_{cyc} is identified with the set of codim n ideals in $\mathbb{C}[x,y]$.

It turns out that this set of orbits, equivalently, the set of ideals has a structure of an algebraic variety. This variety is called the Hilbert scheme of n points in \mathbb{C}^2 and is denoted by $Hilb_n(\mathbb{C}^2)$. It is extremely nice & very important. For example, it is "smooth" meaning it has no singularities. One can split $Hilb_n(\mathbb{C}^2)$ into the disjoint union of affine spaces (meaning $\mathbb{C}^?$). The affine spaces are labelled by the partitions of n (\leftrightarrow ideals in $\mathbb{C}[x,y]$ spanned by monomials) & for each partition we can compute the dimension - thus achieving some kind of classification of points.

One of the reasons why $Hilb_n(\mathbb{C}^2)$ is important is that it appears in various developments throughout Mathematics: Algebraic geometry (not surprising), Representation theory, Math Physics, and even Algebraic Combinatorics & Knot theory (!!)

The structure of the orbit space for the action of $GL_n(\mathbb{C})$ on C is FAR more complicated, yet the resulting geometric

object is still important.