

Lecture 16:

Representations of symmetric groups, I.

1) Main classification result

Ref: [E], Sec. 5.12 & 5.13.

1) Main classification result

Our goals in this part of the course are:

- Classify the irreducible representations of S_n over an algebraically closed field \mathbb{F} w. $\text{char } \mathbb{F} = 0$ (the restriction on the characteristic is essential, while the condition of being algebraically closed can be dropped)
- Compute the characters of irreducible representations.

There are a number of reasons to care about representations of S_n specifically. Some have to do with the nature of this group:

1)

- $S_n = \text{Bij}(\{1, 2, \dots, n\})$ is one of "distinguished groups," see Lec 1.
- S_n is a "reflection group" and a "Weyl group." See Bonus Lectures A1 & A2.
- S_n contains the alternating group A_n as an index 2 subgroup. It turns out that one can deduce the classification of irreducible representations of A_n from that of S_n - and the latter is nicer. The importance of A_n is that it's a simple group (for $n \geq 5$). Simple groups (& groups that are close to simple, such as S_n) play a distinguished role in the structure theory & the representation theory of finite groups.

The 2nd group of reasons is connections to other objects in Representation theory & beyond. This includes:

- A connection to "polynomial representations" of $GL_m(\mathbb{C})$ via the Schur-Weyl duality - to be reviewed in a future bonus lecture.

• A connection to Combinatorics: Young diagrams/tableaux, symmetric polynomials. We will see some of these connections in the lectures and some more as a bonus.

• Connections to Probability - that we are unlikely to see in any form.

1.1) Combinatorial preparation.

The irreducible representations of S_n are indexed by partitions. In order to state the classification result we'll need some combinatorial constructions. We start with a partial order.

Definition: Let $\lambda = (\lambda_1, \dots, \lambda_k)$, $\mu = (\mu_1, \dots, \mu_k)$ be partitions of n (we adjoin zero parts to λ & μ to make the number of parts equal). We consider the lexicographic order: $\lambda < \mu$ if $\lambda_1 = \mu_1, \dots, \lambda_{i-1} = \mu_{i-1}$, but $\lambda_i < \mu_i$ for some i .

Example: For $n=4$, we have:

$$(4) > (3, 1) > (2, 2) > (2, 1, 1, 1) > (1, 1, 1, 1).$$

Next, we will need an involution on the set of partitions. To define it, we will visualize partitions as **Young diagrams**: to a partition $(\lambda_1, \dots, \lambda_k)$ w. $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_k$ we assign the configuration of unit boxes on the plane that are arranged in rows w. λ_1 (1st row), λ_2 (2nd row) etc. boxes aligned on the left:

Example: $(4) \rightarrow \square\square\square\square$, $(3,1) \rightarrow \begin{array}{c} \square\square\square \\ \square \end{array}$, $(2,2) \rightarrow \begin{array}{c} \square\square \\ \square\square \end{array}$,
 $(2,1,1) \rightarrow \begin{array}{c} \square\square \\ \square \\ \square \end{array}$, $(1,1,1,1) \rightarrow \begin{array}{c} \square \\ \square \\ \square \\ \square \end{array}$.

To a partition, equiv., Young diagram, λ we assign its **transpose** λ^t : obtained by reflecting the diagram w.r.t. the diagonal:



E.g. $(4)^t = (1,1,1,1)$, $(3,1)^t = (2,1,1)$: $\begin{array}{c} \square\square\square \\ \square \end{array} \leftrightarrow \begin{array}{c} \square\square \\ \square \\ \square \end{array}$, $(2,2)^t = (2,2)$.

1.2) Main result.

We are going to use two families of induced represen-

tations of S_n to be denoted by I_λ^+, I_λ^- , where λ runs over the set of partitions of n .

Recall that to a partition $\lambda = (\lambda_1, \dots, \lambda_k)$ we assign the subgroup $S_\lambda = S_{\lambda_1} \times S_{\lambda_2} \times \dots \times S_{\lambda_k} \subset S_n$.

Definition: Set $I_\lambda^+ := \text{Ind}_{S_\lambda}^{S_n} \text{triv}$, $I_\lambda^- := \text{Ind}_{S_\lambda}^{S_n} \text{sgn}$.

Theorem: The following claims are true:

- 1) For every partition λ , $\exists!$ irreducible representation, V_λ , of S_n that occurs in both I_λ^+, I_λ^- .
- 2) Every irreducible representation of S_n is isomorphic to V_λ for unique λ .

Example: For $n=4$, we have computed the representations I_λ^\pm in Sec 2.3 of Lec 15. Here are the results:

$$\lambda = (4): I_{(4)}^+ = \text{triv}, I_{(4)}^- = \text{triv} \oplus (\mathbb{F}_0^4)^{\oplus 3} \oplus V_2^{\oplus 2} \oplus (\text{sgn} \otimes \mathbb{F}_0^4)^{\oplus 3} \oplus \text{sgn}.$$

$$\text{So } V_{(4)} = \text{triv}.$$

$$\lambda = (3,1): I_{(3,1)}^+ = \text{triv} \oplus \mathbb{F}_0^4, I_{(3,1)}^- = \mathbb{F}_0^4 \oplus V_2 \oplus (\text{sgn} \otimes \mathbb{F}_0^4)^{\oplus 2} \oplus \text{sgn}.$$

$$\text{So } V_{(3,1)} = \mathbb{F}_0^4$$

$$\lambda = (2,2): I_{(2,2)}^+ = \text{triv} \oplus \mathbb{F}_0^4 \oplus V_2, \quad I_{(2,2)}^- = V_2 \oplus \text{sgn} \otimes \mathbb{F}_0^4 \oplus \text{sgn}$$

$$\text{So } V_{(2,2)} = V_2$$

$$\lambda = (2,1,1,1): I_{(2,1,1,1)}^+ = \text{triv} \oplus (\mathbb{F}_0^4)^{\oplus 2} \oplus V_2 \oplus \text{sgn} \otimes \mathbb{F}_0^4,$$

$$I_{(2,1,1,1)}^- = \text{sgn} \otimes \mathbb{F}_0^4 \oplus \text{sgn}. \quad \text{So } V_{(2,1,1,1)} = \text{sgn} \otimes \mathbb{F}_0^4$$

$$\lambda = (1,1,1,1): I_{(1,1,1,1)}^+ = \text{triv} \oplus (\mathbb{F}_0^4)^{\oplus 3} \oplus V_2^{\oplus 2} \oplus (\text{sgn} \otimes \mathbb{F}_0^4)^{\oplus 3} \oplus \text{sgn},$$

$$I_{(1,1,1,1)}^- = \text{sgn}. \quad \text{So } V_{(1,1,1,1)} = \text{sgn}.$$

Exercise: Prove that $\text{sgn} \otimes V_\lambda \simeq V_{\lambda^t}$. Hint: use $\text{sgn} \otimes I_\lambda^+ \simeq I_{\lambda^t}^-$, which follows from Lemma in Sec 2.2 in Lec 15.

1.3) Main technical claim

We will deduce the classification theorem from the following result

Main Claim: For partitions λ & μ the following are true:

$$1) \text{Hom}_{S_n}(I_\lambda^+, I_\mu^-) \neq 0 \Rightarrow \mu^t \leq \lambda^t$$

$$2) \dim \text{Hom}_{S_n}(I_\lambda^+, I_\lambda^-) = 1$$

Example: The explicit computations verify this claim for $n=4$.

This claim will be proved in the next lecture. Now we deduce the theorem from it.

Proof of Theorem modulo the main claim:

Step 1: Let G be an arbitrary finite group and U, V be its finite dimensional representations. Then we can decompose U, V into the direct sums of irreducibles:

$$U = \bigoplus_{i=1}^{\ell} U_i^{\oplus m_i}, \quad V = \bigoplus_{i=1}^{\ell} U_i^{\oplus n_i}.$$

Then (the proof is left as an *exercise*) we have

$$(*) \quad \dim \operatorname{Hom}_G(U, V) = \sum_{i=1}^{\ell} m_i n_i$$

So (1) of the Main Claim means that if I_{λ}^+, I_{μ}^- have common irreducible summands, then $\mu^t \leq \lambda^t$. And (2) means that $I_{\lambda}^+, I_{\lambda}^-$ share only one common irreducible summand (with multiplicity 1). We denote it by V_{λ} . This establishes part 1 of the theorem.

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Step 2: Now we show that $V_\lambda \cong V_\mu \Rightarrow \lambda = \mu$. Assume $\lambda \neq \mu$. Then, swapping λ & μ if needed, we can assume $\mu^t > \lambda^t$. Note that V_λ is a direct summand in I_λ^+ , while V_μ is a direct summand in I_μ^- . Since $V_\lambda \cong V_\mu$, we can use (*) above to show that $\text{Hom}_{S_n}(I_\lambda^+, I_\mu^-) \neq 0$. This contradicts (1) of the Main Claim, leading to contradiction.

Step 3: Now we are ready to prove (2) of the theorem. We've got a collection of pairwise non-isomorphic irreducibles indexed by the partitions of n . The same set indexes the conjugacy classes in S_n . As the number of irreducibles (up to isomorphism) equals the number of conjugacy classes (Corollary in Sec 2 of Lec 10), we have actually constructed all irreducible representations, finishing the proof \square

1.4) Computation of $\dim \text{Hom}_{S_n}(I_\lambda^+, I_\mu^-)$

The following result is the first step in proving the Main Claim.

Lemme: $\dim \operatorname{Hom}_{S_n}(I_\lambda^+, I_\mu^-)$ coincides w. the dimension of $\{f \in \operatorname{Fun}(S_n, \mathbb{F}) \mid f(\tau g \sigma) = \operatorname{sgn}(\tau) f(g) \ \forall g \in S_n, \tau \in S_{\mu^+}, \sigma \in S_\lambda^-\}$

Proof: Recall the Frobenius reciprocity: for finite groups $H \subset G$ representations U of H , V of G we have (Sec 2.1 of Lec 14):

$$\operatorname{Hom}_G(V, \operatorname{Ind}_H^G U) \xrightarrow{\sim} \operatorname{Hom}_H(V, U).$$

Apply this to: $G = S_n$, $H = S_{\mu^+}$, $V = I_\lambda^+$, $U = \operatorname{sgn}$. We get

$$\operatorname{Hom}_{S_n}(V, I_\mu^-) \longrightarrow \operatorname{Hom}_{S_{\mu^+}}(V, \operatorname{sgn})$$

The dimension of the target is the multiplicity of sgn in V viewed as a representation of S_{μ^+} that can also be computed as $\dim \operatorname{Hom}_{S_{\mu^+}}(\operatorname{sgn}, V)$, see Sec 1 in Lec 7. The space

$\operatorname{Hom}_{S_{\mu^+}}(\operatorname{sgn}, V)$ is identified w.

$$\{v \in V \mid \tau v = \operatorname{sgn}(\tau)v \ \forall \tau \in S_{\mu^+}\} \quad (*)$$

(we send $\varphi: \operatorname{sgn} \rightarrow V$ to $\varphi(1)$, the condition that φ is a homomorphism precisely means $\tau \varphi(1) = \operatorname{sgn}(\tau) \varphi(1)$).

Now we use that

$$V = \operatorname{Ind}_{S_\lambda}^{S_n} \operatorname{triv} = \{f \in \operatorname{Fun}(S_n, \mathbb{F}) \mid f(g\sigma) = f(g) \ \forall g \in S_n, \sigma \in S_\lambda^-\}$$

w. S_n -action given by $[\tau \cdot f](g) = f(\tau^{-1}g)$ (for $\tau \in S_n$). We note

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that $\text{sgn}(\tau) = \text{sgn}(\tau^{-1})$ and conclude that (*) is:

$$\{f \in \text{Fun}(G, \mathbb{F}) \mid f(\tau g) = \text{sgn}(\tau) f(g), f(g\sigma) = f(g) \ \forall g \in S_n, \sigma \in S_\lambda, \tau \in S_{n+\ell}\}.$$

This is exactly the space in the statement of the lemma. \square

1.5) Remarks on the classification over other fields.

This discussion is not going to be used in what follows.

Suppose $\text{char } \mathbb{F} = 0$ but \mathbb{F} may be non-closed. The proof of Lemma in Sec 1.4 carries over w. slight modifications (left as *exercise*). In fact, the Main Claim holds over any \mathbb{F} as long as $\text{char } \mathbb{F} \neq 2$. Then (*) in Step 1 of the proof in the previous section becomes

$$\dim \text{Hom}_G(U, V) = \sum_{i=1}^{\ell} m_i n_i \dim_{\mathbb{F}} \text{End}_G(U_i)$$

From here we still get the irreducible representation V_λ as in the theorem, and, moreover, $\dim_{\mathbb{F}} \text{End}_{S_n}(V_\lambda) = 1$. Step 2 carries over, so we get a collection of irreducible representations of S_n over \mathbb{F} indexed by partitions. As we remarked in Sec 1.1 of Lec 10, the characters of irreducibles are still orthogonal, hence linearly independent. It follows again that every

irreducible representation of $\mathbb{F}S_n$ is isomorphic to V_λ for a unique λ .

Now suppose $\text{char } \mathbb{F} = p$ and \mathbb{F} is algebraically closed (for symmetric groups this assumption is not relevant).

There's a general result that for a finite group G , the number of irreducible $\mathbb{F}G$ -modules is equal to the number of conjugacy classes whose elements have order coprime to p (we have seen this for p -groups in HW2). For $G = S_n$, one can say more. An element of S_n has order coprime to p iff the lengths of all cycles in its decomposition are coprime to p . And there's a distinguished bijection between the set of irreducible $\mathbb{F}S_n$ -modules and the set of partitions of n w/o parts divisible by p .