

# Intertwining operators for $\mathfrak{sl}_2$ .

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## 1 Plan and first steps

Let  $\mathfrak{g}$  be a simple Lie algebra of rank  $r$ ,  $\mathfrak{b} = \mathfrak{b}_+$  be a Borel subalgebra,  $\mathfrak{h}$  be a Cartan subalgebra,

We want to prove that the center  $\mathfrak{z}(\hat{\mathfrak{g}})$  of vertex algebra  $V_{\kappa_c}(\mathfrak{g})$  at the critical level is isomorphic to  $\text{Fun Op}_{G^v}(D)$ .

In order to do this we will use the homomorphism of vertex algebras

$$\omega_{\kappa_c}: V_{\kappa_c}(\mathfrak{g}) \rightarrow W_{0,\kappa_c} = M_{\mathfrak{g}} \otimes V_0(\mathfrak{h}),$$

constructed in Section 4 of [W] where the notation is as follows:  $M_{\mathfrak{g}}$  is the Weyl vertex algebra whose underlying vector space of states is the Fock representation of the Weyl algebra  $\mathcal{A}^{\mathfrak{g}}$  and  $V_0(\mathfrak{h}) = \pi_0$  is the commutative vertex algebra associated to  $L\mathfrak{h}$ .

The plan is as follows.

1. Show that  $\omega_{\kappa_c}$  is injective.
2. Show that  $\omega_{\kappa_c}(\mathfrak{z}(\hat{\mathfrak{g}})) \subset \pi_0$ . Hence we need to describe the image of  $\mathfrak{z}(\hat{\mathfrak{g}})$  in  $\pi_0$ .
3. Construct *screening operators*  $\overline{S}_i, i = 1, \dots, r$ , where  $r$  is the rank of  $\mathfrak{g}$ ,  $\hat{\mathfrak{g}}_{\kappa_c}$ -linear maps from  $W_{0,\kappa_c}$  to other modules.
4. Show that  $\omega_{\kappa_c}(V_{\kappa_c}(\mathfrak{g})) \subset \ker \overline{S}_i$  for all  $i$ . Hence the image of  $\mathfrak{z}(\hat{\mathfrak{g}})$  is contained in  $\bigcap_{i=1}^l \ker \overline{V}_i[1]$ , where  $\overline{V}_i[1]$  is the restriction of  $\overline{S}_i$  to  $\pi_0$ .
5. Using the isomorphism between the Wakimoto module  $W_{\kappa_c}^+$  and the Verma module  $\mathbf{M}_{0,\kappa_c}$  constructed in Kenta's lecture we will compute the

graded character of  $\mathfrak{z}(\hat{\mathfrak{g}})$ . We will show that it is equal to the character of  $\bigcap_{i=1}^l \ker \bar{V}_i[1]$ . It follows that

$$\mathfrak{z}(\hat{\mathfrak{g}}) = \bigcap_{i=1}^l \ker \bar{V}_i[1].$$

6. By using *Miura opers* constructed in Zeyu's talk we will show that there is a natural isomorphism  $\text{Fun Op}_{G^v}(D) \cong \bigcap_{i=1}^l \ker \bar{V}_i[1]$ . This will yield an isomorphism between  $\mathfrak{z}(\hat{\mathfrak{g}})$  and  $\text{Fun Op}_{G^v}(D)$ . Moreover, all our constructions will be  $\text{Aut } \mathcal{O}$ -equivariant.

In my talk I will explain the first and the second steps of this plan, this is relatively quick. I will also explain steps 3-4 for in the case  $\mathfrak{g} = \mathfrak{sl}_2$ .

## 1.1 Steps 1 and 2

We want to prove that  $\omega_{\kappa_c}$  is injective. First, we discuss a finite-dimensional analogue of this statement.

In Daishi's notes [K] there is a homomorphism of Lie algebras

$$\rho: \mathfrak{g} \rightarrow \text{vect}(B_+)^H = \text{vect}(N_+) \oplus (\mathbb{C}[N_+] \otimes \mathfrak{h}).$$

The right-hand side is contained in  $\mathbb{C}[T^*N_+ \times \mathfrak{h}^*]$ , so we can extend  $\rho$  to

$$\phi^*: \mathbb{C}[\mathfrak{g}^*] \rightarrow \mathbb{C}[T^*N_+ \times \mathfrak{h}^*].$$

Here  $\phi: T^*N_+ \times \mathfrak{h}^* \rightarrow \mathfrak{g}^*$  is a morphism of varieties.

We can also extend  $\rho$  naturally to

$$\rho: U(\mathfrak{g}) \rightarrow D(N_+) \otimes U(\mathfrak{h}).$$

The map  $\omega_{\kappa_c}$  is an affine analog of  $\rho$ . Namely,  $U(\mathfrak{g})$  corresponds to  $V_{\kappa_c}(\mathfrak{g})$ ,  $U(\mathfrak{h})$  corresponds to  $V_0(\mathfrak{h})$  and  $D(N_+)$ , differential operators, corresponds to  $M_{\mathfrak{g}}$ , that could be realized as chiral differential operators [CDO1].

The injectivity of  $\rho$  is proved in Remark 2.4 of [K] as follows: we take the associated graded map of  $\rho$  and get  $\text{gr } \rho = \phi^*$ . After that we show that  $\phi$  is dominant, equivalently, that  $\phi^*$  is injective.

We will use a similar strategy below to prove that  $\omega_{\kappa_c}$  is injective. In fact, we will prove a stronger statement:

**Proposition 1.1.** *The homomorphism  $\omega_\kappa: V_\kappa(\mathfrak{g}) \rightarrow M_{\mathfrak{g}} \otimes \pi_0^{\kappa-\kappa_c}$  is injective for any  $\kappa$ .*

*Proof.* We will introduce filtrations on  $V_\kappa(\mathfrak{g})$  and  $M_{\mathfrak{g}} \otimes \pi_0^{\kappa-\kappa_c}$  such that  $\omega_\kappa$  preserves filtrations and  $\text{gr } \omega_\kappa$  is injective. The PBW filtration on  $U(\hat{\mathfrak{g}})$  induces a filtration on  $V_\kappa(\mathfrak{g})$ :  $|0\rangle$  has degree zero and  $x_n$  with  $n < 0$  has degree 1. The filtration on  $W_{0,\kappa}$  is defined similarly with  $|0\rangle$  in degree 0, and operators  $a_{\alpha,n}^*$  with  $n \leq 0$  in degree 0 and  $a_{\alpha,n}, b_n$  with  $n < 0$  in degree 1.

**Exercise.** 1.  $T$  is filtration preserving on each of the vertex algebras.

2.  $\omega_\kappa$  is filtration preserving. *Hint: look at the formulas in Section 4 of [W] or in Section 1.6 of [CDO2].*

3.  $\text{gr } \omega_\kappa$  is a homomorphism of graded commutative algebras with differentials.

We know that  $\text{gr } V_\kappa(\mathfrak{g}) = \mathbb{C}[J\mathfrak{g}]$  and it can be checked similarly that  $\text{gr } W_{0,\kappa} = \mathbb{C}[J(T^*N_+ \times \mathfrak{h}^*)]$ . These are the jet schemes of the varieties  $\mathfrak{g} \cong \mathfrak{g}^*$ ,  $T^*N_+ \times \mathfrak{h}^*$  considered above.

**Exercise.** *Prove that  $\text{gr } \omega_\kappa = (J\phi)^*$ , where  $\phi$  is defined above. Hint: for any affine variety  $X$  the algebra  $\mathbb{C}[JX]$  is graded, the grading is unique such that  $\deg T = -1$ ,  $\deg \mathbb{C}[X] = 0$ . Here  $T$  is the derivation of  $\mathbb{C}[JX]$ . For a morphism  $\varphi: X \rightarrow Y$  the map*

$$(J\varphi)^*: \mathbb{C}[JY] \rightarrow \mathbb{C}[JX]$$

*is a unique homomorphism such that*

1.  $(J\varphi)^*$  restricts to  $\varphi^*: \mathbb{C}[Y] \rightarrow \mathbb{C}[X]$ .

2.  $(J\varphi)^*$  intertwines the derivations.

*Check that  $\text{gr } \omega_\kappa$  satisfies the properties (1) and (2).*

It remains to prove that  $(J\phi)^*$  is injective. Exercise 2.4 in [K] says that  $\phi^*$  is injective, so that  $\phi$  is dominant. Using Exercise 1.2.13 in Vanya's notes [KL] we get that  $J\phi$  is dominant, hence  $(J\phi)^*$  is injective.  $\square$

We move to the second step of the plan:

**Lemma 1.2.**  $\omega_{\kappa_c}(\mathfrak{z}(\hat{\mathfrak{g}}))$  is contained in  $\pi_0 \subset W_{0,\kappa_c}$ .

*Proof.* We will use the results from Ivan's notes [CDO1, CDO2] that provide an alternative construction of  $M_{\mathfrak{g}}$  and  $\omega_{\kappa_c}$  using chiral differential operators.

Recall that  $\mathfrak{z}(\hat{\mathfrak{g}})$  is the  $\mathfrak{g}[[t]]$ -invariants in  $V_{\kappa_c}(\mathfrak{g})$ . It is enough to prove that

$$\omega_{\kappa_c}(V_{\kappa_c}(\mathfrak{g})^{b+[[t]]}) \subset \pi_0.$$

Note that  $V_{\kappa_c}(\mathfrak{g})^{b+[[t]]} = V_{\kappa_c}(\mathfrak{g})^{JB_+}$ . The chiral differential operator of realization of  $W_{0,\kappa_c}$  provides a natural action of  $JB_+$  on  $W_{0,\kappa_c}$ , explained in Section 1.2-1.3 of [CDO2]. With this action the map  $\omega_{\kappa_c}$  is  $JB_+$ -equivariant, it is an exercise just before section 1.5 of [CDO2].

It follows that

$$\omega_{\kappa_c}(\mathfrak{z}(\hat{\mathfrak{g}})) \subset W_{0,\kappa_c}^{JB_+}.$$

Specializing the results of section 1.5 of [CDO2] to  $P_+ = B_+$ ,  $\mathfrak{m} = \mathfrak{h}$ , we get

$$\omega_{\kappa_c}(\mathfrak{z}(\hat{\mathfrak{g}})) \subset V_0(\mathfrak{h}) = \pi_0.$$

□

## 2 Screening operators for $\mathfrak{sl}_2$

For  $\lambda \in \mathbb{C}$  let  $M_\lambda, M_\lambda^*$  denote, respectively, the Verma and the dual Verma module over  $\mathfrak{sl}_2$  with highest weight  $\lambda$ . We have a short exact sequence

$$0 \rightarrow M_{-2} \rightarrow M_0 \rightarrow L_0 = \mathbb{C} \rightarrow 0,$$

where  $L_0$  is the trivial representation. Applying the duality functor we get a short exact sequence

$$0 \rightarrow \mathbb{C} \rightarrow M_0^* \rightarrow M_{-2}^* \rightarrow 0.$$

We want an affine analogue of this short exact sequence. We will define a homomorphism of  $\hat{\mathfrak{sl}}_2$ -modules

$$S_k: W_{0,k} \rightarrow W_{-2,k}$$

for non-critical level  $k$  and prove the following

**Proposition 2.1.** *When  $k+2$  is not a nonnegative rational number, we have a short exact sequence*

$$0 \rightarrow V_k(\mathfrak{sl}_2) \rightarrow W_{0,k} \xrightarrow{S_k} W_{-2,k} \rightarrow 0.$$

## 2.1 Modules over vertex algebras

We will need the notion of a module over a vertex algebra  $V$ . This is a vector space  $M$  with a map  $Y_M: V \rightarrow \text{End}_M[[z^{\pm 1}]]$  such that

1.  $Y_M(|0\rangle, z) = \text{Id}_M$
2. For any  $u, v \in V, m \in M$  the expressions

$$Y_M(u, z)Y_M(v, t)m, \quad Y_M(v, t)Y_M(u, z)m, \quad Y_M(Y(u, z-t)v, t)m$$

are expansions of the same element of  $M[[z, t]][z^{-1}, t^{-1}, (z-t)^{-1}]$ , similarly to the associativity condition for vertex algebras, [D]

We have the following example. Let  $\mathfrak{h} = \mathbb{C}h$  be one-dimensional commutative Lie algebra, so that  $\hat{\mathfrak{h}}_\kappa$  is a Heisenberg Lie algebra for nonzero  $\kappa$  and an abelian Lie algebra for  $\kappa = 0$ . Let  $V_\kappa(\mathfrak{h})$  be the corresponding vertex algebra. Consider  $M = M_\lambda = \text{Ind}_{\mathfrak{h}[[t]]}^{\hat{\mathfrak{h}}_\kappa} \mathbb{C}_\lambda$ , a Verma module over  $\hat{\mathfrak{h}}_\kappa$ . For  $a_1, \dots, a_k < 0$  we define

$$Y_M(h_{a_1}h_{a_2} \cdots h_{a_k}|0\rangle) = \frac{1}{(-a_1 - 1)! \cdots (-a_k - 1)!} \partial_z^{-a_1-1} h(z) \cdots \partial_z^{-a_k-1} h(z) :,$$

similarly to  $Y(h_{a_1} \cdots h_{a_k}|0\rangle)$ . It can be checked that conditions 1 and 2 are satisfied.

We can upgrade this example. Let  $W_{0,k} = M_{\mathfrak{sl}_2} \otimes V_{k+2}(\mathfrak{h})$ . Setting  $\lambda = -2$  and tensoring by  $M_{\mathfrak{sl}_2}$  we get a module  $W_{-2,k} = M_{\mathfrak{sl}_2} \otimes \pi_{-2}^{k+2}$  over  $W_{0,k}$ .

Now we describe basic properties of modules over vertex algebras similar to the associativity and its corollaries for vertex algebras.

If  $M$  is a module over  $V$  and  $U$  is a vertex subalgebra of  $V$ , then  $M$  is a module over  $U$ . In particular, if  $V$  is a conformal vertex algebra with central charge  $c$ , we get an action of Virasoro vertex algebra  $\text{Vir}_c$  on  $M$ . If  $\omega \in V$  is a conformal vector we define endomorphisms  $L_n^M$  of  $M$  via

$$Y_M(\omega, z) = \sum_{n \in \mathbb{Z}} L_n^M z^{-n-2}.$$

We denote  $L_{-1}^M$  by  $T$ .

Recall the skew-symmetry property for vertex algebras:

$$Y(A, z)B = e^{zT}Y(B, -z)A.$$

Motivated by this we define a map  $Y_{V,M}: M \rightarrow \text{Hom}(V, M)[[z^{\pm 1}]]$  by

$$Y_{V,M}(B, z)A = e^{zT}Y_M(A, -z)B. \quad (1)$$

The following lemma is proved similarly to the associativity property of vertex algebras, [D]:

**Lemma 2.2.** *For any  $A, C \in V$ ,  $B \in M$  there exists an element  $f \in M[[z, w]][[z^{-1}, w^{-1}, (z-w)^{-1}]]$  such that the formal power series*

$$\begin{aligned} Y_M(A, z)Y_{V,M}(B, w)C, & \quad Y_{V,M}(B, w)Y(A, z)C, \\ Y_{V,M}(Y_{V,M}(B, w-z)A, z)C, & \quad Y_{V,M}(Y_M(A, z-w)B, w)C. \end{aligned}$$

are expansions of  $f$  in

$$M((z))((w)), \quad M((w))((z)), \quad M((z))((z-w)), \quad M((w))((z-w))$$

respectively.

Abusing the notation, for  $A \in V$  we write

$$Y(A, z) = \sum A_{(n)}z^{-n-1}, \quad Y_M(A, z) = A_{(n)}z^{-n-1}.$$

Similarly, for  $B \in M$  we write

$$Y_{V,M}(B, w) = \sum B_{(n)}w^{-n-1}.$$

Similarly to the formula for the commutators of fields in vertex algebras [D] we have

$$[B_{(m)}, A_{(k)}] = \sum_{n \geq 0} \binom{m}{n} (B_{(n)}A)_{(m+k-n)}$$

and the same formula with  $A, B$  switched:

$$[A_{(m)}, B_{(k)}] = \sum_{n \geq 0} \binom{m}{n} (A_{(n)}B)_{(m+k-n)} \quad (2)$$

It can also be checked that

$$Y_{V,M}(TB, z) = \partial_z Y_{V,M}(B, z). \quad (3)$$

*Remark 2.3.* Let  $M$  be a vector space,  $V$  be a vertex algebra. One can show that to give a structure of a module over  $V$  on  $M$  is the same as to extend a vertex algebra structure from  $V$  to  $V \oplus M$  such that

1.  $M$  is an ideal (this means for any  $v \in V$ ,  $m \in M$  and integer  $i$  we have  $v_{(i)}m \in M$  and  $m_{(i)}v \in M$ .)
2. For any  $m, n \in M$  and integer  $i$  we have  $m_{(i)}n = 0$ .

This is similar to the situation with modules over a commutative algebra: an  $A$ -module structure on a vector space  $M$  is the same as an algebra structure on  $A \oplus M$  such that  $A$  is its subalgebra,  $AM \subset M$ ,  $M^2 = \{0\}$ .

## 2.2 Definition of $S_k$ and intertwining property

**Definition 2.4.** The *screening operator*  $S_k$  is the residue of

$$Y_{W_{0,k}, W_{-2,k}}(a_{-1} | - 2 \rangle).$$

We will write an explicit formula for

$$S_k(z) = Y_{W_{0,k}, W_{-2,k}}(a_{-1} | - 2 \rangle): W_{0,k} \rightarrow W_{-2,k}$$

and prove that  $S_k = \text{Res } S_k(z)$  intertwines the action of  $\hat{\mathfrak{sl}}_2$ .

**Lemma 2.5.** *We have*

$$S_k(z) = a(z) \otimes \left( T_{-2} \exp \left( \frac{1}{k+2} \sum_{n < 0} \frac{b_n}{n} z^{-n} \right) \exp \left( \frac{1}{k+2} \sum_{n > 0} \frac{b_n}{n} z^{-n} \right) \right), \quad (4)$$

where  $T_{-2}: \pi_0^{k+2} \rightarrow \pi_{-2}^{k+2}$  sends  $|0\rangle$  to  $|-2\rangle$  and commutes with the action of  $b_n, n \neq 0$ .

*Proof.* Since  $W_{0,k} = M_{\mathfrak{sl}_2} \otimes \pi_0^{k+2}$  and  $|-2\rangle_{W_{0,k}} = |0\rangle_{M_{\mathfrak{sl}_2}} \otimes |-2\rangle_{\pi_0^{k+2}}$ , we have

$$\begin{aligned} Y_{W_{0,k}, W_{-2,k}}(a_{-1} | - 2 \rangle) &= Y_{M_{\mathfrak{sl}_2}}(a_{-1} | 0 \rangle, z) \otimes Y_{\pi_0^{k+2}, \pi_{-2}^{k+2}}(| - 2 \rangle, z) = \\ &= a(z) \otimes Y_{\pi_0^{k+2}, \pi_{-2}^{k+2}}(| - 2 \rangle, z). \end{aligned} \quad (5)$$

Let

$$V_{-2}(z) = Y_{\pi_0^{k+2}, \pi_{-2}^{k+2}}(| - 2 \rangle, z).$$

It remains to compute  $V_{-2}(z)$  in order to prove the lemma. We will do this in two steps. First, we will express  $V_{-2}(z)$  via  $V_{-2}(z)|0\rangle$ . Then we will compute  $V_{-2}(z)|0\rangle$ .

Apply (2) to  $A = b_{-1}|0\rangle$ ,  $B = |-2\rangle$  to get

$$[b_m, B_{(k)}] = \sum_{n \geq 0} \binom{m}{n} (b_n |-2\rangle)_{m+k-n} = -2B_{(m+k)},$$

since  $b_n |-2\rangle$  is zero for  $n > 0$  and  $-2|-2\rangle$  for  $n = 0$ . It follows that

$$[b_m, V_{-2}(z)] = -2z^m V_{-2}(z). \quad (6)$$

Since vectors  $b_{n_1} \cdots b_{n_l}|0\rangle$  span  $\pi_0^{k+2}$ , the action of  $V_{-2}(z)$  is determined by  $V_{-2}(z)|0\rangle \in \pi_{-2}^{k+2}[[z]]$ . Namely,

$$V_{-2}(z) = V_{-2}(z)|0\rangle \exp\left(\frac{1}{k+2} \sum_{n>0} \frac{b_n}{n} z^{-n}\right), \quad (7)$$

where  $\exp\left(\frac{1}{k+2} \sum_{n>0} \frac{b_n}{n} z^{-n}\right) \in \text{End}(\pi_0^k[[z^{-1}]])$  is a field and  $V_{-2}(z)|0\rangle$  is a shorthand for the operator that sends  $b_{a_1} \cdots b_{a_k}|0\rangle \in \pi_0^{k+2}$  to

$$b_{a_1} \cdots b_{a_k} V_{-2}(z)|0\rangle \in \pi_{-2}^{k+2}[[z]]$$

for any  $a_1, \dots, a_k < 0$ . This operator is uniquely defined by the Taylor series  $V_{-2}(z)|0\rangle \in \pi_{-2}^{k+2}[[z]]$  that we will compute below.

Now we use equation (3) for  $B = |-2\rangle$  to get

$$\partial_z V_{-2}(z) = Y_{V,M}(T|-2, z).$$

We have the following property of vertex algebras (Corollary 2.3.3 in Frenkel's book or [D]): for any  $n, m < 0$  and  $A, B \in V$

$$Y(A_{(n)}B_{(m)}, z) = \frac{1}{(-n-1)!(-m-1)!} : \partial_z^{-n-1} Y(A, z) \partial_z^{-m-1} Y(B, z) : .$$

Using Lemma 2.2 for  $A = b_{-1}|0\rangle$ ,  $B = |-2\rangle$  and expanding

$$Y_M(A, z)Y_{V,M}(B, w)C = Y_{V,M}(Y_M(A, z-w)B)C$$

in powers of  $z-w$  similarly to [D] we get

$$Y_{V,M}(b_{-1}|-2, z) =: b(z)V_{-2}(z) : .$$



Using Proposition 6.2.2 in Frenkel's book or the third section of [W] we see that the action of  $T = L_{-1} = Y(\mathbf{S}_k, z)_{-1}$  on  $\pi_0$  is given by

$$T = \frac{1}{4(k+2)} \sum_{n \in \mathbb{Z}} b_n b_{-n-1}.$$

Hence

$$-b_{-1}|-2\rangle = (k+2)T|-2\rangle. \quad (8)$$

It follows that

$$(k+2)\partial_z V_{-2}(z) = - : b(z)V_{-2}(z) : . \quad (9)$$

Using (1) for  $A = |0\rangle$  we see that for any vertex algebra  $V$ , module  $M$  over  $V$  and  $B \in M$  we have

$$Y_{V,M}(B)|0\rangle \in B + zM[[z]] \quad (10)$$

Applying both sides of (9) to  $|0\rangle$  we get

$$(k+2)\partial_z(V_{-2}(z)|0\rangle) = -b_+(z)V_{-2}(z)|0\rangle.$$

This is a differential equation for the power series

$$V_{-2}(z)|0\rangle = Y_{\pi_0^{k+2}, \pi_{-2}^{k+2}}(|-2\rangle, z)|0\rangle$$

with constant term  $|-2\rangle$ , the solution is

$$V_{-2}(z)|0\rangle = \exp\left(\frac{1}{k+2} \sum_{n < 0} \frac{b_n}{n} z^{-n}\right) |-2\rangle.$$

Comparing this with (7) we get

$$V_{-2}(z) = T_{-2} \exp\left(\frac{1}{k+2} \sum_{n < 0} \frac{b_n}{n} z^{-n}\right) \exp\left(\frac{1}{k+2} \sum_{n > 0} \frac{b_n}{n} z^{-n}\right). \quad (11)$$

Using (5) and (7) we get

$$S_k(z) = a(z) \otimes \left( T_{-2} \exp\left(\frac{1}{k+2} \sum_{n < 0} \frac{b_n}{n} z^{-n}\right) \exp\left(\frac{1}{k+2} \sum_{n > 0} \frac{b_n}{n} z^{-n}\right) \right),$$

as claimed.  $\square$

**Proposition 2.6.** *The map  $S_k$  is a homomorphism of  $\widehat{\mathfrak{sl}}_2$ -modules.*

*Proof.* The plan of the proof is as follows:

1. We will compute the action of  $e_n, f_n, h_n, n \geq 0$  on  $a_{-1}|-2\rangle$ .
2. Using (2) for  $A = x_{-1}|0\rangle, B = a_{-1}|-2\rangle$ , where  $x = e, f, h$ , we will show that

$$[A_{(n)}, B_{(0)}] = 0.$$

Since  $A_{(n)} = x_n, B_{(0)} = S_k$ , this will prove the proposition.

We move to the first step of the plan. Recall that  $e_n$  is sent to  $a_n$ . Using  $\widehat{\mathfrak{sl}}_2$  relations we get

$$[e_n, a_{-1}] = 0, \quad [h_n, a_{-1}] = 2a_{n-1}, \quad [f_n, a_{-1}] = -h_{n-1} + k\delta_{n,1}.$$

Recall the formulas for other generators (6.2.3 in Frenkel's book, follows from formulas in section 2 of [W]):

$$h(z) \mapsto -2 : a^*(z)a(z) : + b(z), \quad (12)$$

$$f(z) \mapsto : a^*(z)^2 a(z) : + k\partial_z a^*(z) + a^*(z)b(z). \quad (13)$$

Using these formulas and the grading on the Wakimoto module by degree of  $t$  we get

$$e_n|-2\rangle = a_n|-2\rangle = 0, \quad n \geq 0; \quad h_n|-2\rangle = f_n|-2\rangle = 0, \quad n > 0, \\ h_0|-2\rangle = -2|-2\rangle.$$

It follows that

$$e_n a_{-1}|-2\rangle = h_n a_{-1}|-2\rangle = 0, \quad n \geq 0.$$

We also have

$$f_n a_{-1}|-2\rangle = 0, \quad n \geq 1; \quad f_1 a_{-1}|-2\rangle = (-h_0 + k)|-2\rangle = (k+2)|-2\rangle. \quad (14)$$

To compute the action of  $f_0$  we have to look more carefully at (13). First we use the  $\widehat{\mathfrak{sl}}_2$  relation to get

$$f_0 a_{-1}|-2\rangle = a_{-1} f_0|-2\rangle - h_{-1}|-2\rangle.$$

Using (12), (13) and the fact that  $a_m| - 2\rangle = a_{m+1}^*| - 2\rangle = b_{m+1}| - 2\rangle = 0$  for  $m \geq 0$  we get

$$\begin{aligned} f_0| - 2\rangle &= a_0^*b_0| - 2\rangle = -2a_0^*| - 2\rangle, \\ h_{-1}| - 2\rangle &= (-2a_{-1}a_0^* + b_{-1})| - 2\rangle. \end{aligned}$$

It follows that

$$f_0a_{-1}| - 2\rangle = -b_{-1}| - 2\rangle.$$

Using (8) we get

$$f_0a_{-1}| - 2\rangle = (k+2)T| - 2\rangle. \quad (15)$$

Now we will check that  $S_k$  is  $\widehat{\mathfrak{sl}}_2$ -linear. Set  $B = a_{-1}| - 2\rangle$  for the computations below. By definition,  $S_k = B_{(0)}$ .

Using equation (2) for  $A = a_{-1}|0\rangle$  we have

$$[A_{(m)}, B_{(k)}] = \sum_{n \geq 0} \binom{m}{n} (A_{(n)}B)_{(m+k-n)} = \sum_{n \geq 0} \binom{m}{n} (a_n a_{-1}| - 2\rangle)_{(m+k-n)} = 0.$$

Using (2) for  $A = h_{-1}|0\rangle$  we have

$$[A_{(m)}, B_{(k)}] = \sum_{n \geq 0} \binom{m}{n} (A_{(n)}B)_{(m+k-n)} = \sum_{n \geq 0} \binom{m}{n} (h_n a_{-1}| - 2\rangle)_{(m+k-n)} = 0.$$

Using (2) for  $A = f_{-1}|0\rangle$ ,  $B = a_{-1}| - 2\rangle$  we have

$$\begin{aligned} [A_{(m)}, B_{(l)}] &= \sum_{n \geq 0} \binom{m}{n} (A_{(n)}B)_{(m+l-n)} = \sum_{n \geq 0} \binom{m}{n} (f_n a_{-1}| - 2\rangle)_{(m+l-n)} \\ &= m(f_1 \cdot a_{-1}| - 2\rangle)_{(m+l-1)} + (f_0 \cdot a_{-1}| - 2\rangle)_{(m+l)} = (14), (15) \\ &= (k+2)m| - 2\rangle_{(m+l-1)} + (k+2)(T| - 2\rangle)_{(m+l)} \end{aligned}$$

Now we write

$$\begin{aligned} (T| - 2\rangle)_{(m+l)} &= [z^{-1-m-l}]Y(T| - 2\rangle, z) \\ &= [z^{-1-m-l}]Y(| - 2\rangle, z)' = (-m-l)(| - 2\rangle)_{(m+l-1)}. \end{aligned}$$

It follows that

$$[A_{(m)}, B_{(l)}] = -(k+2)l(| - 2\rangle)_{(m+l-1)}.$$

In particular, for  $l = 0$  we get zero.

We checked that  $B_{(0)}$  commutes with the action of  $e_m, f_m, h_m$  for all  $m$ . Hence  $B_{(0)} = \text{Res } S_k(z)$  is an intertwining operator.  $\square$

Now we prove that we indeed have a short exact sequence of  $\widehat{\mathfrak{sl}}_2$ -modules.

**Proposition 2.7.** *For  $k \notin -2 + \mathbb{Q}_{\geq 0}$ , the sequence*

$$0 \rightarrow V_k(\mathfrak{sl}_2) \rightarrow W_{0,k} \rightarrow W_{-2,k} \rightarrow 0$$

*is exact.*

*Proof.* Writing  $V_{-2}(z) = \sum V_{-2,-n} z^n$  we get

$$S_k = \text{Res } S_k(z) = \sum_n a_n V_{-2,-n}. \quad (16)$$

Recall (11):

$$V_{-2}(z) = T_{-2} \exp\left(\frac{1}{k+2} \sum_{n<0} \frac{b_n}{n} z^{-n}\right) \exp\left(\frac{1}{k+2} \sum_{n>0} \frac{b_n}{n} z^{-n}\right).$$

It follows that  $V_{-2,-n}|0\rangle = 0$  when  $n < 0$ . We also have  $a_n|0\rangle = 0$  for  $n \geq 0$ . Hence  $S_k|0\rangle = 0$ . Since  $S_k$  commutes with the action of  $\widehat{\mathfrak{sl}}_2$  and the image  $\omega_\kappa(V_k(\mathfrak{sl}_2))$  is the  $\widehat{\mathfrak{sl}}_2$ -submodule generated by  $|0\rangle$ , the image of  $V_k(\mathfrak{sl}_2)$  lies in the kernel of  $S_k$ .

We know that  $W_{0,k}^+$  is isomorphic to the Verma module  $\mathbf{M}_{0,k}$ , this is Theorem 2.3 in [S] and Proposition 6.3.3 in Frenkel's book. Similarly to section 2.1 of [S] we can compute the formal character of  $W_{\lambda,k}$  as follows. Let  $\alpha$  be the positive root for  $\mathfrak{sl}_2$ ,  $\delta$  be the grading operator by the degree of  $t$ . Let  $q = e^{-\delta}$ ,  $u = e^\alpha$ . Then the operators  $a_n, a_{n+1}^*, b_n$ ,  $n < 0$  have weight  $uq^{-n}, uq^{-1-n}, q^{-n}$  respectively. It follows that

$$\text{ch } W_{\lambda,k} = u^{\frac{\lambda}{2}} \prod_{n>0} (1 - q^n)^{-1} (1 - uq^n)^{-1} (1 - u^{-1}q^{n-1})^{-1}.$$

Therefore  $\text{ch } W_{\lambda,k} = \text{ch } \mathbf{M}_{\lambda,k}$ , where  $\mathbf{M}_{\lambda,k}$  is Verma module of level  $k$  with  $h_0$ -weight  $\lambda$ .

Using Proposition 3.1 in [KK], it can be shown that, for  $k \notin -2 + \mathbb{Q}_{\geq 0}$ , the Verma module  $\mathbf{M}_{-2,k}$  is irreducible. Since  $\text{ch } W_{-2,k} = \text{ch } \mathbf{M}_{-2,k}$ , the  $\widehat{\mathfrak{sl}}_2$ -module  $W_{-2,k}$  is also irreducible. Using (16) and the fact that  $V_{-2,-n}$  commutes with  $a, a^*$  we have

$$S_k(a_0^*|0\rangle) = \sum a_n a_0^* V_{-2,-n}|0\rangle = \sum_{n \geq 0} a_n a_0^* |-2\rangle = a_0 a_0^* |-2\rangle = |-2\rangle,$$

so  $S_k$  is nonzero, hence surjective.

It follows that the character of  $\ker S_k$  equals to  $\text{ch } \mathbf{M}_{0,k} - \text{ch } \mathbf{M}_{-2,k}$ .

**Exercise.**  $\text{ch } \mathbf{M}_{0,k} - \text{ch } \mathbf{M}_{-2,k} = \text{ch } V_k(\mathfrak{sl}_2)$ , hence  $V_k(\mathfrak{sl}_2)$  coincides with the kernel of  $S_k$ .

□

### 3 Friedan-Martinec-Shenker bosonization

#### 3.1 The vertex algebra $\Pi_0$

A note on terminology. One may think that bosonization means boson-fermion correspondence. This is not the case: FMS bosonization is boson-boson correspondence.

We want to construct objects similar to  $M_{\mathfrak{sl}_2}$  and  $W_{k,\lambda}$  that depend on two parameters. This will be convenient for taking the limit  $k \rightarrow -2$ .

Consider the Heisenberg Lie algebra with generators  $p_n, q_m, \mathbf{1}$  such that  $\mathbf{1}$  is central and relations

$$[p_n, p_m] = n\delta_{n,-m}\mathbf{1}, \quad [q_n, q_m] = -n\delta_{n,-m}\mathbf{1}, \quad [p_n, q_m] = 0.$$

We set

$$p(z) = \sum p_n z^{-n-1}, \quad q(z) = \sum q_n z^{-n-1}.$$

We define Fock representation  $\Pi_{\lambda,\mu}$  of this algebra as usual: it is generated by  $|\lambda, \mu\rangle$  such that

$$p_n |\lambda, \mu\rangle = \lambda \delta_{n,0} |\lambda, \mu\rangle, \quad q_n |\lambda, \mu\rangle = \mu \delta_{n,0} |\lambda, \mu\rangle, \quad n \geq 0, \quad \mathbf{1} |\lambda, \mu\rangle = |\lambda, \mu\rangle.$$

Recall (11):

$$V_{-2}(z) = T_{-2} \exp\left(\frac{1}{k+2} \sum_{n<0} \frac{b_n}{n} z^{-n}\right) \exp\left(\frac{1}{k+2} \sum_{n>0} \frac{b_n}{n} z^{-n}\right): \pi_0^{k+2} \rightarrow \pi_{-2}^{k+2}$$

Similarly to  $V_{-2}$  we define  $V_{\lambda,\mu}: \Pi_{\lambda',\mu'} \rightarrow \Pi_{\lambda+\lambda',\mu+\mu'}$  given by

$$V_{\lambda,\mu}(z) = T_{\lambda,\mu} z^{\lambda\lambda' - \mu\mu'} \exp\left(-\sum_{n<0} \frac{\lambda p_n + \mu q_n}{n} z^{-n}\right) \exp\left(-\sum_{n>0} \frac{\lambda p_n + \mu q_n}{n} z^{-n}\right),$$

where  $T_{\lambda,\mu}$  is defined similarly to  $T_{-2}$ : it sends highest weight vector to the highest weight vector and commutes with all  $p_n, q_n$  for nonzero  $n$ .

We claim that

$$\Pi_0 = \bigoplus_{n \in \mathbb{Z}} \Pi_{n,n}$$

has a vertex algebra structure such that  $\Pi_{0,0}$  is its vertex subalgebra. First, we define  $T: \Pi_0 \rightarrow \Pi_0$  using the fact that each  $\Pi_{n,n}$  is a module over  $\Pi_{0,0}$ . Then we define

$$\begin{aligned} Y(p_{-1}|0\rangle, z) &= p(z), & Y(q_{-1}|0\rangle, z) &= q(z) \\ Y(|1, 1\rangle, z) &= V_{1,1}(z), & Y(|-1, -1\rangle, z) &= V_{-1,-1}(z) \end{aligned}$$

Using a formula similar to (6) we can check that  $V_{\lambda,\mu}$  is mutually local with  $p(z)$  and  $q(z)$ . Hence the third condition of the strong reconstruction theorem is satisfied. We also see that the four fields above generate  $\Pi_0$ . Equation (3) is exactly the second condition of the reconstruction theorem. The first condition can also be checked. Hence all four conditions of the strong reconstruction theorem are satisfied and  $\Pi_0$  gets a structure of a vertex algebra.

Similarly, we can check that for any  $\gamma \in \mathbb{C}$

$$\Pi_\gamma = \bigoplus_{n \in \mathbb{Z}} \Pi_{n+\gamma, n+\gamma}$$

is a module over  $\Pi_0$ .

Let  $u(z)$  be the "integral" of  $p(z)$ , similarly with  $v$  and  $q$ :

$$u(z) = - \sum_{n \neq 0} \frac{p_n}{n} z^{-n} + p_0 \log z, \quad v(z) = - \sum_{n \neq 0} \frac{q_n}{n} z^{-n} + q_0 \log z.$$

Abusing notation we will write  $V_{\lambda,\mu} = e^{\lambda u + \mu v}$ .

FMS bosonization is the following realization of the vertex algebra  $M_{\mathfrak{sl}_2}$ .

**Theorem 3.1.** *There is a unique embedding of vertex algebras  $M \hookrightarrow \Pi_0$  such that the fields  $a(z)$ ,  $a^*(z)$  are mapped to*

$$\tilde{a}(z) = e^{u+v}, \quad \tilde{a}^*(z) = (\partial_z e^{-u}) e^{-v} = - : p(z) e^{-u-v} : .$$

Furthermore, the image of  $M$  in  $\Pi_0$  is the kernel of  $\text{Res } e^u$ .

*Proof.* We have  $[a(z), a^*(t)] = \delta(z-t)$ . Since

$$[p(z), e^{u(t)+v(t)}] = \delta(z-t) e^{u+v},$$

it can be checked that

$$[\tilde{a}(z), \tilde{a}^*(t)] = \delta(z-t).$$

This map is an embedding because  $M$  is a simple vertex algebra. In this talk we will not need the description of the image of  $M$ .  $\square$

The Virasoro field for  $M$  is  $T(z) =: \partial_z a^*(z)a(z) :$ , this can be checked using formulas from Section 3 in [W]. This field maps to

$$\frac{1}{2} ( : p(z)^2 : - \partial_z p(z) - : q(z)^2 : + \partial_z q(z) ).$$

The main advantage of FMS bosonization for us is that we can define  $a(z)^\gamma$  for any complex number  $\gamma$  as

$$\tilde{a}(z)^\gamma = e^{\gamma(u+v)} : \Pi_0 \rightarrow \Pi_\gamma.$$

### 3.2 Screening operators of the second kind

Let  $k \neq -2$ . Consider  $\Pi_0 \otimes \pi_0^{k+2}$ . This is a vertex algebra that contains  $M \otimes \pi_0^{k+2} = W_{0,k}$  and hence  $V_k(\mathfrak{sl}_2)$  as a vertex subalgebra. For  $\lambda, \gamma \in \mathbb{C}$  the tensor product  $\widetilde{\Pi}_\gamma \otimes \pi_\lambda^{k+2}$  is a module over  $V_k(\mathfrak{sl}_2)$ , hence over  $\hat{\mathfrak{sl}}_2$ . We denote this module by  $\widetilde{W}_{\gamma,\lambda,k}$ . We also introduce the operator

$$V_{2k+2}(z) = T_{2(k+2)} \exp\left(-\sum_{n<0} \frac{b_n}{n} z^{-n}\right) \exp\left(-\sum_{n>0} \frac{b_n}{n} z^{-n}\right) : \pi_0^{k+2} \rightarrow \pi_{2k+2}^{k+2}.$$

Set

$$\tilde{S}_k(z) = \tilde{a}(z)^{-(k+2)} \otimes V_{2k+2}(z).$$

Reasoning similarly to the proof of Proposition 2.6 we have the following proposition.

**Proposition 3.2.** *The residue  $\tilde{S}_k = \text{Res } \tilde{S}_k(z) : W_{0,0,k} \rightarrow W_{-(k+2),2(k+2),k}$  intertwines the  $\hat{\mathfrak{sl}}_2$ -actions.*

The map  $\tilde{S}_k$  or its restriction to  $W_{0,k} \subset \tilde{W}_{0,0,k}$  is called the *screening operator of the second kind* for  $\hat{\mathfrak{sl}}_2$ .

The main result of this subsection is the following:

**Proposition 3.3.** *For generic  $k$  the  $\hat{\mathfrak{sl}}_2$ -submodule  $V_k(\mathfrak{sl}_2) \subset W_{0,k}$  is equal to the kernel of*

$$\tilde{S}_k : W_{0,k} \rightarrow \tilde{W}_{-(k+2),2(k+2),k}.$$

*Proof.* We sketch the proof, see Proposition 7.2.5 in Frenkel's book for details.

It is enough to prove that  $\text{Ker } \tilde{S}_k = \text{Ker } S_k$ . We can extend

$$S_k : W_{0,k} \rightarrow W_{-2,k}$$

to

$$S'_k: \tilde{W}_{0,0,k} \rightarrow \tilde{W}_{1,-2,k}$$

by changing  $a(z)$  to  $\tilde{a}(z)$ . We will prove a stronger statement:  $\text{Ker } \tilde{S} = \text{Ker } S'_k$ . Let  $\phi(z) = -\sum_{n \neq 0} \frac{b_n}{n} z^{-n}$ . Then similarly to the notation for  $V_{\lambda,\mu}$  we will write

$$V_{-2}(z) = e^{-(k+2)^{-1}\phi}, \quad V_{2(k+2)}(z) = e^\phi.$$

With this notation we have

$$S'_k(z) = e^{u+v-(k+2)^{-1}\phi}, \quad \tilde{S}_k(z) = e^{-(k+2)u-(k+2)v+\phi}. \quad (17)$$

Now the fact that  $\text{Ker Res } S'_k(z) = \text{Ker Res } \tilde{S}_k(z)$  follows from equation (15.4.10) in [FBZ], as explained in Proposition 7.2.5 of Frenkel's book.  $\square$

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