

## Bonus lecture B2: Connections to Algebraic geometry IV

1) Vector bundles on  $C^\infty$ -manifolds.

2) Modules, algebro-geometrically.

1) Vector bundles on  $C^\infty$ -manifolds.

Vector bundles are of crucial importance for Differential geometry. In this section we sketch what they are.

Let  $M$  be a  $C^\infty$ -manifold (e.g. a submanifold in some  $\mathbb{R}^n$ ). On the most basic level, a vector bundle is an assignment of a vector space to each point of  $M$ , the vector space is supposed to "depend smoothly on the point, in particular, to have the same dimension (if  $M$  is connected). To make this into an actual definition, we need to formalize the notion of fibers depending on a point "in a  $C^\infty$ -way." To see how this should be done, consider the most classical example: the tangent bundle. It assigns the tangent space,  $T_m M$ , to each  $m \in M$ . If we have local coordinates,  $x_1, \dots, x_n$ , on some neighborhood  $U \subset M$  of  $m$ , then  $T_m M$  gets a basis  $\frac{\partial}{\partial x_i} \Big|_m, i=1, \dots, n$ . This allows us to trivialize the tangent bundle over  $U$ . Note that a different choice of coordinate functions,  $x'_1, \dots, x'_n$ , on  $U$  give a different basis  $\frac{\partial}{\partial x'_i} \Big|_m$ , the two bases are related via the Jacobian matrix  $(\frac{\partial x_i}{\partial x'_j})$ .

Now we are ready to define the notion of a vector bundle.

Let  $E$  be another manifold w. a  $C^\infty$ -map  $\pi: E \rightarrow M$ . We say that  $E$  (or, more precisely,  $(E, \pi)$ ) is a **vector bundle** of

rank  $n$  if  $\exists$  cover  $X = \bigcup_{\alpha \in I} U_\alpha$  by open subsets and a collection of isomorphisms

$$(1) \quad \varphi_\alpha: \pi^{-1}(U_\alpha) \xrightarrow{\sim} U_\alpha \times \mathbb{R}^n$$

s.t.  $\forall \alpha, \beta \in I \exists \varphi_{\alpha\beta} \in GL_n(C^\infty(U_\alpha \cap U_\beta))$  (invertible matrix) s.t.

$$(2) \quad \varphi_\alpha \circ \varphi_\beta^{-1}((u, x)) = (u, \varphi_{\alpha\beta}(u)x) \quad \forall u \in U_\alpha \cap U_\beta, x \in \mathbb{R}^n$$

Note that the matrices  $\varphi_{\alpha\beta}$  satisfy the following conditions:

$$\varphi_{\alpha\alpha} = \text{Id}, \varphi_{\beta\alpha} = \varphi_{\alpha\beta}^{-1} \text{ \& \ } \varphi_{\alpha\gamma} = \varphi_{\alpha\beta} \varphi_{\beta\gamma} \text{ on } U_\alpha \cap U_\beta \cap U_\gamma.$$

In fact given such a collection of matrices (called the **transition functions**) we can reconstruct the vector bundle uniquely - by gluing the open subsets:  $U_\alpha \times \mathbb{R}^n$  &  $U_\beta \times \mathbb{R}^n$  are glued along  $(U_\alpha \cap U_\beta) \times \mathbb{R}^n$  using (2).

In particular, we can equip  $TM = \{(m, \xi) \mid m \in M, \xi \in T_m M\}$  with the structure of a vector bundle by using the Jacobian matrices for the transition functions.

The next important construction is that of module of sections. By a **section** of  $\pi: E \rightarrow M$  we mean a  $C^\infty$ -map  $\sigma: M \rightarrow E$  s.t.  $\pi \circ \sigma = \text{id}_M$ . It turns out the set of such maps has a natural structure of a module over  $C^\infty(M)$ , the algebra of  $C^\infty$ -functions. For example, let's explain how to define  $f\sigma$  for  $f \in C^\infty(M)$  and a section  $\sigma$ . It's enough to define  $(f\sigma)|_{U_\alpha}$ 's and show they agree on intersections. We set

$$(f\sigma)|_{U_\alpha} = \varphi_\alpha^{-1} f(\varphi_\alpha \circ \sigma)$$

Here  $\varphi_\alpha \circ \sigma$  is a map  $U_\alpha \rightarrow U_\alpha \times \mathbb{R}^n$  of the form  $u \mapsto (u, g_\alpha(u))$

- it's a section of the projection  $U_\alpha \times \mathbb{R}^n \rightarrow U_\alpha$ , where  $g_\alpha$  is a

$C^\infty$ -map  $U_\alpha \rightarrow \mathbb{R}^n$ . So, by  $f(\varphi_\alpha \circ \sigma)$  we mean the map  $U_\alpha \rightarrow U_\alpha \times \mathbb{R}^n$  given by  $u \mapsto (u, f_{g_\alpha}(u))$ . And then  $(f\sigma)|_{U_\alpha}$  is indeed a section of  $U_\alpha \rightarrow \mathcal{D}^{-1}(U_\alpha)$ .

### Important exercise:

- Show that  $f\sigma$  is well-defined: the restrictions of  $f\sigma$  to  $U_\alpha$  and to  $U_\beta$  agree on  $U_\alpha \cap U_\beta$  (hint: use (2))
- Define  $\sigma_1 + \sigma_2$  for two sections  $\sigma_1, \sigma_2$
- Show that these operations equip the set of sections w. a  $C^\infty(M)$ -module structure. This set will be denoted by  $\Gamma(M, E)$ .

In fact, using the same construction, we see that the set  $\Gamma(U, E)$  of sections of  $\mathcal{D}^{-1}(U) \rightarrow U$  is a  $C^\infty(U)$ -module. The module structures on  $\Gamma(U, E)$  for various  $E$  are compatible in a suitable sense, making the collection of  $\Gamma(U, E)$  into a "sheaf"—an important notion that we are not going to define. One can actually recover  $E$  from knowing the sheaf, but we will skip this as well. Finally, note that if  $E$  trivializes over  $U$ :  $\mathcal{D}^{-1}(U) \xrightarrow{\sim} U \times \mathbb{R}^n$ , then  $\Gamma(U, E)$  is a free rank  $n$  module over  $C^\infty(U)$ .

**Example:** For the tangent bundle  $TM$  its sections on  $U$ ,  $\Gamma(U, TM)$  is nothing else but the vector fields on  $U$ .

**Remark:** Note that  $\Gamma(M, E)$  may fail to be free over  $C^\infty(M)$ .

An example is provided by  $M = S^2$  (the 2-sphere) &  $E = TM$ . The claim that  $\Gamma(M, TM)$  is not free over  $C^\infty(M)$  can be deduced from the Poincaré-Hopf theorem (every vector field on  $S^2$  vanishes at some point)

To finish, let's explain why we care about vector bundles and their sections:

- Vector fields are fundamentally important in the study of manifolds.
- So are differential forms - sections of exterior powers  $\Lambda^k T^*M$  - for example, they are crucial for the general Stokes theorem
- Sections of  $S^2(T^*M)$  (symmetric forms) are important for the (pseudo) Riemannian geometry & Relativity theory, while certain sections of  $\Lambda^2 T^*M$  (symplectic forms) are important for Symplectic geometry & Classical Mechanics.

## 2) Modules, algebro-geometrically.

$\mathbb{F}$  is alg. closed field,  $X$  is an alg'c subset in  $\mathbb{F}^n$  (i.e. an affine alg'c variety),  $A = \mathbb{F}[X]$

Q: How to think about  $A$ -modules geometrically?

Quick A: General modules give some "singular version" of vector bundles ("singular" refers to fibers of different dimensions, basically). Locally free modules give a complete algebraic analog of

vector bundles. We'll elaborate on this below.

## 2.1) Fibers

Given an  $A$ -module  $M$  and a point  $\alpha \in X$ , we want to assign a vector space  $M(\alpha)$ . Recall (Sec 1.2 of Lec 23) that

$$\begin{array}{ccc} X & \xrightarrow{\sim} & \{\text{max. ideals in } A\} \\ \psi & & \\ \alpha & \mapsto & \mathfrak{m}_\alpha := \{f \in A \mid f(\alpha) = 0\}; \quad A/\mathfrak{m}_\alpha \xrightarrow{\sim} \mathbb{F}. \end{array}$$

**Definition:** For an  $A$ -module  $M$ , its **fiber** at  $\alpha$  is

$$M(\alpha) := M/\mathfrak{m}_\alpha M, \text{ an } \mathbb{F}\text{-vector space}$$

**Rem:** if  $M$  is fin. gen'd  $\Rightarrow \dim M(\alpha) < \infty \forall \alpha$

So: from  $M$  we get a collection of vector spaces indexed by pts. of  $X$ , a precursor of the definition of a vector bundle. However, these fibers may have different dimensions for different points  $\alpha$ .

**Examples:** 1)  $M = A^{\oplus n} \Rightarrow M(\alpha) = \mathbb{F}^n$ .

2)  $M = A/I$ , where  $I \subset A$  is an ideal.

$$M(\alpha) = (A/I)/\mathfrak{m}_\alpha(A/I) = A/(I + \mathfrak{m}_\alpha).$$

$$\text{If } \mathfrak{m}_\alpha \supset I \Rightarrow I + \mathfrak{m}_\alpha = \mathfrak{m}_\alpha \Rightarrow M(\alpha) = \mathbb{F}.$$

$\Downarrow$   
 $\alpha \in V(I)$

$$\text{If } \mathfrak{m}_\alpha \not\supset I \Rightarrow I + \mathfrak{m}_\alpha = A \Rightarrow M(\alpha) = \{0\}.$$

## 2.2) Localization of modules vs fibers.

$X \subset \mathbb{F}^n$  alg. subset,  $A = \mathbb{F}[X]$ ,  $f \in A \setminus \{0\}$ . Then the localization  $A[f^{-1}]$  is the algebra  $\mathbb{F}[X_f]$ , where

$$X_f := \{\alpha \in X \mid f(\alpha) \neq 0\} \text{ (Section 2.1 of Lecture 24)}$$

Let  $M$  be  $A$ -module  $\leadsto$  the  $A[f^{-1}]$ -module  $M[f^{-1}]$ . Now to  $\alpha \in X_f$  we can assign two fibers  $M(\alpha)$ ,  $M[f^{-1}](\alpha) = M[f^{-1}] / M[f^{-1}]\mathfrak{m}'_\alpha$ , where  $\mathfrak{m}'_\alpha \subset \mathbb{F}[X_f]$  is the maximal ideal corresponding to  $\alpha$ .

**Proposition:**  $\forall \alpha \in X_f$  have natural isomorphism  $M(\alpha) \xrightarrow{\sim} M[f^{-1}](\alpha)$ .

**Proof:** Note that  $\mathfrak{m}'_\alpha = \{\frac{g}{f^k} \mid g(\alpha) = 0\}$ . We can view  $M[f^{-1}]$  as an  $A$ -module - via the homomorphism  $\iota: A \rightarrow A[f^{-1}]$ ,  $g \mapsto \frac{g}{1}$ . Since  $\iota(\mathfrak{m}_\alpha) \subset \mathfrak{m}'_\alpha$ , the ideal  $\mathfrak{m}_\alpha$  acts by 0 on  $M[f^{-1}](\alpha)$ . So the homomorphism  $\iota_\alpha: M \rightarrow M[f^{-1}]$  descends to

$$(3) \quad M(\alpha) \rightarrow M[f^{-1}](\alpha), m + \mathfrak{m}_\alpha M \mapsto \frac{m}{1} + \mathfrak{m}'_\alpha M[f^{-1}].$$

We need to show (3) is injective & surjective.

**Injectivity:** we need to show that  $\frac{m}{1} \in \mathfrak{m}'_\alpha M[f^{-1}] \Rightarrow m \in \mathfrak{m}_\alpha M$ . The inclusion  $\frac{m}{1} \in \mathfrak{m}'_\alpha M[f^{-1}]$  is equivalent to:

$$f^\ell (m - \sum_{i=1}^k g_i m_i) = 0 \text{ for some } \ell > 0, g_i \in \mathfrak{m}_\alpha, m_i \in M.$$

From  $f - f(\alpha) \in \mathfrak{m}$  we get  $f^\ell - f(\alpha)^\ell \in \mathfrak{m}_\alpha$ . So

$$f(\alpha)^\ell m = - (f^\ell - f(\alpha)^\ell) m - \sum_{i=1}^k g_i m_i \in \mathfrak{m}_\alpha M. \text{ Since } f(\alpha) \neq 0, \text{ we are done.}$$

Surjectivity: We need to show that  $\forall m \in M, \ell \in \mathbb{Z}_0 \exists \tilde{m} \in M$   
s.t.  $\frac{m}{p^\ell} - \frac{\tilde{m}}{1} \in m'_\alpha M[f^{-1}]$ . Just take  $\tilde{m} = f(\alpha)^{-\ell} m$ .  $\square$

An informal way to think about the claim of the proposition:  
the collection of fibers of  $M[f^{-1}]$  is just the restriction of  
the collection of fibers of  $M$  from  $X$  to  $X_p$ .

### 2.3) Projective modules as vector bundles.

Let  $X$  be an affine variety. Set  $A := \mathbb{F}[X]$  and let  $M$  be  
a finitely generated projective (equiv. locally free) module. By  
Problem 4 in HW3,  $\exists f_1, \dots, f_k \in A$  w.  $(f_1, \dots, f_k) = A$  s.t.  $M[f_i^{-1}]$  is  
a free  $A[f_i^{-1}]$ -module. The condition  $(f_1, \dots, f_k) = A$  geometrically  
means that  $X = \bigcup_{i=1}^k X_{f_i}$ . Proposition in Sec 2.2 suggests that  
 $M[f_i^{-1}]$  can be viewed as the "restriction" of  $M$  to  $X_{f_i}$ . This  
gives another explanation to the term "locally-free module."

We view  $M$  as an algebraic analog of the module of sections  
of a vector bundle. For example the corresponding affine  
variety  $E$  is recovered as follows.

**Exercise:** Prove that  $M^* := \text{Hom}_A(M, A)$  is also a finitely  
generated projective  $A$ -module.

We can form the symmetric algebra  $S_A(M^*)$ .

**Exercise:** 1) Prove that  $S_A(M^*)[f_i^{-1}] \simeq S_{A[f_i^{-1}]}(M[f_i^{-1}])$ , hence isomorphic to the algebra of polynomials w. coefficients in  $A[f_i^{-1}]$ .

2) Prove that  $S_A(M^*)$  contains no nonzero nilpotent elements.

Let  $E$  denote the affine variety corresponding to  $S_A(M^*)$ . The natural homomorphism  $A \rightarrow S_A(M^*)$  gives rise to a morphism of varieties  $\pi: E \rightarrow X$ . Then  $\pi^{-1}(X_{f_i})$  is the affine variety corresponding to  $S_A(M)[f_i^{-1}]$  - as this is precisely the locus in  $E$ , where the function  $f_i \circ \pi$  is nonzero.

Assume now, for simplicity, that  $M[f_i^{-1}] \simeq A[f_i^{-1}]^{\oplus n}$ , where  $n$  is the same for all  $i$  (true, for example, when  $X$  is irreducible, or, more generally, connected in Zariski topology). Then by 1) of the previous exercise,  $\pi^{-1}(X_{f_i}) \xrightarrow{\sim} X_{f_i} \times \mathbb{A}^n$  (in fact, intertwining the maps to  $X_{f_i}$ ). This is an analog of (1) from Section 1. One can also get the direct analog of (2) there: it comes from the natural isomorphisms  $M[f_i^{-1}][f_j^{-1}] \simeq M[(f_i f_j)^{-1}] \simeq M[f_j^{-1}][f_i^{-1}]$  & the identifications  $M[f_i^{-1}] \simeq A[f_i^{-1}]^{\oplus n}$ ,  $M[f_j^{-1}] \simeq A[f_j^{-1}]^{\oplus n}$ .

We finish with the following nice fact (whose proof based on the Nakayama lemma we skip).

**Thm:** Suppose  $X$  is irreducible (or, more generally, connected). Let  $M$  be a finitely generated  $A = \mathbb{F}[X]$ -module. If  $\dim M_{\mathfrak{a}}$  is the same  $\forall \mathfrak{a} \in X$ , then  $M$  is locally free.