

## Lecture 16.

### 1) $\mathbb{Q}$ -factorial terminalizations from induction, I.

Refs: [N2a], [N26].

#### 1.1) Main result

Let  $\tilde{\mathcal{O}}$  be a  $G$ -equivariant cover of a nilpotent orbit  $\mathcal{O} \subset \mathfrak{g}^*$ .  
Let  $L \subset G$  be a minimal Levi subgroup s.t.  $\exists$   $L$ -equiv. cover  
s.t.  $\tilde{\mathcal{O}} = \text{Ind}_L^G(\tilde{\mathcal{O}}_L)$ . Include  $L$  into a parabolic  $P = L \times U$ .

Thm 1: The variety  $Y = \text{Ind}_P^G(X_2)$  w.  $X_2 = \text{Spec } \mathbb{C}[\tilde{\mathcal{O}}_L]$  is a  
 $\mathbb{Q}$ -factorial terminalization of  $X = \text{Spec } \mathbb{C}[\tilde{\mathcal{O}}]$ .

Examples: 1) Let  $\tilde{\mathcal{O}} = \mathcal{O}_{\text{pr}}$ . In this case, can take  $L = T$ ,  
 $\tilde{\mathcal{O}}_L = \{0\}$ ;  $L$  is certainly minimal. We have that  $Y = T^*(G/B)$   
is a symplectic resolution of  $X = \mathcal{N}$ .

2)  $\mathfrak{g} = \mathfrak{sl}_n$ ,  $X = \overline{\mathcal{O}}_{\tau \neq 0}$  (see Example in Sec 1.1 of Lec 15).

Then  $L$  is the subgroup of block diagonal matrices, where

sizes are the parts of  $\tau$ . We have  $\tilde{Q}_2 = \{0\}$  and recover the fact that  $Y = T^*(G/P)$  is a symplectic resolution of  $X$ .

## 1.2) Reduction to birationally rigid covers.

We only need to prove that  $Y$  is  $\mathbb{Q}$ -factorial terminal. Here's a reduction.

**Proposition:** Suppose  $X_2$  is  $\mathbb{Q}$ -factorial & terminal. Then so is  $Y$ .

**Proof:** We'll need to understand  $Y$  locally.

Let  $U^-$  be the opposite to  $P$  unipotent subgroup: if  $P = P(\Pi_0)$  for  $\Pi_0 \subset \Pi$ , then  $\mathfrak{u}^- = \text{Lie}(U^-) = \bigoplus_{\beta \in \Delta_+ \setminus \Delta_0} \mathfrak{g}_{-\beta}$ , where  $\Delta_0 := \Delta \cap \text{Span}_{\mathbb{Z}}(\Pi_0)$ .

So  $U^- \times P \hookrightarrow G \Rightarrow U^- \hookrightarrow G/P$  (compare to Lemma in Sec 2.3 of Lec 13). Consider  $Y = G \times^P F \xrightarrow{\pi} G/P$ ,  $F := \{(d, x) \mid d|_U = \mu(x)\}$ .

Then  $\pi^{-1}(U^-) \simeq U^- \times F$ . Note that  $\mathfrak{g}/\mathfrak{u}^- \simeq \mathfrak{l} \oplus \mathfrak{u}^-$  giving

$F \xrightarrow{\sim} X_2 \times (\mathfrak{u}^-)^*$  via  $(d, x) \mapsto (x, d - \mu(x))$ . So

$\text{codim}_{\pi^{-1}(U^-)} \pi^{-1}(U^-)^{\text{sing}} \geq 4$ . Since  $G/P \simeq \bigcup_{g \in G} gU^-$  (open cover), we get an open cover  $Y = \bigcup_{g \in G} g\pi^{-1}(U^-)$ . It follows that  $\text{codim}_Y Y^{\text{sing}} \geq 4$ , hence  $Y$  is terminal.

Now we need to prove that  $Y$  is  $\mathbb{Q}$ -factorial. For this

we compute  $\text{Pic}(Y)$  &  $\text{Pic}(Y^{\text{reg}})$  and their inclusion. This is done in the next lemma, which will finish the proof, since  $\text{Pic}(X_2) = \{0\}$  &  $\text{Pic}(X_2^{\text{reg}})$  is finite, see Prop'n in Sec 1.2 of Lec 12  $\square$

Lemma: Assume  $G$  is simply connected. Then  $\mathcal{X}(L) \rightarrow \text{Pic}(Y)$  &  $\exists$  SES  $0 \rightarrow \text{Pic}(Y) \rightarrow \text{Pic}(Y^{\text{reg}}) \rightarrow \text{Pic}(X_2^{\text{reg}}) \rightarrow 0$ .

Proof: We have  $\text{Pic}(G/P) \xrightarrow{\sim} \mathcal{X}(P) \xrightarrow{\sim} \mathcal{X}(L)$ , see Sec 1.2 in Lec 12,  $\xrightarrow{\sim} \pi^*: \mathcal{X}(L) = \text{Pic}(G/P) \rightarrow \text{Pic}(Y)$ . It's a split injection:

$G/P \hookrightarrow Y$  via  $gP \mapsto [g, (0,0)]$  &  $\pi \circ i = \text{id} \Rightarrow i^* \pi^* = \text{id}$ . So  $\pi^*: \mathcal{X}(L) \hookrightarrow \text{Pic}(Y^{\text{reg}})$ . But  $\text{Pic}(G/P) = \text{Cl}(G/P)$ . Let  $D_1, \dots, D_k$  be codim 1 irreducible components of  $(G/P) \setminus U^-$  (in fact, all components are of codim 1). We have (Hartshorne's book, Prop. 6.5) exact sequence  $\text{Span}_{\mathbb{Z}}(D_1, \dots, D_k) \rightarrow \text{Cl}(G/P) \rightarrow \text{Cl}(U^-) = \{0\}$ . The fibers of  $\pi$  are irreducible of the same dimension, so, for similar reasons we have the following exact sequence

$$\text{Span}_{\mathbb{Z}}(\pi^{-1}(D_i)) \xrightarrow{\pi^*} \text{Pic}(G/P) \xrightarrow{\pi^*} \text{Pic}(Y) \rightarrow \text{Cl}(U^- \times_{\mathbb{A}^1} X_2) = \text{Cl}(X_2) \rightarrow 0$$

*affine space*  $\rightarrow$

Note also that  $\text{Pic}(U^- \times_{\mathbb{A}^1} X_2) = \text{Pic}(X_2) = \{0\}$ . It follows

that  $\text{Span}_{\mathbb{Z}}(\mathcal{O}(-1)) = \mathcal{O}^* \text{Pic}(G/P)$  in  $\text{Pic}(Y^{\text{reg}})$  actually coincides w. the image of  $\text{Pic}(Y)$ . The exact sequence in the statement follows.  $\square$

Thx to the transitivity of induction (Sec 1.2 in Lec 15), & the minimality of  $L$ , we reduce Thm 1 to the case when  $\tilde{\mathcal{O}}$  cannot be properly induced.

Def'n:  $\tilde{\mathcal{O}}$  is **birationally rigid** if  $\tilde{\mathcal{O}} = \text{Ind}_L^G(\tilde{\mathcal{O}}_L) \Rightarrow L = G$ .

Example: Let  $G = \text{Sp}_{2n}$  &  $\mathcal{O}$  be the orbit corresponding to  $\tau = (2, 1^{2n-2})$ . Then  $\bar{\mathcal{O}}$  & its two-fold cover  $\mathbb{C}^{2n}$  are birationally rigid (**exercise**).

The following theorem gives equivalent characterizations of birationally rigid covers.

Thm 2: TFAE:

- (a)  $X (= \text{Spec}(\mathbb{C}[\tilde{\mathcal{O}}]))$  is  $\mathbb{Q}$ -factorial & terminal.
- (b)  $\tilde{\mathcal{O}}$  is birationally rigid.

(c)  $H^2(Y^{\text{reg}}, \mathbb{C}) = \{0\}$ , for a  $\mathbb{Q}$ -factorial terminalization  $Y \rightarrow X$  (recall that  $H^2(Y^{\text{reg}}, \mathbb{C}) = H^2_X$  is the Namikawa-Cartan space, Sec 2 of Lec 11).

### 1.3) Pic vs $H^2$

In Algebraic Geometry, it's often easier to deal w. Pic than w.  $H^2$  - but they are related via 1st Chern class,  $c_1$ .

#### Prop ([LMBM], Lemma 4.4.6)

Let  $X$  be a conical symplectic singularity &  $Y$  is its  $\mathbb{Q}$ -factorial terminalization. Then the 1st Chern character map  $c_1: \text{Pic}(Y^{\text{reg}}) \rightarrow H^2(Y^{\text{reg}}, \mathbb{Z})$  induces an isomorphism

$$\text{Pic}(Y^{\text{reg}}) \otimes_{\mathbb{Z}} \mathbb{C} \xrightarrow{\sim} H^2(Y^{\text{reg}}, \mathbb{C}).$$

This proposition will be used to prove (a)  $\Leftrightarrow$  (c) & also later.

Not-quite-a-proof:

We'll prove the corresponding statement in the complex analytic setting (and then one needs to algebrize, which is

painful). Let  $\mathcal{O}^{\text{an}}$  be the sheaf of analytic functions on  $Y^{\text{reg}}$  &  $\text{Pic}^{\text{an}}(Y^{\text{reg}})$  is the group of iso classes of complex analytic line bundles. Let  $\mathcal{O}^{\text{an},*} \subset \mathcal{O}^{\text{an}}$  be the subsheaf of invertible functions. Then  $\text{Pic}^{\text{an}}(Y^{\text{reg}}) = H^1(\mathcal{O}^{\text{an},*})$ . On the other hand, we have a SES of sheaves of abelian groups on  $Y^{\text{reg}}$

$$0 \rightarrow \underline{\mathbb{Z}} \rightarrow \mathcal{O}^{\text{an}} \xrightarrow{\exp} \mathcal{O}^{\text{an},*} \rightarrow 0$$

We have the corresponding long exact sequence in cohomology of which the relevant piece is:

$$H^1(\mathcal{O}^{\text{an}}) \rightarrow H^1(\mathcal{O}^{\text{an},*}) \rightarrow H^2(Y^{\text{reg}}, \mathbb{Z}) \rightarrow H^2(\mathcal{O}^{\text{an}})$$

We have seen, Sec 2 of Lec 12, that  $H^1(\mathcal{O}_{Y^{\text{reg}}}) = H^2(\mathcal{O}_{Y^{\text{reg}}}) = 0$ . For the same reason, their analytic counterparts vanish.

And we get  $H^1(\mathcal{O}^{\text{an},*}) \xrightarrow{\sim} H^2(Y^{\text{reg}}, \mathbb{Z})$  □

1.4) a)  $\Leftrightarrow$  c):

a)  $\Rightarrow$  c): We know  $\text{Pic}(X) = \{0\}$  (Sec 1.2 in Lec 12)  $\Rightarrow$  [X is  $\mathbb{Q}$ -factorial]  $\text{Pic}(X^{\text{reg}})$  is finite  $\Rightarrow$  [Prop in Sec 1.3]  $H^2(X^{\text{reg}}, \mathbb{C}) = \{0\}$ .

c)  $\Rightarrow$  a): Pick a very ample line bundle  $L$  on  $Y$ .  $\forall \mathbb{C}[x]$ -module generators  $s_1, \dots, s_k$  of  $\Gamma(Y, L)$ , the morphism  $Y \xrightarrow{p} X$  decomposes as

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$$Y \hookrightarrow X \times \mathbb{P}^{k-1} \longrightarrow X, \quad (1)$$

where the 1st map is  $y \mapsto (p(y), [s_1(y) : \dots : s_k(y)])$ . We can replace  $\mathcal{L}$  w. a multiple. By Prop. in Sec 1.3,  $\text{Pic}(Y^{\text{res}})$  is finite. So we can assume  $\mathcal{L}$  is trivial  $\leadsto$  can take  $k=1, s_1=1$ . (1)  $\Rightarrow Y \xrightarrow{\sim} X$ .  $\square$

Rem: This applies to any conical symplectic singularity  $X$ .

1.5) a)  $\Rightarrow$  b). If  $\tilde{O}$  is not birationally rigid,  $\tilde{O} = \text{Ind}_Z^G(\tilde{O}_Z)$ , then  $Y = \text{Ind}_P^G(X_Z)$  is a partial Poisson resolution of  $X$ , nontrivial b/c  $G/P \hookrightarrow Y$ . So  $X$  is not maximal & by Thm in Sec 1.3 of Lec 12,  $X$  is not  $\mathbb{Q}$ -factorial terminal. Contradiction.

1.6) b)  $\Rightarrow$  a), preparation.

This is the hardest part of the proof. It's based on a result of Namikawa, that we'll explain in this lecture.

**Definition:** Let  $X$  be a conical symplectic singularity &  $B$  be a fin. gen'd positively graded algebra. A **graded Poisson deformation** of  $X$  over  $\text{Spec}(B)$  is a Poisson scheme  $X_{\text{Spec}(B)}$

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over  $\text{Spec}(B)$  (for  $p \in \text{Spec}(B)$ , set  $X_p := \{p\} \times_{\text{Spec}(B)} X_{\text{Spec}(B)}$ ) s.t.

(0)  $X_{\text{Spec}(B)}$  is flat over  $\text{Spec}(B)$ .

(1)  $\mathbb{C}^x \curvearrowright X_{\text{Spec}(B)}$  s.t.  $\{;\cdot\}$  on  $\mathbb{C}[X_{\text{Spec}(B)}]$  has  $\deg = -d$  (same as on  $\mathbb{C}[X]$ ).

(2) A  $\mathbb{C}^x$ -equivariant Poisson isomorphism  $X \xrightarrow{\sim} X_0$ .

*Rem:* From here we get a family of filtered Poisson deformations of  $\mathbb{C}[X]$  indexed by pts of  $\text{Spec}(B)$ :  $p \in \text{Spec}(B) \rightsquigarrow \mathbb{C}[X_p]$ . Conversely, for a filtered Poisson deformation  $\mathcal{A}^\circ$  of  $\mathbb{C}[X]$ , take  $B := \mathbb{C}[\hbar]$  & set  $X_{\mathcal{A}^\circ} := \text{Spec}(R_\hbar(\mathcal{A}^\circ))$ .

*Thm* ([N2a], [N2b]): Set  $\mathfrak{h}_x := H^2(Y^{\text{reg}}, \mathbb{C})$  for a  $\mathbb{Q}$ -factorial terminalization  $Y$  of  $X$ . Then  $\exists$  reflection group  $W_x \subset GL(\mathfrak{h}_x)$  & graded Poisson deformation  $X_{\mathfrak{h}_x/W_x}$  w. the following universality property:  $\forall B$  &  $X_{\text{Spec}(B)}$  as in Def'n  $\exists$  graded algebra homomorphism  $\mathbb{C}[\mathfrak{h}_x]^{W_x} \rightarrow B$  & isomorphism of graded Poisson deformations (i.e. Poisson isomorphism of schemes over  $\text{Spec}(B)$  intertwining (1) & (2))  $\text{Spec}(B) \times_{\mathfrak{h}_x/W_x} X_{\mathfrak{h}_x/W_x} \rightarrow X_B$ .

The former is unique.

Combining Thm with the previous remark we get the following corollary (part of the main Thm in Sec 2 of Lec 11) whose proof is an **exercise**.

**Corollary:** The filtered Poisson deformations of  $\mathbb{C}[X]$  are classified (up to isomorphism) by the pts of  $\mathfrak{h}_X/W_X$ .

**Example:** Let  $X=N$  be the nilpotent cone in  $\mathfrak{g}^*$ . Then as mentioned in Sec 2 of Lec 11,  $\mathfrak{h}_X = \mathfrak{h}^*$ ,  $W_X = W$ . We have  $X_{\mathfrak{h}^*/W} = \mathfrak{g}^*$ , where the morphism  $\mathfrak{g}^* \rightarrow \mathfrak{g}^*/G \xrightarrow{\sim} \mathfrak{h}^*/W$  is the quotient morphism for  $G \curvearrowright \mathfrak{g}^*$ . This morphism is flat: the source & target are smooth & all fibers have the same dimension.