

## Lecture 4: Splitting bundles.

Ref: [BK].

0) Recap:  $\mathbb{F}$  base field,  $\sigma: X \rightarrow Y$  conical symplectic resolution  
 $\mathcal{R}$  Azumaya algebra on  $X$ .

Thm (Lec 3):  $\mathcal{A} = \Gamma(\mathcal{R})$ . If

(i)  $H^i(X, \mathcal{R}) = \{0\} \ \forall i > 0$

(ii)  $\mathcal{A}$  has finite homological dimension.

Then  $R\Gamma: \mathcal{D}^b(\text{Coh } \mathcal{R}) \xrightarrow{\sim} \mathcal{D}^b(\mathcal{A}\text{-mod})$

1) Derived equivalences from quant'ns.

Observation: If  $\mathcal{D}$  is Frobenius constant filtered quant'n of  $X$ , then it's Azumaya algebra on  $X^{(n)}$ .

Example:  $X = T^*(G/B)$ ,  $\mathbb{F} = \overline{\mathbb{F}}$ ,  $\text{char } \mathbb{F} = p$ . Then  $\mathcal{D}_{G/B}$  is Azumaya algebra on  $X^{(n)}$ .

1.1) Condition (i): Suppose  $\sigma: X_{\mathbb{Q}} \rightarrow Y_{\mathbb{Q}}$  is conical symplectic resolution over  $\mathbb{Q}$ ;  $\sigma: X_{\mathbb{Q}} \rightarrow Y_{\mathbb{Q}}$  is defined over a finite loc'n of  $\mathbb{Z}$ , denoted by  $P$ . For  $p > 0$  & alg. closed field  $\mathbb{F}$  of char  $p \leadsto \sigma: X_{\mathbb{F}} \rightarrow Y_{\mathbb{F}}$ , a conical symplectic resolution.

Thm: Let  $\mathcal{D}$ , filtered Frobenius constant quant'n of  $X$  (so Azumaya algebra on  $X_{\mathbb{F}}^{(n)}$ ). Then  $H^i(X_{\mathbb{F}}^{(n)}, \mathcal{D}) = 0 \ \forall i > 0$ .

Proof:

Step 1: Claim  $H^i(X_{\mathbb{F}}, \mathcal{O}) = 0 \ \forall i > 0$ .

(i)  $H^i(X_{\mathbb{Q}}, \mathcal{O}) = 0 \ \forall i > 0$ : this is a special case of Grauert-Riemenschneider theorem: if  $\mathcal{P}: X_{\mathbb{Q}} \rightarrow Y_{\mathbb{Q}}$  a birational projective morphism &  $X_{\mathbb{Q}}$  is smooth, then  $R^i \mathcal{P}_* K_{X_{\mathbb{Q}}} = 0 \ \forall i > 0$ .

(ii)  $H^i(X_{\mathbb{R}}, \mathcal{O}) = 0 \ \forall i > 0$ : *after finite localization* by (i)  $H^i(X_{\mathbb{R}}, \mathcal{O})$  is a torsion  $\mathbb{R}$ -module. Since  $\mathcal{P}$  is projective,  $H^i(X_{\mathbb{R}}, \mathcal{O})$  is finitely generated over  $\mathbb{R}[Y]$ . But  $\mathbb{R}[Y]$  is finitely generated  $\mathbb{R}$ -algebra. So  $H^i(X_{\mathbb{R}}, \mathcal{O})$  is killed by inverting finitely many primes.

(iii) Have exact sequence  $0 \rightarrow \mathcal{O}_{X_{\mathbb{R}}} \xrightarrow{p} \mathcal{O}_{X_{\mathbb{R}}} \rightarrow \mathcal{O}_{X_{\mathbb{F}_p}} \rightarrow 0$   
Apply long exact sequence in cohomology  $\Rightarrow$   
 $H^i(X_{\mathbb{F}_p}, \mathcal{O}) = 0 \ \forall i > 0 \Rightarrow H^i(X_{\mathbb{F}}, \mathcal{O}) = 0 \ \forall i > 0$ .

Step 2:  $\text{Fr}: X_{\mathbb{F}} \rightarrow X_{\mathbb{F}}^{(q)}$  is finite  $\Rightarrow H^i(X_{\mathbb{F}}^{(q)}, \text{Fr}_* \mathcal{O}_{X_{\mathbb{F}}}) = H^i(X_{\mathbb{F}}, \mathcal{O}_{X_{\mathbb{F}}}) = 0 \ \forall i > 0$ .

Recall from Lec 3, have an  $\mathbb{F}^*$ -equiv't coherent sheaf  $\mathcal{D}_h^{\text{fin}}$  on  $X_{\mathbb{F}}^{(q)} \times \text{Spec } \mathbb{F}[h]$  s.t.

- $\mathcal{D}_h^{\text{fin}} / h \mathcal{D}_h^{\text{fin}} \xrightarrow{\sim} \text{Fr}_* \mathcal{O}_{X_{\mathbb{F}}} \quad (1)$

$$\cdot \mathcal{D}_h^{\text{fin}} / (h-1) \mathcal{D}_h^{\text{fin}} \xrightarrow{\sim} \mathcal{D}$$

Enough to show  $H^i(X_{\mathbb{F}} \times \text{Spec } \mathbb{F}[h], \mathcal{D}_h^{\text{fin}}) = 0 \ \forall i > 0$ .

By long exact sequence for (1):

2]

$$\rightarrow H^i(\mathcal{D}_\hbar^{\text{fin}}) \xrightarrow{\hbar} H^i(\mathcal{D}_\hbar^{\text{fin}}) \rightarrow H^i(\text{Fr}_* \mathcal{O}_{X_{\mathbb{F}}}) = \{0\} \quad (2)$$

finitely generated, graded  $\mathbb{F}[Y^{(n)}][\hbar] = \mathbb{F}[X^{(n)}][\hbar]$ -module. But the algebra is positively graded. By graded Nakayama + (2)  $\Rightarrow H^i(\mathcal{D}_\hbar^{\text{fin}}) = \{0\} \quad \forall i > 0$   $\square$

**Exercise:** Prove that  $H^0(X^{(n)}, \mathcal{D})$  is a filtered quantization of  $\mathbb{F}[Y]$  ( $= \mathbb{F}[X]$ ).

**1.2) Finite homological dimension** At least in examples we can find  $\mathcal{D}$  s.t.  $\mathcal{A} = \Gamma(\mathcal{D})$  have finite homological dimension. Sometimes  $(X = T^*(G/B) \& X = \text{resolution of symplectic quotient sing'y e.g. } X = \text{Hilb}_n(\mathbb{F}^2))$  can find such  $\mathcal{D}$  directly. In other cases can argue by reduction from char 0:

Quantizations of  $X_{\mathbb{C}}$  classified by  $H^2(X, \mathbb{C}) (\neq \{0\})$ . For  $\mathcal{D}_{\mathbb{C}, \lambda}$  corresp. to a Zariski generic  $\lambda \in H^2(X, \mathbb{C})$ ,  $\Gamma(\mathcal{D}_{\mathbb{C}, \lambda})$  have finite homological dimension. For  $\lambda \in H^2(X, \mathbb{Q})$  can reduce  $\mathcal{D}_{\mathbb{C}, \lambda} \& \Gamma(\mathcal{D}_{\mathbb{C}, \lambda}) \bmod p \gg 0$ , in all examples the reduction of  $\mathcal{D}_{\mathbb{C}, \lambda}$  is Frobenius-constant. One can essentially show that reducing  $\Gamma(\mathcal{D}_{\mathbb{C}, \lambda}) \bmod p \gg 0$  preserves the homological dimension.

**Conclusion:** Essentially always can find Frobenius const. quant'n  $\mathcal{D}$  s.t.  $R\Gamma: \mathcal{D}^b(\text{Coh } \mathcal{D}) \xrightarrow{\sim} \mathcal{D}^b(\mathcal{A}\text{-mod})$  ( $\mathcal{A} = \Gamma(\mathcal{D})$ )

But how useful is this. Often we care about  $\mathcal{D}$ . For  $T^*(G/B)$ ,  $\mathcal{D}$  is a (Harish-Chandra) central red'n of  $U(\mathfrak{g})$ . Coh  $\mathcal{D}$  - not so much...

On the other hand if Azumaya algebra  $\mathcal{R}$  that is split:  
 $\mathcal{R} = \text{End}(V)$ , where  $V$  is vector bundle, then

$$\text{Coh}(\mathcal{R}) \xleftarrow[\sim]{V \otimes \mathcal{O}_X} \text{Coh}(X)$$

Issue: a Frobenius constant quantization doesn't split.

Fix: Still  $\mathcal{D}$  splits "somewhere", this allows to produce a split Azumaya algebra on  $X_{\mathbb{F}}$  (and even  $X_{\mathbb{C}}$ ) that will produce a derived equivalence.

## 2) Splitting bundles.

Reminder: if  $Z$  is any variety,  $z \in Z \rightsquigarrow \hat{\mathcal{O}}_{Z,z}$  complete local ring  $\rightsquigarrow Z^{\wedge z} := \text{Spec } \hat{\mathcal{O}}_{Z,z}$ . Restriction of any Azumaya algebra to this subscheme splits.

Fact: In cases of interest, the restriction of Frobenius constant quantization  $\mathcal{D}$  to

$$Y^{(1), \eta_y} \times_{Y^{(1)}} X^{(1)} =: X^{(1), \eta_y}$$

splits  $\forall y \in Y^{(1)}$ .

small neigh'd of fiber of  $y$ .

This follows from combining Kubrick-Trankin & Bogdanov-Vologodsky (for certain Frobenius-constant quantizations that in examples are reduced mod  $p$  from char 0 and so include  $\mathcal{D}$  in  $\Gamma(\mathcal{D})$  has finite homological dimension).

Take  $y=0 \rightsquigarrow X^{(n), \lambda_0}$ . Let  $E'_F$  be a splitting bundle for  $\mathcal{D}|_{X^{(n), \lambda_0}}$  (defined up to twisting w. line bundle). ↑  
End( $E'_F$ )

•  $\mathcal{A}^{\lambda_0} = \Gamma(\mathcal{D})^{\lambda_0} = [\text{formal function thm}] = \Gamma(\mathcal{D}|_{X^{(n), \lambda_0}}) = \text{End}(E'_F)$

•  $\text{Ext}^i(E'_F, E'_F) = H^i(X, \mathcal{D})^{\lambda_0} = 0$ .

•  $R\Gamma: \mathcal{D}^b(\text{Coh } \mathcal{D}) \xrightarrow{\sim} \mathcal{D}^b(\mathcal{A}\text{-mod}) \Rightarrow$

$R\Gamma: \mathcal{D}^b(\text{Coh } \mathcal{D}|_{X^{(n), \lambda_0}}) \xrightarrow{\sim} \mathcal{D}^b(\mathcal{A}^{\lambda_0}\text{-mod})$

$\approx \uparrow \xleftarrow{\text{purple}} E'_F \otimes \bullet$   
 $\mathcal{D}^b(\text{Coh } X^{(n), \lambda_0})$

2.1) Extension to  $X_F^{(n)}$ . Want to extend  $E'_F$  to a vector bundle over  $X_F^{(n)}$ .

$\mathbb{F}^x \curvearrowright X_F \rightsquigarrow \mathbb{F}^x \curvearrowright X_F^{(n)} \rightsquigarrow \mathbb{F}^x \curvearrowright X_F^{(n), \lambda_0}$

Fact (Vologodsky): Since  $\text{Ext}^1(E'_F, E'_F) = \{0\}$ ,  $E'_F$  has an  $\mathbb{F}^x$ -equiv't structure.

We'll use this to extend  $E'_F$  to  $X_F^{(n)}$ . Recall have proj've resol'n morphism  $\pi: X_F^{(n)} \rightarrow Y_F^{(n)}$ ,  $\mathbb{F}^x$ -equiv't;  $\mathbb{F}[Y^{(n)}]$  is positively graded, equivalently,  $\mathbb{F}^x$  contracts  $X^{(n)}$  to  $\pi^{-1}(0)$ .

$$\begin{aligned} \mathbb{F}^x \curvearrowright \mathcal{E}'_{\mathbb{F}} &\simeq \mathbb{F}^x \curvearrowright \mathcal{A}^{\wedge_0} = \text{End}(\mathcal{E}'_{\mathbb{F}}) \\ &\simeq \tilde{\mathcal{A}}_{\mathbb{F}} = \mathbb{F}^x\text{-finite part of } \mathcal{A}^{\wedge_0}, \text{ graded algebra over } \mathbb{F}[\gamma^{(n)}]. \end{aligned}$$

*Exercise:* • The grading on  $\tilde{\mathcal{A}}_{\mathbb{F}}$  is bounded from below.

$$\bullet \tilde{\mathcal{A}}_{\mathbb{F}}^{\wedge_0} \longrightarrow \mathcal{A}^{\wedge_0}$$

$$\bullet \text{Completion functor } \cdot^{\wedge_0}: \tilde{\mathcal{A}}_{\mathbb{F}}\text{-mod}^{\mathbb{F}^x} \xrightarrow{\sim} \mathcal{A}^{\wedge_0}\text{-mod}^{\mathbb{F}^x}$$

*Fact:* Restriction to  $X_{\mathbb{F}}^{\wedge_0}$  defines an equivalence:

$$\text{Coh}^{\mathbb{F}^x}(X_{\mathbb{F}}^{(n)}) \longrightarrow \text{Coh}^{\mathbb{F}^x}(X_{\mathbb{F}}^{(n), \wedge_0}).$$

$\mathcal{E}'_{\mathbb{F}}$

Let  $\mathcal{E}_{\mathbb{F}} \in \text{Coh}^{\mathbb{F}^x}(X_{\mathbb{F}}^{(n)})$  be the image of  $\mathcal{E}'_{\mathbb{F}}$  under the equivalence.

*Exercise:*  $\text{End}(\mathcal{E}_{\mathbb{F}}) \xrightarrow{\sim} \tilde{\mathcal{A}}_{\mathbb{F}}$  &  $\text{Ext}^i(\mathcal{E}_{\mathbb{F}}, \mathcal{E}_{\mathbb{F}}) = 0 \ \forall i > 0$ .

*Lemma:*  $R\Gamma(\mathcal{E}_{\mathbb{F}} \otimes \cdot): \mathcal{D}^b(\text{Coh } X_{\mathbb{F}}^{(n)}) \xrightarrow{\sim} \mathcal{D}^b(\tilde{\mathcal{A}}_{\mathbb{F}}\text{-mod})$

*Proof:*  $\swarrow \mathbb{F}^x\text{-equiv't}$

$$R\Gamma(\mathcal{E}'_{\mathbb{F}} \otimes \cdot): \mathcal{D}^b(\text{Coh } X_{\mathbb{F}}^{(n), \wedge_0}) \xrightarrow{\sim} \mathcal{D}^b(\mathcal{A}^{\wedge_0}\text{-mod})$$

$$\updownarrow$$

$$R\Gamma(\mathcal{E}'_{\mathbb{F}} \otimes \cdot): \mathcal{D}^b(\text{Coh}^{\mathbb{F}^x} X_{\mathbb{F}}^{(n), \wedge_0}) \xrightarrow{\sim} \mathcal{D}^b(\mathcal{A}^{\wedge_0}\text{-mod}^{\mathbb{F}^x})$$

$$\updownarrow \sim \qquad \qquad \qquad \updownarrow \sim$$

$$R\Gamma(\mathcal{E}_{\mathbb{F}} \otimes \cdot): \mathcal{D}^b(\text{Coh}^{\mathbb{F}^x} X_{\mathbb{F}}^{(n)}) \xrightarrow{\sim} \mathcal{D}^b(\tilde{\mathcal{A}}_{\mathbb{F}}\text{-mod}^{\mathbb{F}^x})$$

$$R\Gamma(\mathcal{E}_{\mathbb{F}} \otimes \cdot): \mathcal{D}^b(\text{Coh } X_{\mathbb{F}}^{(n)}) \xrightarrow{\sim} \mathcal{D}^b(\tilde{\mathcal{A}}_{\mathbb{F}}\text{-mod})$$

$\Rightarrow$

□

## 2.2) Lift to characteristic 0

$X_{\mathbb{F}}^{(n)} \xrightarrow{\sim} X_{\mathbb{F}}$  so we can view  $E_{\mathbb{F}}$  as a vector bundle over  $X_{\mathbb{F}}$ .  
It's defined over  $\mathbb{F}_q \rightsquigarrow E_{\mathbb{F}_q}$  over  $X_{\mathbb{F}_q}$ . Let  $R$  be an alg<sup>c</sup> extension of  $\mathbb{Z}$  s.t

- $R \rightarrow \mathbb{F}_q$
- $X$  is defined over  $R$  and is nice.

$\rightsquigarrow X_{\mathbb{F}_q}$  is a closed subscheme of  $X_R$

Let  $R^{\wedge 1}_q := \text{completion of } R \text{ at } \ker[R \rightarrow \mathbb{F}_q]$

Consider  $X_R^{\wedge 1}_q := \text{formal neigh'd of } X_{\mathbb{F}_q} \text{ in } X_R, \text{ a formal scheme.}$

Since  $\text{Ext}^i(E_{\mathbb{F}_q}, E_{\mathbb{F}_q}) = 0$  for  $i=1,2$ ,  $E_{\mathbb{F}_q}$  deforms uniquely to a vector bundle over  $X_R^{\wedge 1}_q$  & the deform'n is  $G_m$ -equiv't.

Since  $G_m$ -action is contracting we can algebraize this deform'n getting an equiv't vector bundle on  $X_{R^{\wedge 1}_q}$ .

$R^{\wedge 1}_q \hookrightarrow \mathbb{C} \rightsquigarrow \text{get vector bundle } E_{\mathbb{C}} \text{ on } X_{\mathbb{C}}.$

Properties: • It's  $\mathbb{C}^{\times}$ -equiv't

•  $\tilde{\Lambda}_{\mathbb{C}} = \text{End}(E_{\mathbb{C}})$  has finite homological dim'n

•  $\text{Ext}^i(E_{\mathbb{C}}, E_{\mathbb{C}}) = 0 \quad \forall i > 0$

•  $R\Gamma(E_{\mathbb{C}} \otimes \cdot): \mathcal{D}^b(\text{Coh } X_{\mathbb{C}}) \xrightarrow{\sim} \mathcal{D}^b(\tilde{\Lambda}_{\mathbb{C}}\text{-mod})$

### 2.3) Identifying $\tilde{\Lambda}_{\mathbb{C}}$ .

Notice:  $E_{\mathbb{C}}$  depends on  $p$ ,  $\text{rk } E_{\mathbb{C}} = p^{\dim X/2}$ . However by picking direct summands of  $E_{\mathbb{C}}$  w. diff. t multiplicities in known examples can achieve that  $E_{\mathbb{C}}$  is independent of  $p$ .

Can describe  $\tilde{\Lambda}_{\mathbb{C}}$  in the following cases:

(I)  $Y = V/\Gamma$ ,  $V$  is sympl. vector space,  $\Gamma \subset \text{Sp}(V)$  finite gr.p.  
Then  $\tilde{\Lambda}_{\mathbb{C}} = \mathbb{C}[V] \# \Gamma$

(II)  $X$  is smooth Coulomb branch of a gauge th'y (constructed BFN).  $\tilde{\Lambda}_{\mathbb{C}}$  was described by Webster. Possible  $X$  include hypertoric var's & finite & affine type A Nakajima quiver var's.

**Fact** (Kaledin):  $\tilde{\Lambda}_{\mathbb{C}}$  depends only on  $Y$  but not on  $X$ .

For two sympl. resol'n's  $X, X'$  of  $Y$  have:

$$\mathcal{D}^b(\text{Coh } X) \xrightarrow{\sim} \mathcal{D}^b(\tilde{\Lambda}_{\mathbb{C}}\text{-mod}) \xleftarrow{\sim} \mathcal{D}^b(\text{Coh } X')$$

This is a special case of  $K$ -equivalence  $\Rightarrow$   $\mathcal{D}$ -equivalence conjecture.