# INFINITESIMAL CHEREDNIK ALGEBRAS AS W-ALGEBRAS

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Dedicated to Evgeny Borisovich Dynkin on his 90th birthday

Abstract. In this article we establish an isomorphism between universal infinitesimal Cherednik algebras and W-algebras for Lie algebras of the same type and 1-block nilpotent elements. As a consequence we obtain some fundamental results about infinitesimal Cherednik algebras.

# Introduction

This paper is aimed at the identification of two algebras of seemingly different nature. The first, finite W-algebras, are algebras constructed from a pair  $(\mathfrak{g}, e)$ , where e is a nilpotent element of a finite dimensional simple Lie algebra  $\mathfrak{g}$ . Their theory has been extensively studied during the last decade. For the related references see, for example, reviews [L6], [W] and articles [BGK], [BK1], [BK2], [GG], [L1], [L2], [L3], [P1], [P2].

The second class of algebras we consider in this paper are the so called infinitesimal Cherednik algebras of type  $\mathfrak{gl}_n$  and  $\mathfrak{sp}_{2n}$ , introduced in [EGG]. These are certain continuous analogues of the rational Cherednik algebras and in the case of  $\mathfrak{gl}_n$  are deformations of the universal enveloping algebra  $U(\mathfrak{sl}_{n+1})$ . In both cases we call *n* the *rank* of an algebra. The theory of those algebras is less developed, while the main references there are: [EGG], [T1], [T2], [DT].

This paper is organized in the following way:

• In Section 1, we recall the definitions of infinitesimal Cherednik algebras  $H_{\zeta}(\mathfrak{gl}_n)$ ,  $H_{\zeta}(\mathfrak{sp}_{2n})$ , and introduce their modified versions, called the universal length *m* infinitesimal Cherednik algebras. We also recall the definitions and basic results about the finite *W*-algebras  $U(\mathfrak{g}, e)$ .

• In Section 2, we prove our main result, establishing an abstract isomorphism

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of W-algebras  $U(\mathfrak{sl}_{n+m}, e_m)$  (respectively  $U(\mathfrak{sp}_{2n+2m}, e_m)$ ) with the universal infinitesimal Cherednik algebras  $H_m(\mathfrak{gl}_n)$  (respectively  $H_m(\mathfrak{sp}_{2n})$ ).

• In Section 3, we establish explicitly a Poisson analogue of the aforementioned isomorphism. As a result we deduce two claims needed to carry out the arguments of the previous section.

• In Section 4, we derive several important consequences about algebras  $H_{\zeta}(\mathfrak{gl}_n)$ ,  $H_{\zeta}(\mathfrak{sp}_{2n})$ . This clarifies some lengthy computations from [T1], [T2], [DT] and proves new results. Using the results of [DT, Sect. 3], about the Casimir element of  $H_{\zeta}(\mathfrak{gl}_n)$ , we determine the aforementioned isomorphism  $H_m(\mathfrak{gl}_n) \xrightarrow{\sim} U(\mathfrak{sl}_{n+m}, e_m)$  explicitly.

• In Section 5, we recall the machinery of completions of the graded deformations of Poisson algebras, developed by the first author in [L1]. This provides the decomposition theorem for the completions of infinitesimal Cherednik algebras. This is analogous to a result by Bezrukavnikov and Etingof ([BE, Thm. 3.2]) in the theory of rational Cherednik algebras.

• In the Appendix, we provide some computations.

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## 1. Basic definitions

# 1.1. Infinitesimal Cherednik algebras of $\mathfrak{gl}_n$

We recall the definition of the infinitesimal Cherednik algebras  $H_{\zeta}(\mathfrak{gl}_n)$  following [EGG]. Let  $V_n$  and  $V_n^*$  be the basic representation of  $\mathfrak{gl}_n$  and its dual. Choose a basis  $\{y_i\}_{1\leq i\leq n}$  of  $V_n$  and let  $\{x_i\}_{1\leq i\leq n}$  denote the dual basis of  $V_n^*$ . For any  $\mathfrak{gl}_n$ -invariant pairing  $\zeta : V_n \times V_n^* \to U(\mathfrak{gl}_n)$ , define an algebra  $H_{\zeta}(\mathfrak{gl}_n)$  as the quotient of the semi-direct product algebra  $U(\mathfrak{gl}_n) \ltimes T(V_n \oplus V_n^*)$  by the relations  $[y, x] = \zeta(y, x)$  and [x, x'] = [y, y'] = 0 for all  $x, x' \in V_n^*$  and  $y, y' \in V_n$ . Consider an algebra filtration on  $H_{\zeta}(\mathfrak{gl}_n)$  by setting  $\deg(V_n) = \deg(V_n^*) = 1$  and  $\deg(\mathfrak{gl}_n) = 0$ .

**Definition 1.** We say that  $H_{\zeta}(\mathfrak{gl}_n)$  satisfies the PBW property if the natural surjective map  $U(\mathfrak{gl}_n) \ltimes S(V_n \oplus V_n^*) \twoheadrightarrow \operatorname{gr} H_{\zeta}(\mathfrak{gl}_n)$  is an isomorphism, where S denotes the symmetric algebra. We call these  $H_{\zeta}(\mathfrak{gl}_n)$  the infinitesimal Cherednik algebras of  $\mathfrak{gl}_n$ .

It was shown in [EGG, Thm. 4.2], that the PBW property holds for  $H_{\zeta}(\mathfrak{gl}_n)$  if and only if  $\zeta = \sum_{j=0}^k \zeta_j r_j$  for some nonnegative integer k and  $\zeta_j \in \mathbb{C}$ , where  $r_j(y, x) \in U(\mathfrak{gl}_n)$  is the symmetrization of  $\alpha_j(y, x) \in S(\mathfrak{gl}_n) \simeq \mathbb{C}[\mathfrak{gl}_n]$  and  $\alpha_j(y, x)$  is defined via the expansion

$$(x, (1 - \tau A)^{-1}y) \det(1 - \tau A)^{-1} = \sum_{j \ge 0} \alpha_j(y, x)(A)\tau^j, \quad A \in \mathfrak{gl}_n$$

Let us define the *length* of such  $\zeta$  by  $l(\zeta) := \min\{m \in \mathbb{Z}_{\geq -1} \mid \zeta_{\geq m+1} = 0\}$ .

**Example 1** (cf. [EGG, Example 4.7]). If  $l(\zeta) = 1$  then  $H_{\zeta}(\mathfrak{gl}_n) \cong U(\mathfrak{sl}_{n+1})$ . Thus, for an arbitrary  $\zeta$ , we can regard  $H_{\zeta}(\mathfrak{gl}_n)$  as a deformation of  $U(\mathfrak{sl}_{n+1})$ .

One interesting problem is to find deformation parameters  $\zeta$  and  $\zeta'$  of the above form with  $H_{\zeta}(\mathfrak{gl}_n) \simeq H_{\zeta'}(\mathfrak{gl}_n)$ . Even for n = 1 (when  $H_{\zeta}(\mathfrak{gl}_1)$  are simply the generalized Weyl algebras), the answer to this question (given in [BJ]) is quite nontrivial. Instead, we will look only for the filtration preserving isomorphisms, where both algebras are endowed with the Nth standard filtration  $\{\mathcal{F}_{\bullet}^{(N)}\}$ . Those are induced from the grading on  $T(\mathfrak{gl}_n \oplus V_n \oplus V_n^*)$  with  $\deg(\mathfrak{gl}_n) = 2$  and  $\deg(V_n \oplus V_n^*) = N$ , where  $N > l(\zeta)$ . For  $N \ge \max\{l(\zeta)+1, l(\zeta')+1, 3\}$  we have the following result (see Appendix A for a proof):

## Lemma 1.

- (a) N-standardly filtered algebras  $H_{\zeta}(\mathfrak{gl}_n)$  and  $H_{\zeta'}(\mathfrak{gl}_n)$  are isomorphic if and only if there exist  $\lambda \in \mathbb{C}, \theta \in \mathbb{C}^*, s \in \{\pm\}$  such that  $\zeta' = \theta \varphi_{\lambda}(\zeta^s)$ , where
  - φ<sub>λ</sub>: U(gl<sub>n</sub>) ~→ U(gl<sub>n</sub>) is an isomorphism defined by φ<sub>λ</sub>(A) = A + λ · tr A for any A ∈ gl<sub>n</sub>,
  - for  $\zeta = \zeta_0 r_0 + \zeta_1 r_1 + \zeta_2 r_2 + \cdots$  we define  $\zeta^- := \zeta_0 r_0 \zeta_1 r_1 + \zeta_2 r_2 \cdots, \zeta^+ := \zeta.$
- (b) For any length m deformation ζ, there is a length m deformation ζ' with ζ'<sub>m</sub> = 1, ζ'<sub>m-1</sub> = 0, such that algebras H<sub>ζ</sub>(gl<sub>n</sub>) and H<sub>ζ'</sub>(gl<sub>n</sub>) are isomorphic as filtered algebras.

## 1.2. Infinitesimal Cherednik algebras of $\mathfrak{sp}_{2n}$

Let  $V_{2n}$  be the standard 2*n*-dimensional representation of  $\mathfrak{sp}_{2n}$  with a symplectic form  $\omega$ . Given any  $\mathfrak{sp}_{2n}$ -invariant pairing  $\zeta : V_{2n} \times V_{2n} \to U(\mathfrak{sp}_{2n})$  we define an algebra  $H_{\zeta}(\mathfrak{sp}_{2n}) := U(\mathfrak{sp}_{2n}) \ltimes T(V_{2n})/([x,y] - \zeta(x,y) \mid x, y \in V_{2n})$ . It has a filtration induced from the grading  $\deg(\mathfrak{sp}_{2n}) = 0$ ,  $\deg(V_{2n}) = 1$  on  $T(\mathfrak{sp}_{2n} \oplus V_{2n})$ .

**Definition 2.** Algebra  $H_{\zeta}(\mathfrak{sp}_{2n})$  is referred to as the *infinitesimal Cherednik al*gebra of  $\mathfrak{sp}_{2n}$  if it satisfies the *PBW property*:  $U(\mathfrak{sp}_{2n}) \ltimes S(V_{2n}) \xrightarrow{\sim} \operatorname{gr} H_{\zeta}(\mathfrak{sp}_{2n})$ .

It was shown in [EGG, Thm. 4.2], that  $H_{\zeta}(\mathfrak{sp}_{2n})$  satisfies the PBW property if and only if  $\zeta = \sum_{j=0}^{k} \zeta_j r_{2j}$  for some nonnegative integer k and  $\zeta_j \in \mathbb{C}$ , where  $r_{2j}(x, y) \in U(\mathfrak{sp}_{2n})$  is the symmetrization of  $\beta_{2j}(x, y) \in S(\mathfrak{sp}_{2n}) \simeq \mathbb{C}[\mathfrak{sp}_{2n}]$  and  $\beta_{2j}(x, y)$  is defined via the expansion

$$\omega(x, (1-\tau^2 A^2)^{-1}y) \det(1-\tau A)^{-1} = \sum_{j\geq 0} \beta_{2j}(x, y)(A)\tau^{2j}, \quad A \in \mathfrak{sp}_{2n}.$$

Similarly to the  $\mathfrak{gl}_n$ -case, we define the *length* of such  $\zeta$  by  $l(\zeta) := \min\{m \in \mathbb{Z}_{\geq -1} \mid \zeta_{\geq m+1} = 0\}$ .

**Example 2** (cf. [EGG, Example 4.11]). For  $\zeta_0 \neq 0$  we have

$$H_{\zeta_0 r_0}(\mathfrak{sp}_{2n}) \cong U(\mathfrak{sp}_{2n}) \ltimes W_n,$$

where  $W_n$  is the *n*th Weyl algebra. Thus,  $H_{\zeta}(\mathfrak{sp}_{2n})$  can be regarded as a deformation of  $U(\mathfrak{sp}_{2n}) \ltimes W_n$ .

For any  $N > 2l(\zeta)$ , we introduce the Nth standard filtration  $\{\mathcal{F}_{\bullet}^{(N)}\}$  on  $H_{\zeta}(\mathfrak{sp}_{2n})$  by setting  $\deg(\mathfrak{sp}_{2n}) = 2$ ,  $\deg(V_{2n}) = N$ . The following result is analogous to Lemma 1:

**Lemma 2.** For  $N \ge \max\{2l(\zeta)+1, 2l(\zeta')+1, 3\}$ , the N-standardly filtered algebras  $H_{\zeta}(\mathfrak{sp}_{2n})$  and  $H_{\zeta'}(\mathfrak{sp}_{2n})$  are isomorphic if and only if there exists  $\theta \in \mathbb{C}^*$  such that  $\zeta' = \theta \zeta$ .

# 1.3. Universal algebras $H_m(\mathfrak{gl}_n)$ and $H_m(\mathfrak{sp}_{2n})$

It is natural to consider a version of those algebras with  $\zeta_j$  being independent central variables. This motivates the following notion of the universal length m infinitesimal Cherednik algebras.

**Definition 3.** The universal length m infinitesimal Cherednik algebra  $H_m(\mathfrak{gl}_n)$  is the quotient of  $U(\mathfrak{gl}_n) \ltimes T(V_n \oplus V_n^*)[\zeta_0, \ldots, \zeta_{m-2}]$  by the relations

$$\begin{split} [x,x'] &= 0, \quad [y,y'] = 0, \quad [A,x] = A(x), \quad [A,y] = A(y), \\ [y,x] &= \sum_{j=0}^{m-2} \zeta_j r_j(y,x) + r_m(y,x), \end{split}$$

where  $x, x' \in V_n^*$ ,  $y, y' \in V_n$ ,  $A \in \mathfrak{gl}_n$  and  $\{\zeta_j\}_{j=0}^{m-2}$  are central. The filtration is induced from the grading on  $T(\mathfrak{gl}_n \oplus V_n \oplus V_n^*)[\zeta_0, \ldots, \zeta_{m-2}]$  with  $\deg(\mathfrak{gl}_n) = 2$ ,  $\deg(V_n \oplus V_n^*) = m+1$ ,  $\deg(\zeta_i) = 2(m-i)$  (the latter is chosen in such a way that  $\deg(\zeta_j r_j) = 2m$  for all j).

Algebra  $H_m(\mathfrak{gl}_n)$  is free over  $\mathbb{C}[\zeta_0, \ldots, \zeta_{m-2}]$  and  $H_m(\mathfrak{gl}_n)/(\zeta_0 - c_0, \ldots, \zeta_{m-2} - c_{m-2})$  is the usual infinitesimal Cherednik algebra  $H_{\zeta_c}(\mathfrak{gl}_n)$  with  $\zeta_c = c_0r_0 + \cdots + c_{m-2}r_{m-2} + r_m$ . In fact, for odd m,  $H_m(\mathfrak{gl}_n)$  can be viewed as a universal family of length m infinitesimal Cherednik algebras of  $\mathfrak{gl}_n$ , while for even m, there is an action of  $\mathbb{Z}/2\mathbb{Z}$  we should quotient by<sup>1</sup>.

Remark 1. One can consider all possible quotients

$$U(\mathfrak{gl}_n) \ltimes T(V_n \oplus V_n^*)[\zeta_0, \dots, \zeta_{m-2}]/I \text{ for} I = ([x, x'], [y, y'], [A, x] - A(x), [A, y] - A(y), [y, x] - \eta(y, x)),$$

with a  $\mathfrak{gl}_n$ -invariant pairing  $\eta : V_n \times V_n^* \to U(\mathfrak{gl}_n)[\zeta_0, \ldots, \zeta_{m-2}]$  such that the inequality  $\deg(\eta(y, x)) \leq 2m$  holds. Such a quotient satisfies a PBW property if and only if  $\eta(y, x) = \sum_{i=0}^m \eta_i(\zeta_0, \ldots, \zeta_{m-2})r_i(y, x)$  with  $\deg(\eta_i(\zeta_0, \ldots, \zeta_{m-2})) \leq 2(m-i)$  (this is completely analogous to [EGG, Thm. 4.2]).

We define the universal version of  $H_{\zeta}(\mathfrak{sp}_{2n})$  in a similar way:

**Definition 4.** The universal length m infinitesimal Cherednik algebra  $H_m(\mathfrak{sp}_{2n})$  is defined as

$$H_m(\mathfrak{sp}_{2n}) := U(\mathfrak{sp}_{2n}) \ltimes T(V_{2n})[\zeta_0, \dots, \zeta_{m-1}]/J \text{ for} J = ([A, x] - A(x), [x, y] - \sum_{j=0}^{m-1} \zeta_j r_{2j}(x, y) - r_{2m}(x, y)),$$

 $<sup>^{1}</sup>$  This follows from our proof of Lemma 1.

where  $A \in \mathfrak{sp}_{2n}$ ,  $x, y \in V_{2n}$  and  $\{\zeta_i\}_{i=0}^{m-1}$  are central. The filtration is induced from the grading on  $T(\mathfrak{sp}_{2n} \oplus V_{2n})[\zeta_0, \ldots, \zeta_{m-1}]$  with  $\deg(\mathfrak{sp}_{2n}) = 2$ ,  $\deg(V_{2n}) = 2m+1$ and  $\deg(\zeta_i) = 4(m-i)$ .

The algebra  $H_m(\mathfrak{sp}_{2n})$  is free over the subalgebra  $\mathbb{C}[\zeta_0, \ldots, \zeta_{m-1}]$  and the algebra  $H_m(\mathfrak{sp}_{2n})/(\zeta_0 - c_0, \ldots, \zeta_{m-1} - c_{m-1})$  is the usual infinitesimal Cherednik algebra  $H_{\zeta_c}(\mathfrak{sp}_{2n})$  for  $\zeta_c = c_0r_0 + \cdots + c_{m-1}r_{2(m-1)} + r_{2m}$ . In fact, the algebra  $H_m(\mathfrak{sp}_{2n})$  can be viewed as a universal family of length m infinitesimal Cherednik algebras of  $\mathfrak{sp}_{2n}$ , due to Lemma 2.

Remark 2. Analogously to Remark 1, the result of [EGG, Thm. 4.2], generalizes straightforwardly to the case of  $\mathfrak{sp}_{2n}$ -invariant pairings  $\eta : V_{2n} \times V_{2n} \to U(\mathfrak{sp}_{2n})[\zeta_0, \ldots, \zeta_{m-1}].$ 

# 1.4. Poisson counterparts of $H_{\zeta}(\mathfrak{g})$ and $H_m(\mathfrak{g})$

Following [DT], we introduce the Poisson algebras  $H_m^{cl}(\mathfrak{g})$  for  $\mathfrak{g}$  being  $\mathfrak{gl}_n$  or  $\mathfrak{sp}_{2n}$ . As algebras these are  $S(\mathfrak{gl}_n \oplus V_n \oplus V_n^*)[\zeta_0, \ldots, \zeta_{m-2}]$  (respectively  $S(\mathfrak{sp}_{2n} \oplus V_{2n})[\zeta_0, \ldots, \zeta_{m-1}]$ ) with a Poisson bracket  $\{\cdot, \cdot\}$  modeled after the commutator  $[\cdot, \cdot]$  from the definition of  $H_m(\mathfrak{g})$ , so that  $\{y, x\} = \alpha_m(y, x) + \sum_{j=0}^{m-2} \zeta_j \alpha_j(y, x)$  (respectively  $\{x, y\} = \beta_{2m}(x, y) + \sum_{j=0}^{m-1} \zeta_j \beta_{2j}(x, y)$ ). Their quotients  $H_m^{cl}(\mathfrak{gl}_n)/(\zeta_0 - c_0, \ldots, \zeta_{m-2} - c_{m-2})$  and  $H_m^{cl}(\mathfrak{sp}_{2n})/(\zeta_0 - c_0, \ldots, \zeta_{m-1} - c_{m-1})$ , are the Poisson infinitesimal Cherednik algebras  $H_{\zeta_c}^{cl}(\mathfrak{gl}_n)$  ( $\zeta_c = c_0\alpha_0 + \cdots + c_{m-2}\alpha_{m-2} + \alpha_m$ ) and  $H_{\zeta_c}^{cl}(\mathfrak{sp}_{2n})$  ( $\zeta_c = c_0\beta_0 + \cdots + c_{m-1}\beta_{2m-2} + \beta_{2m}$ ) from [DT, Sects. 5 and 7] respectively.

Let us describe the Poisson centers of the algebras  $H_m^{\mathrm{cl}}(\mathfrak{gl}_n)$  and  $H_m^{\mathrm{cl}}(\mathfrak{sp}_{2n})$ .

For  $\mathfrak{g} = \mathfrak{gl}_n$  and  $1 \leq k \leq n$  we define an element  $\tau_k \in H_m^{\mathrm{cl}}(\mathfrak{g})$  by  $\tau_k := \sum_{i=1}^n x_i \{\widetilde{Q}_k, y_i\}$ , where  $1 + \sum_{j=1}^n \widetilde{Q}_j z^j = \det(1 + zA)$ . We set  $\zeta(w) := \sum_{i=0}^{m-2} \zeta_i w^i + w^m$  and define  $c_i \in S(\mathfrak{gl}_n)$  via

$$c(t) = 1 + \sum_{i=1}^{n} (-1)^{i} c_{i} t^{i} := \operatorname{Res}_{z=0} \zeta(z^{-1}) \frac{\det(1-tA)}{\det(1-zA)} \frac{z^{-1} dz}{1-t^{-1} z}.$$

For  $\mathfrak{g} = \mathfrak{sp}_{2n}$  and  $1 \leq k \leq n$  we define an element  $\tau_k \in H_m^{\mathrm{cl}}(\mathfrak{g})$  by  $\tau_k := \sum_{i=1}^{2n} \{\widetilde{Q}_k, y_i\} y_i^*$ , where  $1 + \sum_{j=1}^n \widetilde{Q}_j z^{2j} = \det(1+zA)$ , while  $\{y_i\}_{i=1}^{2n}$  and  $\{y_i^*\}_{i=1}^{2n}$  are the dual bases of  $V_{2n}$ , that is,  $\omega(y_i, y_j^*) = 1$ . We set  $\zeta(w) := \sum_{i=0}^{m-1} \zeta_i w^i + w^m$  and define  $c_i \in S(\mathfrak{sp}_{2n})$  via

$$c(t) = 1 + \sum_{i=1}^{n} c_i t^{2i} := 2 \operatorname{Res}_{z=0} \zeta(z^{-2}) \frac{\det(1-tA)}{\det(1-zA)} \frac{z^{-1}dz}{1-t^{-2}z^2}$$

The following result is a straightforward generalization of [DT, Thms. 5.1 and 7.1]: **Theorem 3.** Let  $\mathfrak{z}_{Pois}(A)$  denote the Poisson center of the Poisson algebra A. We have:

- (a)  $\mathfrak{z}_{\text{Pois}}(H_m^{\text{cl}}(\mathfrak{gl}_n))$  is a polynomial algebra in free generators  $\zeta_0, \ldots, \zeta_{m-2}, \tau_1 + c_1, \ldots, \tau_n + c_n;$
- (b)  $\mathfrak{z}_{\text{Pois}}(H_m^{\text{cl}}(\mathfrak{sp}_{2n}))$  is a polynomial algebra in free generators  $\zeta_0, \ldots, \zeta_{m-1}, \tau_1 + c_1, \ldots, \tau_n + c_n$ .

## 1.5. W-algebras

Here we recall finite W-algebras following [GG].

Let  $\mathfrak{g}$  be a finite dimensional simple Lie algebra over  $\mathbb{C}$  and  $e \in \mathfrak{g}$  be a nonzero nilpotent element. We identify  $\mathfrak{g}$  with  $\mathfrak{g}^*$  via the Killing form (, ). Let  $\chi$  be the element of  $\mathfrak{g}^*$  corresponding to e and  $\mathfrak{z}_{\chi}$  be the stabilizer of  $\chi$  in  $\mathfrak{g}$  (which is the same as the centralizer of e in  $\mathfrak{g}$ ). Fix an  $\mathfrak{sl}_2$ -triple (e, h, f) in  $\mathfrak{g}$ . Then  $\mathfrak{z}_{\chi}$  is  $\mathrm{ad}(h)$ -stable and the eigenvalues of  $\mathrm{ad}(h)$  on  $\mathfrak{z}_{\chi}$  are nonnegative integers.

Consider the ad(h)-weight grading on  $\mathfrak{g} = \bigoplus_{i \in \mathbb{Z}} \mathfrak{g}(i)$ , that is,  $\mathfrak{g}(i) := \{\xi \in \mathfrak{g} \mid [h,\xi] = i\xi\}$ . Equip  $\mathfrak{g}(-1)$  with the symplectic form  $\omega_{\chi}(\xi,\eta) := \langle \chi, [\xi,\eta] \rangle$ . Fix a Lagrangian subspace  $l \subset \mathfrak{g}(-1)$  and set  $\mathfrak{m} := \bigoplus_{i \leq -2} \mathfrak{g}(i) \oplus l \subset \mathfrak{g}, \mathfrak{m}' := \{\xi - \langle \chi, \xi \rangle, \xi \in \mathfrak{m}\} \subset U(\mathfrak{g}).$ 

**Definition 5** (cf. [P1], [GG]). By the W-algebra associated with e (and l), we mean the algebra  $U(\mathfrak{g}, e) := (U(\mathfrak{g})/U(\mathfrak{g})\mathfrak{m}')^{\mathrm{ad}\mathfrak{m}}$  with multiplication induced from  $U(\mathfrak{g})$ .

Let  $\{F_{\bullet}^{st}\}$  denote the PBW filtration on  $U(\mathfrak{g})$ , while  $U(\mathfrak{g})(i) := \{x \in U(\mathfrak{g}) \mid [h, x] = ix\}$ . Define  $F_k U(\mathfrak{g}) = \sum_{i+2j \leq k} (F_j^{st} U(\mathfrak{g}) \cap U(\mathfrak{g})(i))$  and equip  $U(\mathfrak{g}, e)$  with the induced filtration, denoted  $\{F_{\bullet}\}$  and referred to as the Kazhdan filtration.

One of the key results of [P1], [GG] is a description of the associated graded algebra  $\operatorname{gr}_{F_{\bullet}} U(\mathfrak{g}, e)$ . Recall that the affine subspace  $\mathrm{S} := \chi + (\mathfrak{g}/[\mathfrak{g}, f])^* \subset \mathfrak{g}^*$  is called the *Slodowy slice*. As an affine subspace of  $\mathfrak{g}$ , the Slodowy slice S coincides with  $e+\mathfrak{c}$ , where  $\mathfrak{c} = \operatorname{Ker}_{\mathfrak{g}} \operatorname{ad}(f)$ . So we can identify  $\mathbb{C}[\mathrm{S}] \cong \mathbb{C}[\mathfrak{c}]$  with the symmetric algebra  $S(\mathfrak{z}_{\chi})$ . According to [GG, Sect. 3], algebra  $\mathbb{C}[\mathrm{S}]$  inherits a Poisson structure from  $\mathbb{C}[\mathfrak{g}^*]$  and is also graded with  $\operatorname{deg}(\mathfrak{z}_{\chi} \cap \mathfrak{g}(i)) = i+2$ .

**Theorem 4** (cf. [GG, Thm. 4.1]). The filtered algebra  $U(\mathfrak{g}, e)$  does not depend on the choice of l (up to a distinguished isomorphism) and  $\operatorname{gr}_{F_{\bullet}} U(\mathfrak{g}, e) \cong \mathbb{C}[S]$  as graded Poisson algebras.

## 1.6. Additional properties of W-algebras

We want to describe some other properties of  $U(\mathfrak{g}, e)$ .

(a) Let G be the adjoint group of  $\mathfrak{g}$ . There is a natural action of the group  $Q := Z_G(e, h, f)$  on  $U(\mathfrak{g}, e)$ , due to [GG]. Let  $\mathfrak{q}$  stand for the Lie algebra of Q. In [P2] Premet constructed a Lie algebra embedding  $\mathfrak{q} \stackrel{\iota}{\hookrightarrow} U(\mathfrak{g}, e)$ . The adjoint action of  $\mathfrak{q}$  on  $U(\mathfrak{g}, e)$  coincides with the differential of the aforementioned Q-action.

(b) Restricting the natural map  $U(\mathfrak{g})^{\operatorname{ad}\mathfrak{m}} \to U(\mathfrak{g}, e)$  to  $Z(U(\mathfrak{g}))$ , we get an algebra homomorphism  $Z(U(\mathfrak{g})) \xrightarrow{\rho} Z(U(\mathfrak{g}, e))$ , where Z(A) stands for the center of an algebra A. According to the following theorem,  $\rho$  is an isomorphism:

## Theorem 5.

- (a) [P1, Sect. 6.2] The homomorphism  $\rho$  is injective.
- (b) [P2, footnote to Quest. 5.1] The homomorphism  $\rho$  is surjective.

# 2. Main theorem

Let us consider  $\mathfrak{g} = \mathfrak{sl}_N$  or  $\mathfrak{g} = \mathfrak{sp}_{2N}$ , and let  $e_m \in \mathfrak{g}$  be a 1-block nilpotent element of Jordan type  $(1, \ldots, 1, m)$  or  $(1, \ldots, 1, 2m)$ , respectively. We make a particular choice for  $e_m$ :

- $e_m = E_{N-m+1,N-m+2} + \dots + E_{N-1,N}$  in the case of  $\mathfrak{sl}_N$ ,  $2 \le m \le N$ ,
- $e_m = E_{N-m+1,N-m+2} + \dots + E_{N+m-1,N+m}$  in the case of  $\mathfrak{sp}_{2N}$ ,  $1 \le m \le N$ .<sup>2</sup>

Recall the Lie algebra inclusion  $\iota : \mathfrak{q} \hookrightarrow U(\mathfrak{g}, e)$  from Section 1.6. In our cases:

• For  $(\mathfrak{g}, e) = (\mathfrak{sl}_{n+m}, e_m)$ , we have  $\mathfrak{q} \simeq \mathfrak{gl}_n$ . Define  $\overline{T} \in U(\mathfrak{sl}_{n+m}, e_m)$  to be the  $\iota$ -image of the identity matrix  $I_n \in \mathfrak{gl}_n$ , the latter being identified with

$$T_{n,m} = \text{diag}(m/(n+m), \dots, m/(n+m), -n/(n+m), \dots, -n/(n+m))$$

under the inclusion  $\mathfrak{q} \hookrightarrow \mathfrak{sl}_{n+m}$ . Let Gr be the induced  $\operatorname{ad}(\overline{T})$ -weight grading on  $U(\mathfrak{sl}_{n+m}, e_m)$ , with the *j*th grading component denoted by  $U(\mathfrak{sl}_{n+m}, e_m)_j$ .

• For  $(\mathfrak{g}, e) = (\mathfrak{sp}_{2n+2m}, e_m)$ , we have  $\mathfrak{q} \simeq \mathfrak{sp}_{2n}$ . Define

$$\overline{T}' := \iota(I'_n) \in U(\mathfrak{sp}_{2n+2m}, e_m)$$

where  $I'_n = \operatorname{diag}(1, \ldots, 1, -1, \ldots, -1) \in \mathfrak{sp}_{2n} \simeq \mathfrak{q}$ . Let Gr be the induced  $\operatorname{ad}(\overline{T}')$ -weight grading on  $U(\mathfrak{sp}_{2n+2m}, e_m) = \bigoplus_j U(\mathfrak{sp}_{2n+2m}, e_m)_j$ .

**Lemma 6.** There is a natural Lie algebra inclusion  $\Theta : \mathfrak{gl}_n \ltimes V_n \hookrightarrow U(\mathfrak{sl}_{n+m}, e_m)$ such that  $\Theta \mid_{\mathfrak{gl}_n} = \iota \mid_{\mathfrak{gl}_n}$  and  $\Theta(V_n) = F_{m+1}U(\mathfrak{sl}_{n+m}, e_m)_1$ .

Proof. First, choose a Jacobson-Morozov  $\mathfrak{sl}_2$ -triple  $(e_m, h_m, f_m) \subset \mathfrak{sl}_{n+m}$  in a standard way<sup>3</sup>. As a vector space,  $\mathfrak{z}_{\chi} \cong \mathfrak{gl}_n \oplus V_n \oplus V_n^* \oplus \mathbb{C}^{m-1}$  with  $\mathfrak{gl}_n = \mathfrak{z}_{\chi}(0) = \mathfrak{q}$ ,  $V_n \oplus V_n^* \subset \mathfrak{z}_{\chi}(m-1)$ , and  $\xi_j \in \mathfrak{z}_{\chi}(2m-2j-2)$ . Here  $\mathbb{C}^{m-1}$  has a basis  $\{\xi_{m-2-j} = E_{n+1,n+j+2} + \cdots + E_{n+m-j-1,n+m}\}_{j=0}^{m-2}$ ,  $V_n \oplus V_n^*$  is embedded via  $y_i \mapsto E_{i,n+m}$ ,  $x_i \mapsto E_{n+1,i}$ , while  $\mathfrak{gl}_n \cong \mathfrak{sl}_n \oplus \mathbb{C} \cdot I_n$  is embedded in the following way:  $\mathfrak{sl}_n \hookrightarrow \mathfrak{sl}_{n+m}$  as a *left-up block*, while  $I_n \mapsto T_{n,m}$ .

Under the identification  $\operatorname{gr}_{F_{\bullet}} U(\mathfrak{sl}_{n+m}, e_m) \simeq \mathbb{C}[S] \simeq S(\mathfrak{z}_{\chi})$ , the induced grading Gr' on  $S(\mathfrak{z}_{\chi})$  is the  $\operatorname{ad}(T_{n,m})$ -weight grading. Together with the above description of  $\operatorname{ad}(h_m)$ -grading on  $\mathfrak{z}_{\chi}$ , this implies that  $F_m U(\mathfrak{sl}_{n+m}, e_m)_1 = 0$  and that  $F_{m+1}U(\mathfrak{sl}_{n+m}, e_m)_1$  coincides with the image of the composition  $V_n \hookrightarrow \mathfrak{z}_{\chi} \hookrightarrow$  $S(\mathfrak{z}_{\chi})$ . Let  $\Theta(y) \in F_{m+1}U(\mathfrak{sl}_{n+m}, e_m)_1$  be the element whose image is identified with y. We also set  $\Theta(A) := \iota(A)$  for  $A \in \mathfrak{gl}_n$ . Finally, we define  $\Theta : \mathfrak{gl}_n \oplus V_n \hookrightarrow$  $U(\mathfrak{sl}_{n+m}, e_m)$  by linearity.

We claim that  $\Theta$  is a Lie algebra inclusion, that is,

$$\begin{split} [\Theta(A),\Theta(B)] &= \Theta([A,B]), \quad [\Theta(y),\Theta(y')] = 0, \quad [\Theta(A),\Theta(y)] = \Theta(A(y)), \\ &\forall \ A,B \in \mathfrak{gl}_n, y, y' \in V_n. \end{split}$$

The first equality follows from  $[\Theta(A), \Theta(B)] = [\iota(A), \iota(B)] = \iota([A, B]) = \Theta([A, B])$ . The second one follows from the observation that  $[\Theta(y), \Theta(y')] \in F_{2m}U(\mathfrak{g}, e_m)_2$ and the only such element is 0. Similarly,  $[\Theta(A), \Theta(y)] \in F_{m+1}U(\mathfrak{g}, e_m)_1$ , so that  $[\Theta(A), \Theta(y)] = \Theta(y')$  for some  $y' \in V_n$ . Since  $y' = \operatorname{gr}(\Theta(y')) = \operatorname{gr}([\Theta(A), \Theta(y)]) = [A, y] = A(y)$ , we get  $[\Theta(A), \Theta(y)] = \Theta(A(y))$ .  $\Box$ 

Our main result is:

<sup>3</sup> That is, we set  $h_m := \sum_{j=1}^m (m+1-2j)E_{n+j,n+j}$  and  $f_m := \sum_{j=1}^{m-1} j(m-j)E_{n+j+1,n+j}$ .

<sup>&</sup>lt;sup>2</sup> We view  $\mathfrak{sp}_{2N}$  as corresponding to the pair  $(V_{2N}, \omega_{2N})$ , where  $\omega_{2N}$  is represented by the skew symmetric *antidiagonal* matrix  $J = (J_{ij} := (-1)^j \delta_{i+j}^{2N+1})_{1 \le i,j \le 2N}$ . In this presentation,  $A = (a_{ij}) \in \mathfrak{sp}_{2N}$  if and only if  $a_{2N+1-j,2N+1-i} = (-1)^{i+j+1}a_{ij}$  for any  $1 \le i, j \le 2N$ .

## Theorem 7.

(a) For  $m \geq 2$ , there is a unique isomorphism

$$\overline{\Theta}: H_m(\mathfrak{gl}_n) \xrightarrow{\sim} U(\mathfrak{sl}_{n+m}, e_m)$$

of filtered algebras, whose restriction to  $\mathfrak{sl}_n \ltimes V_n \hookrightarrow H_m(\mathfrak{gl}_n)$  is equal to  $\Theta$ . (b) For  $m \ge 1$ , there are exactly two isomorphisms

$$\overline{\Theta}_{(1)}, \ \overline{\Theta}_{(2)}: H_m(\mathfrak{sp}_{2n}) \xrightarrow{\sim} U(\mathfrak{sp}_{2n+2m}, e_m)$$

of filtered algebras such that  $\overline{\Theta}_{(i)}|_{\mathfrak{sp}_{2n}} = \iota|_{\mathfrak{sp}_{2n}}$ ; moreover,  $\overline{\Theta}_{(2)} \circ \overline{\Theta}_{(1)}^{-1} : y \mapsto -y, A \mapsto A, \zeta_k \mapsto \zeta_k$ .

Let us point out that there is no explicit presentation of W-algebras in terms of generators and relations in general. Among the few known cases are: (a)  $\mathfrak{g} = \mathfrak{gl}_n$ , due to [BK1], (b)  $\mathfrak{g} \ni e$ , the minimal nilpotent, due to [P2, Sect. 6]. The latter corresponds to  $(e_2, \mathfrak{sl}_N)$  and  $(e_1, \mathfrak{sp}_{2N})$  in our notation. We establish the corresponding isomorphisms explicitly in Appendix B.

## Proof of Theorem 7.

(a) Analogously to Lemma 6, we have an identification  $F_{m+1}U(\mathfrak{sl}_{n+m}, e_m)_{-1} \simeq V_n^*$ . For any  $x \in V_n^*$ , let  $\Theta(x) \in F_{m+1}U(\mathfrak{sl}_{n+m}, e_m)_{-1}$  be the element identified with  $x \in V_n^*$ . The same argument as in the proof of Lemma 6 implies  $[\Theta(A), \Theta(x)] = \Theta(A(x))$ .

Let  $\{\widetilde{F}_j\}_{j=2}^{n+m}$  be the standard degree j generators of the algebra  $\mathbb{C}[\mathfrak{sl}_{n+m}]^{\mathrm{SL}_{n+m}} \simeq S(\mathfrak{sl}_{n+m})^{\mathrm{SL}_{n+m}}$  (that is,  $1 + \sum_{j=2}^{n+m} \widetilde{F}_j(A) z^j = \det(1+zA)$  for  $A \in \mathfrak{sl}_{n+m}$ ) and  $F_j := \mathrm{Sym}(\widetilde{F}_j) \in U(\mathfrak{sl}_{n+m})$  be the free generators of  $Z(U(\mathfrak{sl}_{n+m}))$ . For all  $0 \leq i \leq m-2$  we set  $\Theta_i := \rho(F_{m-i}) \in Z(U(\mathfrak{sl}_{n+m}, e_m))$ . Then  $\mathrm{gr}(\Theta_k) = \widetilde{F}_{m-k|_{\mathrm{S}}} \equiv \xi_k \mod S(\mathfrak{gl}_n \oplus \bigoplus_{l=k+1}^{m-2} \mathbb{C}\xi_l)$ , where  $\xi_k$  was defined in the proof of Lemma 6.

Let U' be a subalgebra of  $U(\mathfrak{sl}_{n+m}, e_m)$ , generated by  $\Theta(\mathfrak{gl}_n)$  and  $\{\Theta_k\}_{k=0}^{m-2}$ . For all  $y \in V_n$ ,  $x \in V_n^*$  we define  $W(y, x) := [\Theta(y), \Theta(x)] \in F_{2m}U(\mathfrak{sl}_{n+m}, e_m)_0 \subset U'$ . Let us point out that equalities  $[\Theta(A), \Theta(x)] = \Theta([A, x]), \ [\Theta(A), \Theta(y)] = \Theta([A, y])$ (for all  $A \in \mathfrak{gl}_n, y \in V_n, x \in V_n^*$ ) imply the  $\mathfrak{gl}_n$ -invariance of  $W : V_n \times V_n^* \to U' \simeq U(\mathfrak{gl}_n)[\Theta_0, \ldots, \Theta_{m-2}]$ .

By Theorem 4,  $U(\mathfrak{sl}_{n+m}, e_m)$  has a basis formed by the ordered monomials in

$$\{\Theta(E_{ij}), \ \Theta(y_k), \ \Theta(x_l), \ \Theta_0, \dots, \Theta_{m-2}\}.$$

In particular,  $U(\mathfrak{sl}_{n+m}, e_m) \simeq U(\mathfrak{gl}_n) \ltimes T(V_n \oplus V_n^*)[\Theta_0, \ldots, \Theta_{m-2}]/(y \otimes x - x \otimes y - W(y, x))$  satisfies the PBW property. According to Remark 1, there exist polynomials  $\eta_i \in \mathbb{C}[\Theta_0, \ldots, \Theta_{m-2}]$ , for  $0 \leq i \leq m-2$ , such that  $W(y, x) = \sum \eta_j r_j(y, x)$  and  $\deg(\eta_i(\Theta_0, \ldots, \Theta_{m-2})) \leq 2(m-i)$ . As a consequence of the latter condition:  $\eta_m, \eta_{m-1} \in \mathbb{C}$ .

The following claim follows from the main result of the next section (Theorem 10):

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#### Claim 8.

- (i) The constant  $\eta_m$  is nonzero.
- (ii) The polynomial  $\eta_i(\Theta_0, \ldots, \Theta_{m-2})$  contains a nonzero multiple of  $\Theta_i$  for any  $i \leq m-2$ .

This claim implies the existence and uniqueness of the isomorphism  $\overline{\Theta} : H_m(\mathfrak{gl}_n) \xrightarrow{\sim} U(\mathfrak{sl}_{n+m}, e_m)$  with  $\overline{\Theta}(y_k) = \Theta(y_k)$  and  $\overline{\Theta}(A) = \Theta(A)$  for  $A \in \mathfrak{sl}_n$ .

Moreover,  $\overline{\Theta}(x_k) = \eta_m^{-1} \Theta(x_k)$  and  $\overline{\Theta}(I_n) = \Theta(I_n) - n\eta_{m-1}/(n+m)\eta_m^4$ , while  $\overline{\Theta}(\zeta_k) \in \mathbb{C}[\Theta_k, \dots, \Theta_{m-2}].$ 

(b) Choose a Jacobson-Morozov  $\mathfrak{sl}_2$ -triple  $(e_m, h_m, f_m) \subset \mathfrak{sp}_{2n+2m}$  in a standard way.<sup>5</sup> As a vector space,  $\mathfrak{z}_{\chi} \cong \mathfrak{sp}_{2n} \oplus V_{2n} \oplus \mathbb{C}^m$  with  $\mathfrak{sp}_{2n} = \mathfrak{z}_{\chi}(0), V_{2n} = \mathfrak{z}_{\chi}(2m-1)$ and  $\xi_j \in \mathfrak{z}_{\chi}(4m-4j-2)$ . Here  $\mathbb{C}^m$  has a basis  $\{\xi_{m-k} = E_{n+1,n+2k} + \cdots + E_{n+2m-2k+1,n+2m}\}_{k=1}^m, V_{2n}$  is embedded via

$$y_i \mapsto E_{i,n+2m} + (-1)^{n+i+1} E_{n+1,2n+2m+1-i},$$
  
$$y_{n+i} \mapsto E_{n+2m+i,n+2m} + (-1)^{i+1} E_{n+1,n+1-i}, \ i \le n,$$

while  $\mathfrak{q} = \mathfrak{z}_{\chi}(0) \simeq \mathfrak{sp}_{2n}$  is embedded in a natural way (via four  $n \times n$  corner blocks of  $\mathfrak{sp}_{2n+2m}$ ).

Recall the grading Gr on  $U(\mathfrak{sp}_{2n+2m}, e_m)$ . The induced grading Gr' on the space gr  $U(\mathfrak{sp}_{2n+2m}, e_m)$  is the  $\operatorname{ad}(I'_n)$ -weight grading on  $S(\mathfrak{z}_{\chi})$ . The operator  $\operatorname{ad}(I'_n)$  acts trivially on  $\mathbb{C}^m$ , with even eigenvalues on  $\mathfrak{sp}_{2n}$  and with eigenvalues  $\pm 1$  on  $V_{2n}^{\pm}$ , where  $V_{2n}^+$  is spanned by  $\{y_i\}_{i\leq n}$ , while  $V_{2n}^-$  is spanned by  $\{y_{n+i}\}_{i\leq n}$ .

Analogously to Lemma 6, we get identifications of  $F_{2m+1}U(\mathfrak{sp}_{2n+2m}, e_m)_{\pm 1}$  and  $V_{2n}^{\pm}$ . For  $y \in V_{2n}^{\pm}$ , let  $\Theta(y)$  be the corresponding element of  $F_{2m+1}U(\mathfrak{sp}_{2n+2m}, e_m)_{\pm 1}$ , while for  $A \in \mathfrak{sp}_{2n}$  we set  $\Theta(A) := \iota(A)$ . We define  $\Theta : \mathfrak{sp}_{2n} \oplus V_{2n} \hookrightarrow U(\mathfrak{sp}_{2n+2m}, e_m)$  by linearity. The same reasoning as in the  $\mathfrak{gl}_n$ -case proves that  $[\Theta(A), \Theta(y)] = \Theta(A(y))$  for any  $A \in \mathfrak{sp}_{2n}, y \in V_{2n}$ .

Finally, the argument involving the center goes along the same lines, so we can pick central generators  $\{\Theta_k\}_{0 \le k \le m-1}$  such that  $\operatorname{gr}(\Theta_k) \equiv \xi_k \mod S(\mathfrak{sp}_{2n} \oplus \mathbb{C}\xi_{k+1} \oplus \ldots \oplus \mathbb{C}\xi_{m-1})$ .

Let U' be the subalgebra of  $U(\mathfrak{sp}_{2n+2m}, e_m)$ , generated by  $\Theta(\mathfrak{sp}_{2n})$  and  $\{\Theta_k\}_{k=0}^{m-1}$ . For  $x, y \in V_{2n}$ , we set  $W(x, y) := [\Theta(x), \Theta(y)] \in F_{4m}U(\mathfrak{sp}_{2n+2m}, e_m)_{\text{even}} \subset U'$ . The map

$$W: V_{2n} \times V_{2n} \to U' \simeq U(\mathfrak{sp}_{2n})[\Theta_0, \dots, \Theta_{m-1}]$$

is  $\mathfrak{sp}_{2n}$ -invariant.

Since  $U(\mathfrak{sp}_{2n+2m}, e_m) \simeq U(\mathfrak{sp}_{2n}) \ltimes T(V_{2n})[\Theta_0, \ldots, \Theta_{m-1}]/(x \otimes y - y \otimes x - W(x, y))$  satisfies the PBW property, there exist polynomials  $\eta_i \in \mathbb{C}[\Theta_0, \ldots, \Theta_{m-1}]$ , for  $0 \leq i \leq m-1$ , such that  $W(x, y) = \sum \eta_j r_{2j}(x, y)$  and  $\deg(\eta_i(\Theta_0, \ldots, \Theta_{m-1})) \leq 4(m-i)$  (Remark 2).

The following result is analogous to Claim 8 and will follow from Theorem 10 as well:

<sup>5</sup> That is,  $h_m := \sum_{j=1}^{2m} (2m + 1 - 2j) E_{n+j,n+j}$  and  $f_m := \sum_{j=1}^{2m-1} j(2m - j) E_{n+j+1,n+j}$ .

 $<sup>^4</sup>$  The appearance of the constant  $n\eta_{m-1}/(n+m)\eta_m$  is explained by the proof of Lemma 1(b).

## Claim 9.

- (i) The constant  $\eta_m$  is nonzero.
- (ii) The polynomial  $\eta_i(\Theta_0, \ldots, \Theta_{m-1})$  contains a nonzero multiple of  $\Theta_i$  for any  $i \leq m-1$ .

This claim implies Theorem 7(b), where  $\overline{\Theta}_{(i)}(y) = \lambda_i \cdot \Theta(y)$  for all  $y \in V_{2n}$  and  $\lambda_i^2 = \eta_m^{-1}$ .  $\Box$ 

# 3. Poisson analogue of Theorem 7

To state the main result of this section, let us introduce more notation:

• In the contexts of  $(\mathfrak{sl}_{n+m}, e_m)$  and  $(\mathfrak{sp}_{2n+2m}, e_m)$ , we use  $S_{n,m}$  and  $\mathfrak{z}_{n,m}$  instead of S and  $\mathfrak{z}_{\chi}$ .

• Let  $\overline{\iota} : \mathfrak{gl}_n \oplus V_n \oplus V_n^* \oplus \mathbb{C}^{m-1} \xrightarrow{\sim} \mathfrak{z}_{n,m}$  be the identification from the proof of Lemma 6.

• Let  $\overline{\iota} : \mathfrak{sp}_{2n} \oplus V_{2n} \oplus \mathbb{C}^m \xrightarrow{\sim} \mathfrak{z}_{n,m}$  be the identification from the proof of Theorem 7(b).

• Define  $\Theta_k = \operatorname{gr}(\Theta_k) \in S(\mathfrak{z}_{n,m}) \ 0 \le k \le m-s$ , where s = 1 for  $\mathfrak{sp}_{2N}$  and s = 2 for  $\mathfrak{sl}_N$ .

• We consider the Poisson structure on  $S(\mathfrak{z}_{n,m})$  arising from the identification

$$S(\mathfrak{z}_{n,m}) \cong \mathbb{C}[\mathbf{S}_{n,m}].$$

The following theorem can be viewed as a Poisson analogue of Theorem 7:

# Theorem 10.

(a) The formulas

$$\overline{\Theta}^{\rm cl}(A) = \overline{\iota}(A), \quad \overline{\Theta}^{\rm cl}(y) = \overline{\iota}(y), \quad \overline{\Theta}^{\rm cl}(x) = \overline{\iota}(x), \quad \overline{\Theta}^{\rm cl}(\zeta_k) = (-1)^{m-k}\overline{\Theta}_k$$

define an isomorphism  $\overline{\Theta}^{cl}$ :  $H_m^{cl}(\mathfrak{gl}_n) \xrightarrow{\sim} S(\mathfrak{z}_{n,m}) \simeq \mathbb{C}[S_{n,m}]$  of Poisson algebras.

(b) The formulas

$$\overline{\Theta}^{\mathrm{cl}}(A) = \overline{\iota}(A), \quad \overline{\Theta}^{\mathrm{cl}}(y) = \overline{\iota}(y)/\sqrt{2}, \quad \overline{\Theta}^{\mathrm{cl}}(\zeta_k) = \overline{\Theta}_k$$

define an isomorphism  $\overline{\Theta}^{cl}: H^{cl}_m(\mathfrak{sp}_{2n}) \xrightarrow{\sim} S(\mathfrak{z}_{n,m}) \simeq \mathbb{C}[S_{n,m}]$  of Poisson algebras.

Claims 8 and 9 follow from this theorem.

Remark 3. An alternative proof of Claims 8 and 9 is based on the recent result of [LNS] about the universal Poisson deformation of  $S \cap \mathcal{N}$  (here  $\mathcal{N}$  denotes the nilpotent cone of the Lie algebra  $\mathfrak{g}$ ). We find this argument a bit overkilling (besides, it does not provide precise formulas in the Poisson case).

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Proof of Theorem 10.

(a) The Poisson algebra  $S(\mathfrak{z}_{n,m})$  is equipped both with the Kazhdan grading and the internal grading Gr'. In particular, the same reasoning as in the proof of Theorem 7(a) implies:

$$\{\overline{\iota}(A),\overline{\iota}(B)\} = \overline{\iota}([A,B]), \quad \{\overline{\iota}(A),\overline{\iota}(y)\} = \overline{\iota}(A(y)), \quad \{\overline{\iota}(A),\overline{\iota}(x)\} = \overline{\iota}(A(x)).$$

We set  $\overline{W}(y,x) := \{\overline{\iota}(y),\overline{\iota}(x)\}$  for all  $y \in V_n, x \in V_n^*$ . Arguments analogous to those used in the proof of Theorem 7(a) imply an existence of polynomials  $\overline{\eta}_i \in \mathbb{C}[\overline{\Theta}_0,\ldots,\overline{\Theta}_{m-2}]$ , such that  $\overline{W}(y,x) = \sum_j \overline{\eta}_j \alpha_j(y,x)$  and  $\deg(\overline{\eta}_j(\overline{\Theta}_0,\ldots,\overline{\Theta}_{m-2})) = 2(m-j)$ .

Combining this with Theorem 3(a) one gets that

$$\tau_1' = \sum_i x_i y_i + \sum_j \overline{\eta}_j \operatorname{tr} S^{j+1} A$$

is a Poisson-central element of  $S(\mathfrak{z}_{n,m}) \cong \mathbb{C}[S_{n,m}].$ 

Let  $\overline{\rho} : \mathfrak{z}_{\text{Pois}}(\mathbb{C}[\mathfrak{sl}_{n+m}]) \to \mathfrak{z}_{\text{Pois}}(\mathbb{C}[S_{n,m}])$  be the restriction homomorphism. The Poisson analogue of Theorem 5 (which is, actually, much simpler) states that  $\overline{\rho}$ is an isomorphism. In particular,  $\tau'_1 = c\overline{\rho}(\widetilde{F}_{m+1}) + p(\overline{\rho}(\widetilde{F}_2), \ldots, \overline{\rho}(\widetilde{F}_m))$  for some  $c \in \mathbb{C}$  and a polynomial p.

Note that  $\overline{\rho}(\widetilde{F}_i) = \overline{\Theta}_{m-i}$  for all  $2 \leq i \leq m$ . Let us now express  $\overline{\rho}(\widetilde{F}_{m+1})$  via the generators of  $S(\mathfrak{z}_{n,m})$ . First, we describe explicitly the slice  $S_{n,m}$ . It consists of the following elements:

$$\begin{cases} e_m + \sum_{i,j \le n} x_{i,j} E_{i,j} + \sum_{i \le n} u_i E_{i,n+1} + \sum_{i \le n} v_i E_{n+m,i} + \sum_{k \le m-1} w_k f_m^k - \gamma_{n,m} \sum_{n < j \le n+m} E_{jj} \end{cases},$$
  
where  $\gamma_{n,m} = \frac{1}{m} \sum_{i < n} x_{ii}$ 

which can also be explicitly depicted as follows:

For  $X \in \mathfrak{sl}_{n+m}$  of the above form let us define  $X_1 \in \mathfrak{gl}_n$ ,  $X_2 \in \mathfrak{gl}_m$  by

$$X_1 := \sum_{i,j \le n} x_{i,j} E_{i,j}, \quad X_2 := e_m + \sum_{k \le m-1} w_k f_m^k - \frac{x_{11} + \dots + x_{nn}}{m} \sum_{n < j \le n+m} E_{jj},$$

that is,  $X_1$  and  $X_2$  are the left-up  $n \times n$  and right-down  $m \times m$  blocks of X, respectively.

The following result is straightforward:

**Lemma 11.** Let  $X, X_1, X_2$  be as above. Then:

- (i) For  $2 \leq k \leq m$  :  $\widetilde{F}_k(X) = \operatorname{tr} \Lambda^k(X_1) + \operatorname{tr} \Lambda^{k-1}(X_1) \operatorname{tr} \Lambda^1(X_2) + \cdots + \operatorname{tr} \Lambda^k(X_2).$
- (ii) We have  $\tilde{\tilde{F}}_{m+1}(X) = (-1)^m \sum u_i v_i + \operatorname{tr} \Lambda^{m+1}(X_1) + \operatorname{tr} \Lambda^m(X_1) \operatorname{tr} \Lambda^1(X_2) + \cdots + \operatorname{tr} \Lambda^{m+1}(X_2).$

Combining both statements of this lemma with the standard equality

$$\sum_{0 \le j \le l} (-1)^j \operatorname{tr} S^{l-j}(X_1) \operatorname{tr} \Lambda^j(X_1) = 0, \quad \forall l \ge 1,$$
(1)

we obtain the following result:

**Lemma 12.** For any  $X \in S_{n,m}$  we have:

$$\widetilde{F}_{m+1}(X) = (-1)^m \sum u_i v_i + \sum_{2 \le j \le m} (-1)^{m-j} \widetilde{F}_j(X) \operatorname{tr} S^{m+1-j}(X_1) + (-1)^m \operatorname{tr} S^{m+1}(X_1).$$
<sup>(2)</sup>

*Proof of Lemma* 12. Lemma 11(i) and equality (1) imply by induction on k:

$$\operatorname{tr} \Lambda^{k}(X_{2}) = \widetilde{F}_{k}(X) - \operatorname{tr} S^{1}(X_{1})\widetilde{F}_{k-1}(X) + \operatorname{tr} S^{2}(X_{1})\widetilde{F}_{k-2}(X) - \ldots + (-1)^{k} \operatorname{tr} S^{k}(X_{1})\widetilde{F}_{0}(X),$$

for all  $k \leq m$ , where  $\widetilde{F}_1(X) = 0$ ,  $\widetilde{F}_0(X) = 1$ .

Those equalities together with Lemma 11(ii) imply:

$$\widetilde{F}_{m+1}(X) = (-1)^m \sum u_i v_i + \sum_{0 \le j \le m} \sum_{0 \le k < m+1-j} (-1)^k \operatorname{tr} \Lambda^{m+1-j-k}(X_1) \operatorname{tr} S^k(X_1) \widetilde{F}_j(X).$$

According to (1), we have

$$\sum_{0 \le k \le m-j} (-1)^k \operatorname{tr} \Lambda^{m+1-j-k}(X_1) \operatorname{tr} S^k(X_1) = (-1)^{m-j} \operatorname{tr} S^{m+1-j}(X_1).$$

Recalling our convention  $\widetilde{F}_1(X) := 0$ ,  $\widetilde{F}_0(X) := 1$ , we get (2).  $\Box$ 

Identifying  $\mathbb{C}[S_{n,m}]$  with  $S(\mathfrak{z}_{n,m})$  we get

$$\overline{\rho}(\widetilde{F}_{m+1}) = (-1)^m \left( \sum x_i y_i + \operatorname{tr} S^{m+1} A + \sum_{2 \le j \le m} (-1)^j \overline{\Theta}_{m-j} \operatorname{tr} S^{m+1-j} A \right).$$
(3)

Substituting this into  $\tau'_1 = c\overline{\rho}(\widetilde{F}_{m+1}) + p(\overline{\Theta}_0, \dots, \overline{\Theta}_{m-2})$  with  $\overline{\Theta}_{m-1} := 0, \overline{\Theta}_m := 1$ , we get

$$p(\overline{\Theta}_0, \dots, \overline{\Theta}_{m-2}) = (1 - (-1)^m c) \sum_i x_i y_i + \sum_{0 \le j \le m} (\overline{\eta}_j(\overline{\Theta}_0, \dots, \overline{\Theta}_{m-2}) - (-1)^j c \overline{\Theta}_j) \operatorname{tr} S^{j+1} A.$$

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Hence  $c = (-1)^m$  and

$$p(\overline{\Theta}_0, \dots, \overline{\Theta}_{m-2}) = \sum_{0 \le j \le m} (\overline{\eta}_j(\overline{\Theta}_0, \dots, \overline{\Theta}_{m-2}) - (-1)^{m-j}\overline{\Theta}_j) \operatorname{tr} S^{j+1} A$$

According to Remark 1, the last equality is equivalent to

$$\overline{\eta}_m = 1, \quad \overline{\eta}_{m-1} = 0, \quad \overline{\eta}_j(\overline{\Theta}_0, \dots, \overline{\Theta}_{m-2}) = (-1)^{m-j}\overline{\Theta}_j, \quad \forall \ 0 \le j \le m-2, \ p = 0.$$

This implies the statement.

(b) Analogously to the previous case and the proof of Theorem 7(b) we have:

$$\{\overline{\iota}(A),\overline{\iota}(B)\}=\overline{\iota}([A,B]),\quad \{\overline{\iota}(A),\overline{\iota}(y)\}=\overline{\iota}(A(y)),\quad \{\overline{\iota}(x),\overline{\iota}(y)\}=\sum\overline{\eta}_{j}\beta_{2j}(x,y),$$

for some polynomials  $\overline{\eta}_j \in \mathbb{C}[\overline{\Theta}_0, \dots, \overline{\Theta}_{m-1}]$ , such that  $\deg(\overline{\eta}_j(\overline{\Theta}_0, \dots, \overline{\Theta}_{m-1})) = 4(m-j)$ .

Due to Theorem 3(b), we get  $\tau'_1 := \sum_{i=1}^{2n} \{ \widetilde{Q}_1, y_i \} y_i^* - 2 \sum_j \overline{\eta}_j \operatorname{tr} S^{2j+2} A \in \mathfrak{P}_{\text{ois}}(S(\mathfrak{z}_{n,m}))$ . In particular,  $\tau'_1 = c\overline{\rho}(\widetilde{F}_{m+1}) + p(\overline{\rho}(\widetilde{F}_1), \dots, \overline{\rho}(\widetilde{F}_m))$  for some  $c \in \mathbb{C}$  and a polynomial p.

Note that  $\overline{\rho}(\widetilde{F}_k) = \overline{\Theta}_{m-k}$  for  $1 \leq k \leq m$ . Let us now express  $\overline{\rho}(\widetilde{F}_{m+1})$  via the generators of  $S(\mathfrak{z}_{n,m})$ . First, we describe explicitly the slice  $S_{n,m}$ . It consists of the following elements:

$$\begin{split} \Big\{ e_m + \overline{\iota}(X_1) + \sum_{i \leq n} v_i U_{i,n+1} + \sum_{i \leq n} v_{n+i} U_{n+2m+i,n+1} \\ + \sum_{k \leq m} w_k f_m^{2k-1} \ \Big| \ X_1 \in \mathfrak{sp}_{2n}, \ v_i, v_{n+i}, w_k \in \mathbb{C} \Big\}, \end{split}$$

where  $U_{i,j} := E_{i,j} + (-1)^{i+j+1} E_{2n+2m+1-j,2n+2m+1-i} \in \mathfrak{sp}_{2n+2m}$ . For  $X \in \mathfrak{sp}_{2n+2m}$  of the above form let us define  $X_2 := e_m + \sum_{k \leq m} w_k f_m^{2k-1} \in \mathfrak{sp}_{2m}$ , viewed as the *centered*  $2m \times 2m$  block of X.

Analogously to (3), we get the following formula:

$$\overline{\rho}(\widetilde{F}_{m+1}) = \frac{1}{4} \sum_{i=1}^{2n} \{\widetilde{Q}_1, y_i\} y_i^* - \operatorname{tr} S^{2m+2} A - \sum_{0 \le j \le m-1} \overline{\Theta}_j \operatorname{tr} S^{2j+2} A.$$
(4)

Comparing the above two formulas for  $\tau'_1$ , we get the equality:

$$\sum_{i=1}^{2n} \{\widetilde{Q}_1, y_i\} y_i^* - 2\sum_j \overline{\eta}_j \operatorname{tr} S^{2j+2} A = c \cdot \overline{\rho}(\widetilde{F}_{m+1}) + p(\overline{\Theta}_0, \dots, \overline{\Theta}_{m-1}).$$

Arguments analogous to the one used in part (a) establish

$$c = 4, \ p = 0, \ \overline{\eta}_m = 2, \ \overline{\eta}_j = 2\overline{\Theta}_j, \ \forall \ j < m.$$

Part (b) follows.  $\Box$ 

Remark 4. Recalling the standard convention  $U(\mathfrak{g}, 0) = U(\mathfrak{g})$  and Example 1, we see that Theorem 7(a) (as well as Theorem 10(a)) obviously holds for m = 1 with  $e_1 := 0 \in \mathfrak{sl}_{n+1}$ .

The results of Theorems 7 and 10 can be naturally generalized to the case of the universal infinitesimal Hecke algebras of  $\mathfrak{so}_n$ . However, this requires reproving some basic results about the latter algebras, similar to those of [EGG], [DT], and is discussed separately in [T].

## 4. Consequences

In this section we use Theorem 7 to get some new (and recover some old) results about the algebras of interest. On the W-algebra side, we get presentations of  $U(\mathfrak{sl}_n, e_m)$  and  $U(\mathfrak{sp}_{2n}, e_m)$  via generators and relations (in the latter case there was no presentation known for m > 1). We get many more results about the structure and the representation theory of infinitesimal Cherednik algebras using the corresponding results on W-algebras.

Also we determine the isomorphism from Theorem 7(a) basically explicitly.

# 4.1. Centers of $H_m(\mathfrak{gl}_n)$ and $H_m(\mathfrak{sp}_{2n})$

We set s = 2 for  $\mathfrak{g} = \mathfrak{sl}_N$  and s = 1 for  $\mathfrak{g} = \mathfrak{sp}_{2N}$ . Recall the elements  $\{\widetilde{F}_i\}_{i=s}^N$ , where deg $(\widetilde{F}_i) = (3 - s)i$ . These are the free generators of the Poisson center  $\mathfrak{z}_{\text{Pois}}(S(\mathfrak{g}))$ . The Lie algebra  $\mathfrak{q} = \mathfrak{zg}(e, h, f)$  from Section 1.6 equals  $\mathfrak{gl}_n$  for  $(\mathfrak{g}, e) =$  $(\mathfrak{sl}_{n+m}, e_m)$  and  $\mathfrak{sp}_{2n}$  for  $(\mathfrak{g}, e) = (\mathfrak{sp}_{2n+2m}, e_m)$ . Thus  $\{\widetilde{Q}_j\}$  from Section 1.4 are the free generators of  $\mathfrak{z}_{\text{Pois}}(S(\mathfrak{q}))$ , and  $Q_j := \text{Sym}(\widetilde{Q}_j)$  are the free generators of  $Z(U(\mathfrak{q}))$ .

The following result is a straightforward generalization of formulas (3) and (4):

**Proposition 13.** There exist  $\{b_i\}_{i=1}^n \in S(\mathfrak{g})^{\mathrm{ad}\,\mathfrak{g}}[\overline{\rho}(\widetilde{F}_s),\ldots,\overline{\rho}(\widetilde{F}_m)]$ , such that:

$$\overline{\rho}(\widetilde{F}_{m+i}) \equiv s_{n,m}\tau_i + b_i \mod \mathbb{C}[\overline{\rho}(\widetilde{F}_s), \dots, \overline{\rho}(\widetilde{F}_{m+i-1})], \quad \forall \ 1 \le i \le n,$$

where  $s_{n,m} = (-1)^m$  for  $\mathfrak{g} = \mathfrak{gl}_n$  and  $s_{n,m} = 1/4$  for  $\mathfrak{g} = \mathfrak{sp}_{2n}$ .

Define  $t_k \in H_m(\mathfrak{gl}_n)$  by  $t_k := \sum_{i=1}^n x_i[Q_k, y_i]$  and  $t_k \in H_m(\mathfrak{sp}_{2n})$  by  $t_k := \sum_{i=1}^{2n} [Q_k, y_i] y_i^*$ . Combining Proposition 13, Theorems 5, 7 with  $\operatorname{gr}(Z(U(\mathfrak{g}, e))) = \mathfrak{z}_{\operatorname{Pois}}(\mathbb{C}[S])$  we get

**Corollary 14.** For  $\mathfrak{g}$  being either  $\mathfrak{gl}_n$  or  $\mathfrak{sp}_{2n}$ , there exist

 $C_1,\ldots,C_n\in Z(U(\mathfrak{g}))[\zeta_0,\ldots,\zeta_{m-s}],$ 

such that the center  $Z(H_m(\mathfrak{g}))$  is a polynomial algebra in free generators  $\{\zeta_i\} \cup \{t_j + C_j\}_{j=1}^n$ .

Considering the quotient of  $H_m(\mathfrak{g})$  by the ideal  $(\zeta_0 - a_0, \ldots, \zeta_{m-s} - a_{m-s})$  for any  $a_i \in \mathbb{C}$ , we see that the center of the standard infinitesimal Cherednik algebra  $H_a(\mathfrak{g})$  contains a polynomial subalgebra  $\mathbb{C}[t_1 + c_1, \ldots, t_n + c_n]$  for some  $c_j \in Z(U(\mathfrak{g}))$ .

Together with [DT, Thms. 5.1 and 7.1] this yields:

**Corollary 15.** We actually have  $Z(H_a(\mathfrak{g})) = \mathbb{C}[t_1 + c_1, \dots, t_n + c_n].$ 

For  $\mathfrak{g} = \mathfrak{gl}_n$  this is [T1, Thm. 1.1], while for  $\mathfrak{g} = \mathfrak{sp}_{2n}$  this is [DT, Conj. 7.1].

## 4.2. Symplectic leaves of Poisson infinitesimal Cherednik algebras

By Theorem 10, we get an identification of the full Poisson-central reductions of the algebras  $\mathbb{C}[S_{n,m}]$  and  $H_m^{cl}(\mathfrak{gl}_n)$  or  $H_m^{cl}(\mathfrak{sp}_{2n})$ . As an immediate consequence we obtain the following proposition, which answers a question raised in [DT]:

**Proposition 16.** Poisson varieties corresponding to arbitrary full central reductions of Poisson infinitesimal Cherednik algebras  $H_{\zeta}^{cl}(\mathfrak{g})$  have finitely many symplectic leaves.

# 4.3. Analogue of Kostant's theorem

As another immediate consequence of Theorem 7 and discussions from Section 4.1, we get a generalization of the following classical result:

# Proposition 17.

- (a) The infinitesimal Cherednik algebras  $H_{\zeta}(\mathfrak{g})$  are free over their centers.
- (b) The full central reductions of gr H<sub>ζ</sub>(g) are normal, complete intersection integral domains.

For  $\mathfrak{g} = \mathfrak{gl}_n$  this is [T2, Thm. 2.1], while for  $\mathfrak{g} = \mathfrak{sp}_{2n}$  this is [DT, Thm. 8.1].

# 4.4. Category $\mathcal{O}$ and finite dimensional representations of $H_m(\mathfrak{sp}_{2n})$

The categories  $\mathcal{O}$  for the finite W-algebras were first introduced in [BGK] and were further studied by the first author in [L3]. Namely, recall that we have an embedding  $\mathfrak{q} \subset U(\mathfrak{g}, e)$ . Let  $\mathfrak{t}$  be a Cartan subalgebra of  $\mathfrak{q}$  and set  $\mathfrak{g}_0 := \mathfrak{z}_{\mathfrak{g}}(\mathfrak{t})$ . Pick an integral element  $\theta \in \mathfrak{t}$  such that  $\mathfrak{z}_{\mathfrak{g}}(\theta) = \mathfrak{g}_0$ . By definition, the category  $\mathcal{O}$ (for  $\theta$ ) consists of all finitely generated  $U(\mathfrak{g}, e)$ -modules M, where the action of t is diagonalizable with finite dimensional eigenspaces and, moreover, the set of weights is bounded from above in the sense that there are complex numbers  $\alpha_1, \ldots, \alpha_k$ such that for any weight  $\lambda$  of M there is i with  $\alpha_i - \langle \theta, \lambda \rangle \in \mathbb{Z}_{\leq 0}$ . The category  $\mathcal{O}$ has analogues of Verma modules,  $\Delta(N^0)$ . Here  $N^0$  is an irreducible module over the W-algebra  $U(\mathfrak{g}_0, e)$ , where  $\mathfrak{g}_0$  is the centralizer of  $\mathfrak{t}$ . In the cases of interest  $((\mathfrak{g}, e) = (\mathfrak{sl}_{n+m}, e_m), (\mathfrak{sp}_{2n+2m}, e_m)), \text{ we have } \mathfrak{g}_0 = \mathfrak{gl}_n \times \mathbb{C}^{m-1}, \mathfrak{g}_0 = \mathfrak{sp}_{2n} \times \mathbb{C}^m \text{ and } \mathbb{C}^m$ e is principal in  $\mathfrak{g}_0$ . In this case, the W-algebra  $U(\mathfrak{g}_0, e)$  coincides with the center of  $U(\mathfrak{g}_0)$ . Therefore  $N^0$  is a one-dimensional space, and the set of all possible  $N^0$  is identified, via the Harish-Chandra isomorphism, with the quotient  $\mathfrak{h}^*/W_0$ , where  $\mathfrak{h}, W_0$  are a Cartan subalgebra and the Weyl group of  $\mathfrak{g}_0$  (we take the quotient with respect to the dot-action of  $W_0$  on  $\mathfrak{h}^*$ ). As in the usual BGG category  $\mathcal{O}$ , each Verma module has a unique irreducible quotient,  $L(N^0)$ . Moreover, the map  $N^0 \mapsto L(N^0)$  is a bijection between the set of finite dimensional irreducible  $U(\mathfrak{g}_0, e)$ -modules,  $\mathfrak{h}^*/W_0$ , in our case, and the set of irreducible objects in  $\mathcal{O}$ . We remark that all finite dimensional irreducible modules lie in  $\mathcal{O}$ .

One can define a formal character for a module  $M \in \mathcal{O}$ . The characters of Verma modules are easy to compute basically thanks to [BGK, Thm. 4.5(1)]. So to compute the characters of the simples, one needs to determine the multiplicities of the simples in the Vermas. This was done in [L3, Sect. 4] in the case when e is

principal in  $\mathfrak{g}_0$ . The multiplicities are given by values of certain Kazhdan-Lusztig polynomials at 1 and so are hard to compute, in general. In particular, one cannot classify finite dimensional irreducible modules just using those results.

When  $\mathfrak{g} = \mathfrak{sl}_{n+m}$ , a classification of the finite dimensional irreducible  $U(\mathfrak{g}, e)$ modules was obtained in [BK2]; this result is discussed in the next section. When  $\mathfrak{g} = \mathfrak{sp}_{2n+2m}$ , one can describe the finite dimensional irreducible representations using [L2, Thm. 1.2.2]. Namely, the centralizer of e in  $\mathrm{Ad}(\mathfrak{g})$  is connected. So, according to [L2], the finite dimensional irreducible  $U(\mathfrak{g}, e)$ -modules are in one-toone correspondence with the primitive ideals  $\mathcal{J} \subset U(\mathfrak{g})$  such that the associated variety of  $U(\mathfrak{g})/\mathcal{J}$  is  $\overline{\mathbb{O}}$ , where we write  $\mathbb{O}$  for the adjoint orbit of e. The set of such primitive ideals is computable (for a fixed central character, those are in one-to-one correspondence with certain left cells in the corresponding integral Weyl group), but we will not need details on that.

One can also describe all  $N^0 \in \mathfrak{h}^*/W_0$  such that dim  $L(N^0) < \infty$  when e is principal in  $\mathfrak{g}_0$ . This is done in [L4, 5.1]. Namely, choose a representative  $\lambda \in \mathfrak{h}^*$ of  $N^0$  that is, *antidominant* for  $\mathfrak{g}_0$ , meaning that  $\langle \alpha^{\vee}, \lambda \rangle \notin \mathbb{Z}_{>0}$  for any positive root  $\alpha$  of  $\mathfrak{g}_0$ . Then we can consider the irreducible highest weight module  $L(\lambda)$  for  $\mathfrak{g}$  with highest weight  $\lambda - \rho$ . Let  $\mathcal{J}(\lambda)$  be its annihilator in  $U(\mathfrak{g})$ ; this is a primitive ideal that depends only on  $N^0$  and not on the choice of  $\lambda$ . Then dim  $L(N^0) < \infty$ if and only if the associated variety of  $U(\mathfrak{g})/\mathcal{J}(\lambda)$  is  $\overline{\mathbb{O}}$ . The associated variety is computable thanks to results of [BV]; however, this computation requires quite a lot of combinatorics. It seems that one can still give a closed combinatorial answer for  $(\mathfrak{sp}_{2n+2m}, e_m)$  similar to that for  $(\mathfrak{sl}_{n+m}, e_m)$  but we are not going to elaborate on that.

Now let us discuss the infinitesimal Cherednik algebras. In the  $\mathfrak{gl}_n$ -case the category  $\mathcal{O}$  was defined in [T1, Def. 4.1] (see also [EGG, Sect. 5.2]). Under the isomorphism of Theorem 7(a), that category  $\mathcal{O}$  basically coincides with its W-algebra counterpart. The classification of finite dimensional irreducible modules and the character computation in that case was done in [DT], but the character formulas for more general simple modules were not known. For the algebras  $H_m(\mathfrak{sp}_{2n})$ , no category  $\mathcal{O}$  was introduced, in general; the case n = 1 was discussed in [Kh]. The classification of finite dimensional irreducible modules was not known either.

# 4.5. Finite dimensional representations of $H_m(\mathfrak{gl}_n)$

Let us compare classifications of the finite dimensional irreducible representations of  $U(\mathfrak{sl}_{n+m}, e_m)$  from [BK2] and  $H_a(\mathfrak{gl}_n)$  from [DT].

In the notation of  $[BK2]^6$ , a nilpotent element  $e_m \in \mathfrak{gl}_{n+m}$  corresponds to the partition  $(1, \ldots, 1, m)$  of n + m. Let  $S_m$  act on  $\mathbb{C}^{n+m}$  by permuting the last m coordinates. According to [BK2, Thm. 7.9], there is a bijection between the irreducible finite dimensional representations of  $U(\mathfrak{gl}_{n+m}, e_m)$  and the orbits of the  $S_m$ -action on  $\mathbb{C}^{n+m}$  containing a strictly dominant representative. An element  $\overline{\nu} =$  $(\nu_1, \ldots, \nu_{n+m}) \in \mathbb{C}^{n+m}$  is called strictly dominant if  $\nu_i - \nu_{i+1}$  is a positive integer for all  $1 \leq i \leq n$ . The corresponding irreducible  $U(\mathfrak{gl}_{n+m}, e_m)$ -representation is denoted  $L_{\overline{\nu}}$ . Viewed as a  $\mathfrak{gl}_n$ -module (since  $\mathfrak{gl}_n = \mathfrak{q} \subset U(\mathfrak{gl}_{n+m}, e_m)$ ),  $L_{\overline{\nu}} =$ 

<sup>&</sup>lt;sup>6</sup> In the loc.cit.  $\mathfrak{g} = \mathfrak{gl}_{n+m}$ , rather then  $\mathfrak{sl}_{n+m}$ . Nevertheless, it is not very crucial since  $\mathfrak{gl}_{n+m} = \mathfrak{sl}_{n+m} \oplus \mathbb{C}$ .

 $L'_{\overline{\nu}} \oplus \bigoplus_{i \in I} L'_{\eta_i}$ , where  $L'_{\eta}$  is the highest weight  $\eta$  irreducible  $\mathfrak{gl}_n$ -module,  $\overline{\nu} := (\nu_1, \ldots, \nu_n)$  and I denotes some set of weights  $\eta < \overline{\nu}$ .

Let us now recall [DT, Thm. 4.1], which classifies all irreducible finite dimensional representations of the infinitesimal Cherednik algebra  $H_a(\mathfrak{gl}_n)$ . They turn out to be parameterized by strictly dominant  $\mathfrak{gl}_n$ -weights  $\overline{\lambda} = (\lambda_1, \ldots, \lambda_n)$  (that is,  $\lambda_i - \lambda_{i+1}$  is a positive integer for every  $1 \leq i < n$ ), for which there exists a positive integer k satisfying  $P(\overline{\lambda}) = P(\lambda_1, \ldots, \lambda_{n-1}, \lambda_n - k)$ . Here P is a degree m + 1 polynomial function on the Cartan subalgebra  $\mathfrak{h}_n$  of all diagonal matrices of  $\mathfrak{gl}_n$ , introduced in [DT, Sect. 3.2]. According to [DT, Thm. 3.2] (see Theorem 18(b) below), we have  $P = \sum_{j\geq 0} w_j h_{j+1}$ , where both  $w_j$  and  $h_j$  are defined in the next section (see the notation preceding Theorem 18).

These two descriptions are intertwined by a natural bijection, sending  $\overline{\nu} = (\nu_1, \ldots, \nu_{n+m})$  to  $\overline{\lambda} := (\nu_1, \ldots, \nu_n)$ , while  $\overline{\lambda} = (\lambda_1, \ldots, \lambda_n)$  is sent to the class of  $\overline{\nu} = (\lambda_1, \ldots, \lambda_n, \nu_{n+1}, \ldots, \nu_{n+m})$  with  $\{\nu_{n+1}, \ldots, \nu_{n+m}\} \cup \{\lambda_n\}$  being the set of roots of the polynomial  $P(\lambda_1, \ldots, \lambda_{n-1}, t) - P(\overline{\lambda})$ .

# 4.6. Explicit isomorphism in the case $\mathfrak{g} = \mathfrak{gl}_n$

We compute the images of particular central elements of  $H_m(\mathfrak{gl}_n)$  and  $U(\mathfrak{sl}_{n+m}, e_m)$ under the corresponding Harish-Chandra isomorphisms. Comparison of these images enables us to determine the isomorphism  $\overline{\Theta}$  of Theorem 7(a) explicitly, in the same way as Theorem 10(a) was deduced.

Let us start from the following commutative diagram:

$$U(\mathfrak{sl}_{n+m}, e_m)_0 \xleftarrow{j_{n,m}} Z(U(\mathfrak{sl}_{n+m}, e_m))$$

$$U(\mathfrak{sl}_{n+m}, e_m)_0 \xleftarrow{\varphi^W} \downarrow$$

$$U(\mathfrak{gl}_n) \otimes U(\mathfrak{sl}_m, e_m) \xleftarrow{j_n \otimes \mathrm{Id}} Z(U(\mathfrak{gl}_n)) \otimes U(\mathfrak{sl}_m, e_m)$$

Diagram 1

In the above diagram:

•  $U(\mathfrak{sl}_{n+m}, e_m)_0$  is the 0-weight component of  $U(\mathfrak{sl}_{n+m}, e_m)$  with respect to the grading Gr.

•  $U(\mathfrak{sl}_{n+m}, e_m)^0 := U(\mathfrak{sl}_{n+m}, e_m)_0/I$ , where

 $I = (U(\mathfrak{sl}_{n+m}, e_m)_0 \cap U(\mathfrak{sl}_{n+m}, e_m)U(\mathfrak{sl}_{n+m}, e_m)_{>0}).$ 

•  $\pi$  is the quotient map, while o is an isomorphism constructed in [L3, Thm. 4.1]?

• The homomorphism  $\varpi$  is defined as  $\varpi := o \circ \pi$ , making the triangle commutative.

• The homomorphisms  $j_{n+m}$ ,  $j_n$  are the natural inclusions.

• The homomorphism  $\varphi^W$  is the restriction of  $\varpi$  to the center, making the square commutative.

<sup>7</sup> Here we actually use the fact that  $U(\mathfrak{gl}_n) \otimes U(\mathfrak{sl}_m, e_m)$  is the finite W-algebra  $U(\mathfrak{gl}_n \oplus \mathfrak{sl}_m, 0 \oplus e_m)$ .

•  $U(\mathfrak{sl}_m, e_m) \cong Z(U(\mathfrak{sl}_m, e_m)) \cong Z(U(\mathfrak{sl}_m))$  since  $e_m$  is a principal nilpotent of  $\mathfrak{sl}_m$ .

We have an analogous diagram for the universal infinitesimal Cherednik algebra of  $\mathfrak{gl}_n$ :



# DIAGRAM 2

In the above diagram:

•  $H_m(\mathfrak{gl}_n)_0$  is the degree 0 component of  $H_m(\mathfrak{gl}_n)$  with respect to the grading Gr, defined by setting  $\deg(\mathfrak{gl}_n) = \deg(\zeta_0) = \ldots = \deg(\zeta_{m-2}) = 0$ ,  $\deg(V_n) = 1$ ,  $\deg(V_n^*) = -1$ .

- $H_m(\mathfrak{gl}_n)^0$  is the quotient of  $H_m(\mathfrak{gl}_n)_0$  by  $H_m(\mathfrak{gl}_n)_0 \cap H_m(\mathfrak{gl}_n) H_m(\mathfrak{gl}_n)_{>0}$ .<sup>8</sup>
- $\pi'$  denotes the quotient map, o' is the natural isomorphism,  $\pi' := o' \circ \pi'$ .
- The inclusion  $j'_{n,m}$  is a natural inclusion of the center.
- The homomorphism  $\varphi^H$  is the one induced by restricting  $\varpi'$  to the center.

The isomorphism  $\overline{\Theta}$  of Theorem 7(a) intertwines the gradings Gr, inducing an isomorphism  $\overline{\Theta}^0$ :  $H_m(\mathfrak{gl}_n)^0 \xrightarrow{\sim} U(\mathfrak{sl}_{n+m}, e_m)^0$ . This provides the following commutative diagram:

In the above diagram:

- The isomorphism  $\vartheta$  is the restriction of the isomorphism  $\overline{\Theta}$  to the center.
- The isomorphism  $\underline{\vartheta}$  is the restriction of the isomorphism  $\overline{\Theta}^0$  to the center.

Let  $HC_N$  denote the Harish-Chandra isomorphism

$$\mathrm{HC}_N: Z(U(\mathfrak{gl}_N)) \xrightarrow{\sim} \mathbb{C}[\mathfrak{h}_N^*]^{S_N, \bullet},$$

where  $\mathfrak{h}_N \subset \mathfrak{gl}_N$  is the Cartan subalgebra consisting of the diagonal matrices and  $(S_N, \bullet)$ -action arises from the  $\rho_N$ -shifted  $S_N$ -action on  $\mathfrak{h}_N^*$  with  $\rho_N = ((N-1)/2, (N-3)/2, \ldots, (1-N)/2) \in \mathfrak{h}_N^*$ . This isomorphism has the following property:

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<sup>&</sup>lt;sup>8</sup> It is easy to see that  $H_m(\mathfrak{gl}_n)_0 \cap H_m(\mathfrak{gl}_n) H_m(\mathfrak{gl}_n)_{>0}$  is actually a two-sided ideal of  $H_m(\mathfrak{gl}_n)_0$ .

any central element  $z \in Z(U(\mathfrak{gl}_N))$  acts on the Verma module  $M_{\lambda-\rho_N}$  of  $U(\mathfrak{gl}_N)$  via  $\operatorname{HC}_N(z)(\lambda)$ .

According to Corollary 14, the center  $Z(H_m(\mathfrak{gl}_n))$  is the polynomial algebra in free generators  $\{\zeta_0, \ldots, \zeta_{m-2}, t'_1, \ldots, t'_n\}$ , where  $t'_k = t_k + C_k$ . In particular, any central element of Kazhdan degree 2(m+1) has the form  $ct'_1 + p(\zeta_0, \ldots, \zeta_{m-2})$  for some  $c \in \mathbb{C}$  and  $p \in \mathbb{C}[\zeta_0, \ldots, \zeta_{m-2}]$ .

Following [DT], we call  $t'_1 = t_1 + C_1$  the Casimir element<sup>9</sup>. An explicit formula for  $\varphi^H(t'_1)$  is provided by [DT, Thm. 3.1], while for any  $0 \le k \le m-2$  we have  $\varphi^H(\zeta_k) = 1 \otimes \zeta_k$ .

To formulate the main results about the Casimir element  $t'_1$ , we introduce:

• the generating series  $\zeta(z) = \sum_{i=0}^{m-2} \zeta_i z^i + z^m$  (already introduced in Section 1.4),

• a unique degree m+1 polynomial f(z) satisfying  $f(z) - f(z-1) = \partial^n (z^n \zeta(z))$ and f(0) = 0,

• a unique degree m+1 polynomial  $g(z) = \sum_{i=1}^{m+1} g_i z^i$  satisfying  $\partial^{n-1}(z^{n-1}g(z)) = f(z)$ ,

• a unique degree *m* polynomial  $w(z) = \sum_{i=0}^{m} w_i z^i$  satisfying

 $f(z) = (2\sinh(\partial/2))^{n-1}(z^n w(z)),$ 

• the symmetric polynomials  $\sigma_i(\lambda_1, \ldots, \lambda_n)$  via

$$(u + \lambda_1) \cdots (u + \lambda_n) = \sum \sigma_i(\lambda_1, \dots, \lambda_n) u^{n-i},$$

• the symmetric polynomials  $h_j(\lambda_1, \ldots, \lambda_n)$  via

$$(1-u\lambda_1)^{-1}\cdots(1-u\lambda_n)^{-1}=\sum h_j(\lambda_1,\ldots,\lambda_n)u^j,$$

• the central element  $H_j \in Z(U(\mathfrak{gl}_n))$  which is the symmetrization of tr  $S^j(\cdot) \in \mathbb{C}[\mathfrak{gl}_n] \cong S(\mathfrak{gl}_n)$ .

The following theorem summarizes the main results of [DT, Sect. 3]:

## Theorem 18.

- (a) [DT, Thm. 3.1]  $\varphi^H(t'_1) = \sum_{j=1}^{m+1} H_j \otimes g_j$  (where  $g_j$  are viewed as elements of  $\mathbb{C}[\zeta_0, \ldots, \zeta_{m-2}]$ ),
- (b) [DT, Thm. 3.2] (HC<sub>n</sub>  $\otimes$ Id)  $\circ \varphi^H(t'_1) = \sum_{j=0}^m h_{j+1} \otimes w_j$ .

Let  $\operatorname{HC}'_N$  denote the Harish-Chandra isomorphism  $Z(U(\mathfrak{sl}_N)) \xrightarrow{\sim} \mathbb{C}[\overline{\mathfrak{h}}_N^*]^{S_N, \bullet}$ , where  $\overline{\mathfrak{h}}_N$  is the Cartan subalgebra of  $\mathfrak{sl}_N$ , consisting of the diagonal matrices, which can be identified with  $\{(z_1, \ldots, z_N) \in \mathbb{C}^N \mid \sum z_i = 0\}$ . The natural inclusion  $\overline{\mathfrak{h}}_N \hookrightarrow \mathfrak{h}_N$  induces the map

$$\mathfrak{h}_N^* \to \overline{\mathfrak{h}}_N^* : (\lambda_1, \dots, \lambda_N) \mapsto (\lambda_1 - \mu, \dots, \lambda_N - \mu), \text{ where } \mu := \frac{\lambda_1 + \dots + \lambda_N}{N}.$$

<sup>&</sup>lt;sup>9</sup> The Casimir element is uniquely defined up to a constant.

The isomorphisms  $HC'_{n+m}$ ,  $HC'_m$ ,  $HC_n$  fit into the following commutative diagram:



DIAGRAM 4

In the above diagram:

•  $\rho$  is the isomorphism of Theorem 5.

The homomorphism φ<sup>W</sup> is defined as the composition φ<sup>W</sup> := φ<sup>W</sup> ∘ ρ.
The homomorphism φ<sup>C</sup> arises from an identification C<sup>n</sup> × C<sup>m-1</sup> ≅ C<sup>n+m-1</sup> defined by

$$(\lambda_1,\ldots,\lambda_n,\nu_1,\ldots,\nu_m)\mapsto \left(\lambda_1,\ldots,\lambda_n,\nu_1-\frac{\lambda_1+\cdots+\lambda_n}{m},\ldots,\nu_m-\frac{\lambda_1+\cdots+\lambda_n}{m}\right).$$

In particular,  $\varphi^C$  is injective, so that  $\varphi^W$  is injective and, hence,  $\varphi^H$  is injective.

Define  $\overline{\sigma}_k \in \mathbb{C}[\overline{\mathfrak{h}}_N^*]$  as the restriction of  $\sigma_k$  to  $\mathbb{C}^{N-1} \hookrightarrow \mathbb{C}^N$ . According to Lemma 12,

$$\varphi^C(\overline{\sigma}_{m+1}) = (-1)^m h_{m+1} \otimes 1 + \sum_{j=2}^m (-1)^{m-j} h_{m+1-j} \otimes 1 \cdot \varphi^C(\overline{\sigma}_j).$$
(5)

Define  $S_k \in Z(U(\mathfrak{sl}_{n+m}))$  by  $S_k := (\mathrm{HC}'_{n+m})^{-1}(\overline{\sigma}_k)$  for all  $0 \le k \le n+m$ , so that  $S_0 = 1$ ,  $S_1 = 0$ . Similarly, define  $T_k \in Z(U(\mathfrak{gl}_n))$  as  $T_k := \mathrm{HC}_n^{-1}(h_k)$  for all  $k \geq 0$ , so that  $T_0 = 1$ .

Equality (5) together with the commutativity of Diagram 4 imply

$$\overline{\varphi}^W(S_{m+1}) = (-1)^m T_{m+1} \otimes 1 + \sum_{j=2}^m (-1)^{m-j} T_{m+1-j} \otimes 1 \cdot \overline{\varphi}^W(S_j).$$

According to our proof of Theorem 7(a), we have  $\overline{\Theta}(A) = \Theta(A) + s \operatorname{tr} A$  for all  $A \in \mathfrak{gl}_n$ , where  $s = -\eta_{m-1}/(n+m)\eta_m$ . In particular,  $\underline{\vartheta}^{-1}(X \otimes 1) = \varphi_{-s}(X) \otimes 1$ for all  $X \in Z(U(\mathfrak{gl}_n))$ , where  $\varphi_{-s}$  was defined in Lemma 1.

As a consequence, we get:

$$\underline{\vartheta}^{-1}(\overline{\varphi}^W(S_{m+1})) = (-1)^m \varphi_{-s}(T_{m+1}) \otimes 1 + \sum_{j=2}^m (-1)^{m-j} \varphi_{-s}(T_{m+1-j}) \otimes 1 \cdot \underline{\vartheta}^{-1}(\overline{\varphi}^W(S_j)).$$
<sup>(6)</sup>

The following identity is straightforward:

**Lemma 19.** For any positive integer *i* and any constant  $\delta \in \mathbb{C}$  we have

$$h_i(\lambda_1 + \delta, \dots, \lambda_n + \delta) = \sum_{j=0}^i \binom{n+i-1}{j} h_{i-j}(\lambda_1, \dots, \lambda_n) \delta^j$$

As a result, we get

$$\varphi_{-s}(T_i) = \sum_{j=0}^{i} \binom{n+i-1}{j} (-s)^j T_{i-j}.$$
(7)

Combining equations (6) and (7), we get:

$$\underline{\vartheta}^{-1}(\overline{\varphi}^{W}(S_{m+1})) = (-1)^{m} T_{m+1} \otimes 1 + (-1)^{m+1} s(n+m) T_{m} \otimes 1 + \sum_{l=-1}^{m-2} (-1)^{l} T_{l+1} \otimes 1 \cdot \overline{V}_{l},$$
(8)

where  $\overline{V}_l = \underline{\vartheta}^{-1}(\overline{\varphi}^W(V_l))$  and for  $0 \le l \le m - 2$  we have

$$V_l = \sum_{0 \le j \le m-l} s^{m-l-j} \binom{n+m-j}{m-l-j} S_j.$$

On the other hand, the commutativity of Diagram 3 implies

$$\underline{\vartheta}^{-1}(\overline{\varphi}^W(S_{m+1})) = \varphi^H(\vartheta^{-1}(\rho(S_{m+1}))).$$

Recall that there exist  $c \in \mathbb{C}$ ,  $p \in \mathbb{C}[\zeta_0, \dots, \zeta_{m-2}]$  such that  $\vartheta^{-1}(\rho(S_{m+1})) = ct'_1 + p$ . As  $\varphi^H(\zeta_i) = 1 \otimes \zeta_i$  and  $\varphi^H(t'_1) = \sum_{j=0}^m T_{j+1} \otimes w_j$  (by Theorem 18(b)), we get

$$\varphi^{H}(\vartheta^{-1}(\rho(S_{m+1}))) = 1 \otimes p(\zeta_0, \dots, \zeta_{m-2}) + \sum_{0 \le j \le m} T_{j+1} \otimes cw_j.$$
(9)

Recalling the equalities  $w_m = 1, w_{m-1} = (n+m)/2$ , the comparison of (8) and (9) yields:

• The coefficients of  $T_{m+1}$  must coincide, so that  $(-1)^m = cw_m \Rightarrow c = (-1)^m$ .

• The coefficients of  $T_m$  must coincide, so that  $cw_{m-1} = (-1)^{m+1}(n+m)s \Rightarrow s = -1/2.$ 

• The coefficients of  $T_{j+1}$  must coincide for all  $j \ge 0$ , so that

$$w_j = (-1)^{m-j} \overline{V}_j \Rightarrow \vartheta(w_j) = (-1)^{m-j} \rho(V_j).$$

Recall that  $\overline{\eta}_m = 1$ , and so  $\eta_m = \overline{\eta}_m = 1$ . As a result  $s = -\eta_{m-1}/(n+m)$ , so that  $\eta_{m-1} = (n+m)/2$ .

The above discussion can be summarized as follows:

**Theorem 20.** Let  $\overline{\Theta} : H_m(\mathfrak{gl}_n) \xrightarrow{\sim} U(\mathfrak{sl}_{n+m}, e_m)$  be the isomorphism from Theorem 7(a). Then  $\overline{\Theta}(A) = \Theta(A) - \frac{1}{2} \operatorname{tr} A$ ,  $\overline{\Theta}(y) = \Theta(y)$ ,  $\overline{\Theta}(x) = \Theta(x)$ , while  $\overline{\Theta}|_{\mathbb{C}[\zeta_0, \dots, \zeta_{m-2}]}$  is uniquely determined by  $\overline{\Theta}(w_j) = (-1)^{m-j}\rho(V_j)$  for all  $0 \leq j \leq m-2$ .

## 4.7. Higher central elements

It was conjectured in [DT, Rem. 6.1], that the action of central elements  $t'_i = t_i + c_i \in Z(H_m(\mathfrak{gl}_n))$  on the Verma modules of  $H_a(\mathfrak{gl}_n)$  should be obtained from the corresponding formulas at the Poisson level (see Theorem 3) via a *basis change*  $\zeta(z) \rightsquigarrow w(z)$  and a  $\rho_n$ -shift. Actually, that is not true. However, we can choose another set of generators  $u_i \in Z(H_m(\mathfrak{gl}_n))$ , whose action is given by formulas similar to those of Theorem 3.

Let us define:

- central elements  $u_i \in Z(H_m(\mathfrak{gl}_n))$  by  $u_i := \underline{\vartheta}^{-1}(\rho(S_{m+i}))$  for all  $0 \le i \le n$ ,
- the generating polynomial

$$\widetilde{u}(t) := \sum_{i=0}^{n} (-1)^{i} u_i t^i,$$

• the generating polynomial

$$S(z) := \sum_{i=0}^{n} (-1)^{i} \underline{\vartheta}^{-1} (\overline{\varphi}^{W}(S_{m-i})) z^{i} \in \mathbb{C}[\zeta_{0}, \dots, \zeta_{m-2}; z].$$

The following result is proved using the arguments of Section 4.6:

Theorem 21. We have:

$$(\mathrm{HC}_n \otimes \mathrm{Id}) \circ \varphi^H(\widetilde{u}(t)) = (\varphi_{1/2} \otimes \mathrm{Id}) \bigg( \mathrm{Res}_{z=0} \ S(z^{-1}) \prod_{1 \le i \le n} \frac{1 - t\lambda_i}{1 - z\lambda_i} \frac{z^{-1} dz}{1 - t^{-1} z} \bigg).$$

# 5. Completions

# 5.1. Completions of graded deformations of Poisson algebras

We first recall the machinery of completions, elaborated by the first author (our exposition follows [L7]). Let Y be an affine Poisson scheme equipped with a  $\mathbb{C}^*$ -action, such that the Poisson bracket has degree -2. Let  $\mathcal{A}_{\hbar}$  be an associative flat graded  $\mathbb{C}[\hbar]$ -algebra (where deg( $\hbar$ ) = 1) such that  $[\mathcal{A}_{\hbar}, \mathcal{A}_{\hbar}] \subset \hbar^2 \mathcal{A}_{\hbar}$  and  $\mathbb{C}[Y] = \mathcal{A}_{\hbar}/(\hbar)$  as a graded Poisson algebra. Pick a point  $x \in Y$  and let  $I_x \subset \mathbb{C}[Y]$  be the maximal ideal of x, while  $\tilde{I}_x$  will denote its inverse image in  $\mathcal{A}_{\hbar}$ .

**Definition 6.** The completion of  $\mathcal{A}_{\hbar}$  at  $x \in Y$  is by definition  $\mathcal{A}_{\hbar}^{\wedge_x} := \lim_{\longleftarrow} \mathcal{A}_{\hbar} / \widetilde{I}_x^n$ .

This is a complete topological  $\mathbb{C}[[\hbar]]$ -algebra, flat over  $\mathbb{C}[[\hbar]]$ , such that  $\mathcal{A}_{\hbar}^{\wedge_x}/(\hbar) = \mathbb{C}[Y]^{\wedge_x}$ . Our main motivation for considering this construction is the decomposition theorem, generalizing the corresponding classical result at the Poisson level:

**Proposition 22** (cf. [K, Thm. 2.3]). The formal completion  $\hat{Y}_x$  of Y at  $x \in Y$  admits a product decomposition  $\hat{Y}_x = \mathcal{Z}_x \times \hat{Y}_x^s$ , where  $Y^s$  is the symplectic leaf of Y containing x and  $\mathcal{Z}_x$  is a local formal Poisson scheme.

Fix a maximal symplectic subspace  $V \subset T_x^*Y$ . One can choose an embedding  $V \stackrel{i}{\hookrightarrow} \widetilde{I}_x^{\wedge_x}$  such that  $[i(u), i(v)] = \hbar^2 \omega(u, v)$  and composition  $V \stackrel{i}{\hookrightarrow} \widetilde{I}_x^{\wedge_x} \twoheadrightarrow T_x^*Y$  is the identity map. Finally, we define  $W_{\hbar}(V) := T(V)[\hbar]/(u \otimes v - v \otimes u - \hbar^2 \omega(u, v))$ , which is graded by setting  $\deg(V) = 1$ ,  $\deg(\hbar) = 1$  (the homogenized Weyl algebra). Then we have:

**Theorem 23** ([L7, Sect. 2.1], Decomposition theorem). There is a splitting

$$\mathcal{A}_{\hbar}^{\wedge_x} \cong W_{\hbar}(V)^{\wedge_0} \widehat{\otimes}_{\mathbb{C}[[\hbar]]} \underline{\mathcal{A}}_{\hbar}',$$

where  $\underline{\mathcal{A}}_{\hbar}'$  is the centralizer of V in  $\mathcal{A}_{\hbar}^{\wedge_x}$ .

Remark 5. Recall that a filtered algebra  $\{F_i(B)\}_{i\geq 0}$  is called a *filtered deformation* of Y if  $\operatorname{gr}_{F_{\bullet}} B \cong \mathbb{C}[Y]$  as Poisson graded algebras. Given such B, we set  $\mathcal{A}_{\hbar} := \operatorname{Rees}_{\hbar}(B)$  (the Rees algebra of the filtered algebra B), which naturally satisfies all the above conditions.

This remark provides the following interesting examples of  $\mathcal{A}_{\hbar}$ :

• The homogenized Weyl algebra.

Algebra  $W_{\hbar}(V)$  from above is obtained via the Rees construction from the usual Weyl algebra. In the case  $V = V_n \oplus V_n^*$  with a natural symplectic form, we denote  $W_{\hbar}(V)$  just by  $W_{\hbar,n}$ .

• The homogenized universal enveloping algebra.

For any graded Lie algebra  $\mathfrak{g} = \bigoplus \mathfrak{g}_i$  with a Lie bracket of degree -2, we define

$$U_{\hbar}(\mathfrak{g}) := T(\mathfrak{g})[\hbar]/(x \otimes y - y \otimes x - \hbar^2[x, y] \mid x, y \in \mathfrak{g}),$$

graded by setting  $\deg(\mathfrak{g}_i) = i$ ,  $\deg(\hbar) = 1$ .

• The homogenized universal infinitesimal Cherednik algebra of  $\mathfrak{gl}_n$ .

Define  $H_{\hbar,m}(\mathfrak{gl}_n)$  as a quotient

$$H_{\hbar,m}(\mathfrak{gl}_n) := U_{\hbar}(\mathfrak{gl}_n) \ltimes T(V_n \oplus V_n^*)[\zeta_0, \dots, \zeta_{m-2}]/J,$$

where

$$J = \left( [x, x'], [y, y'], [A, x] - \hbar^2 A(x), [A, y] - \hbar^2 A(y), \\ [y, x] - \hbar^2 \left( \sum_{j=0}^{m-2} \zeta_j r_j(y, x) + r_m(y, x) \right) \right).$$

This algebra is graded by setting  $\deg(V_n \oplus V_n^*) = m + 1$ ,  $\deg(\zeta_i) = 2(m - i)$ .

• The homogenized universal infinitesimal Cherednik algebra of  $\mathfrak{sp}_{2n}$ . Define  $H_{\hbar,m}(\mathfrak{sp}_{2n})$  as a quotient

$$H_{\hbar,m}(\mathfrak{sp}_{2n}) := U_{\hbar}(\mathfrak{sp}_{2n}) \ltimes T(V_{2n})[\zeta_0, \dots, \zeta_{m-1}]/J_{2n}$$

where

$$J = \left( [A, y] - \hbar^2 A(y), [x, y] - \hbar^2 \left( \sum_{j=0}^{m-1} \zeta_j r_{2j}(x, y) + r_{2m}(x, y) \right) \right).$$

This algebra is graded by setting  $\deg(V_{2n}) = 2m + 1$ ,  $\deg(\zeta_i) = 4(m - i)$ .

• The homogenized W-algebra.

The homogenized W-algebra, associated to  $(\mathfrak{g}, e)$ , is defined by

$$U_{\hbar}(\mathfrak{g}, e) := (U_{\hbar}(\mathfrak{g})/U_{\hbar}(\mathfrak{g})\mathfrak{m}')^{\mathrm{ad}\,\mathfrak{m}}$$

There are many interesting contexts in which Theorem 23 proves to be a useful tool. Among such let us mention rational Cherednik algebras ([BE]), symplectic reflection algebras ([L5]) and W-algebras ([L1], [L7]).

Actually, combining results of [L7] with Theorem 7, we get isomorphisms

$$\Psi_m: H_{\hbar,m}(\mathfrak{gl}_n)^{\wedge_v} \xrightarrow{\sim} H_{\hbar,m+1}(\mathfrak{gl}_{n-1})^{\wedge_0} \widehat{\otimes}_{\mathbb{C}[[\hbar]]} W_{\hbar,n}^{\wedge_v}, \qquad (*)$$

$$\Upsilon_m: H_{\hbar,m}(\mathfrak{sp}_{2n})^{\wedge_v} \xrightarrow{\sim} H_{\hbar,m+1}(\mathfrak{sp}_{2n-2})^{\wedge_0} \widehat{\otimes}_{\mathbb{C}[[\hbar]]} W_{\hbar,2n}^{\wedge_v}, \qquad (\clubsuit)$$

where  $v \in V_n$  (respectively  $v \in V_{2n}$ ) is a nonzero element and  $m \ge 1$ .

These decompositions can be viewed as *quantizations* of their Poisson versions:

$$\Psi_m^{\rm cl}: H_m^{\rm cl}(\mathfrak{gl}_n)^{\wedge_v} \xrightarrow{\sim} H_{m+1}^{\rm cl}(\mathfrak{gl}_{n-1})^{\wedge_0} \widehat{\otimes}_{\mathbb{C}} W_n^{{\rm cl},\wedge_v}, \tag{*}$$

$$\Upsilon_m^{\rm cl}: H_m^{\rm cl}(\mathfrak{sp}_{2n})^{\wedge_v} \xrightarrow{\sim} H_{m+1}^{\rm cl}(\mathfrak{sp}_{2n-2})^{\wedge_0} \widehat{\otimes}_{\mathbb{C}} W_{2n}^{{\rm cl},\wedge_v}, \tag{\heartsuit}$$

where  $W_n^{\rm cl} \simeq \mathbb{C}[x_1, \dots, x_n, y_1, \dots, y_n]$  with  $\{x_i, x_j\} = \{y_i, y_j\} = 0, \{x_i, y_j\} = \delta_i^j$ .

Isomorphisms (\*) and  $(\spadesuit)$  are not unique and, what is worse, are inexplicit.

Let us point out that localizing at other points of  $\mathfrak{gl}_n \times V_n \times V_n^*$  (respectively  $\mathfrak{sp}_{2n} \times V_{2n}$ ) yields other decomposition isomorphisms. In particular, one gets [T3, Thm. 3.1]<sup>10</sup> as follows:

Remark 6. For n = 1, m > 0, consider  $e' := e_m + E_{1,2n+2} \in S_{1,m} \subset \mathfrak{sp}_{2m+2}$ , which is a subregular nilpotent element of  $\mathfrak{sp}_{2m+2}$ . The above arguments yield a decomposition isomorphism

$$H_{\hbar,m}(\mathfrak{sp}_2)^{\wedge_{E_{12}}} \xrightarrow{\sim} U_{\hbar}(\mathfrak{sp}_{2m+2}, e')^{\wedge_0} \widehat{\otimes}_{\mathbb{C}[[\hbar]]} W_{\hbar,1}^{\wedge_0}.$$

The full central reduction of  $(\clubsuit)$  provides an isomorphism of [T3, Thm. 3.1].<sup>11</sup>

In Appendix C, we establish explicitly suitably modified versions of (\*) and ( $\bigstar$ ) for the cases m = -1, 0, which do not follow from the above arguments. In particular, the reader will get a flavor of what the formulas look like.

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 $<sup>^{10}</sup>$  This result is stated in [T3]. However, its proof in the loc. cit. is wrong.

<sup>&</sup>lt;sup>11</sup>We use an isomorphism of the W-algebra  $U(\mathfrak{sp}_{2m+2}, e')$  and the non-commutative deformation of Crawley-Boevey and Holland of type  $\mathsf{D}_{m+2}$  Kleinian singularity.

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## A. Proof of Lemmas 1, 2

Proof of Lemma 1(a). Let  $\phi : H_{\zeta}(\mathfrak{gl}_n) \xrightarrow{\sim} H_{\zeta'}(\mathfrak{gl}_n)$  be a filtration preserving isomorphism. We have  $\phi(1) = 1$ , so that  $\phi$  is the identity on the 0th level of the filtration.

Since  $\mathcal{F}_2^{(N)}(H_{\zeta}(\mathfrak{gl}_n)) = \mathcal{F}_2^{(N)}(H_{\zeta'}(\mathfrak{gl}_n)) = U(\mathfrak{gl}_n)_{\leq 1}$ , we have  $\phi(A) = \psi(A) + \gamma(A)$ ,  $\forall A \in \mathfrak{gl}_n$ , with  $\psi(A) \in \mathfrak{gl}_n, \gamma(A) \in \mathbb{C}$ . Then  $\phi([A, B]) = [\phi(A), \phi(B)]$ ,  $\forall A, B \in \mathfrak{gl}_n$ , if and only if  $\gamma([A, B]) = 0$  and  $\psi$  is an automorphism of the Lie algebra  $\mathfrak{gl}_n$ . Since  $[\mathfrak{gl}_n, \mathfrak{gl}_n] = \mathfrak{sl}_n$ , we have  $\gamma(A) = \lambda \cdot \operatorname{tr} A$  for some  $\lambda \in \mathbb{C}$ . For  $n \geq 3$ ,  $\operatorname{Aut}(\mathfrak{gl}_n) = \operatorname{Aut}(\mathfrak{sl}_n) \times \operatorname{Aut}(\mathbb{C}) = (\mu_2 \ltimes \operatorname{SL}(n)) \times \mathbb{C}^*$ , where  $-1 \in \mu_2$  acts on  $\mathfrak{sl}_n$  via  $\sigma \colon A \mapsto -A^t$ . This determines  $\phi$  up to the filtration level N - 1.

Finally,  $\mathcal{F}_N^{(N)}(H_{\zeta}(\mathfrak{gl}_n)) = \mathcal{F}_N^{(N)}(H_{\zeta'}(\mathfrak{gl}_n)) = V_n \oplus V_n^* \oplus U(\mathfrak{gl}_n)_{\leq N}$ . As we just explained,  $\phi_{|U(\mathfrak{gl}_n)}$  is parameterized by  $(\epsilon, T, \nu, \lambda) \in (\mu_2 \ltimes \operatorname{SL}(n)) \times \mathbb{C}^* \times \mathbb{C}$  (no  $\mu_2$  for n = 1, 2). Let  $I_n \in \mathfrak{gl}_n$  be the identity matrix. Note that  $[I_n, y] = y, [I_n, x] = -x, [I_n, A] = 0$  for any  $y \in V_n, x \in V_n^*, A \in \mathfrak{gl}_n$ .

Since  $\phi(y) = \phi([I_n, y]) = [\nu \cdot I_n + n\lambda, \phi(y)] = \nu[I_n, \phi(y)], \forall y \in V_n$ , we get  $\nu = \pm 1$ .

Case 1:  $\nu = 1$ . Then  $\phi(y) \in V_n$ ,  $\phi(x) \in V_n^*$  ( $\forall y \in V_n, x \in V_n^*$ ). Since  $V_n \ncong V_n^\sigma$ as  $\mathfrak{sl}_n$ -modules for  $n \ge 3$  and  $\operatorname{End}_{\mathfrak{sl}_n}(V_n) = \mathbb{C}^*$ , we get  $\epsilon = 1 \in \mu_2$  (so that  $\phi(A) = TAT^{-1}, \forall A \in \mathfrak{sl}_n$ ) and there exist  $\theta_1, \theta_2 \in \mathbb{C}^*$  such that  $\phi(y) = \theta_1 \cdot T(y), \phi(x) = \theta_2 \cdot T(x)$  ( $\forall y \in V_n, x \in V_n^*$ ). Hence, we get  $\varphi(T, \lambda)(\zeta(y, x)) = \phi([y, x]) = [\phi(y), \phi(x)] = \theta\zeta'(T(y), T(x))$ , where  $\theta = \theta_1\theta_2$  and the isomorphism  $\varphi(T, \lambda) : U(\mathfrak{gl}_n) \xrightarrow{\sim} U(\mathfrak{gl}_n)$  is defined by  $A \mapsto TAT^{-1} + \lambda \operatorname{tr} A, \forall A \in \mathfrak{gl}_n$ . Thus,  $\zeta' = \theta^{-1}\varphi_\lambda(\zeta^+)$  in that case.

Case 2:  $\nu = -1$ . Then  $\phi(y) \in V_n^*$ ,  $\phi(x) \in V_n$  ( $\forall y \in V_n$ ,  $x \in V_n^*$ ). Similarly to the above reasoning we get  $\epsilon = -1$ ,  $\phi(A) = -TA^tT^{-1} + \lambda \operatorname{tr} A$  ( $\forall A \in \mathfrak{gl}_n$ ), so that there exist  $\theta_1, \theta_2 \in \mathbb{C}^*$  such that  $\phi(y_i) = \theta_1 \cdot T(x_i), \ \phi(x_j) = \theta_2 \cdot T(y_j)$ . Then  $\phi(\zeta(y_i, x_j)) = -\theta_1 \theta_2 \zeta'(T(y_j), T(x_i))$ . Hence,  $\zeta' = -\theta_1^{-1} \theta_2^{-1} \varphi_{-\lambda}(\zeta^{-1})$  in that case.

Finally, the above arguments also provide isomorphisms  $\phi_{\theta,\lambda,s} : H_{\zeta}(\mathfrak{gl}_n) \xrightarrow{\sim} H_{\theta\varphi_{\lambda}(\zeta^s)}(\mathfrak{gl}_n)$  for any deformation  $\zeta$ , constants  $\lambda \in \mathbb{C}, \theta \in \mathbb{C}^*$  and  $s \in \{\pm\}$ .  $\Box$ 

Proof of Lemma 1(b). Let  $\zeta$  be a length m deformation. Since  $(\theta\zeta)_m = \theta\zeta_m$ , we can assume  $\zeta_m = 1$ . We claim that  $\varphi_{\lambda}(\zeta)_{m-1} = 0$  for  $\lambda = -\zeta_{m-1}/(n+m)$ , which is equivalent to  $\partial \alpha_m / \partial I_n = (n+m)\alpha_{m-1}$ . This equality follows from comparing coefficients of  $s\tau^m$  in the identity

$$\sum \alpha_i(y,x)(A+sI_n)\tau^i = (1-s\tau)^{-n-1} \sum \alpha_i(y,x)(A)(\tau(1-s\tau)^{-1})^i. \quad \Box$$

Proof of Lemma 2. Let  $\phi : H_{\zeta}(\mathfrak{sp}_{2n}) \xrightarrow{\sim} H_{\zeta'}(\mathfrak{sp}_{2n})$  be a filtration preserving isomorphism. Being an isomorphism, we have  $\phi(1) = 1$ , so that  $\phi$  is the identity on the 0th level of the filtration.

Since  $\mathcal{F}_{2}^{(N)}(H_{\zeta}(\mathfrak{sp}_{2n})) = \mathcal{F}_{2}^{(N)}(H_{\zeta'}(\mathfrak{sp}_{2n})) = U(\mathfrak{sp}_{2n})_{\leq 1}$ , we have  $\phi(A) = \psi(A) + \gamma(A)$  for all  $A \in \mathfrak{sp}_{2n}$ , with  $\psi(A) \in \mathfrak{sp}_{2n}$ ,  $\gamma(A) \in \mathbb{C}$ . Then  $\phi([A, B]) = [\phi(A), \phi(B)]$ ,  $\forall A, B \in \mathfrak{sp}_{2n}$ , if and only if  $\gamma([A, B]) = 0$  and  $\psi$  is an automorphism of the Lie algebra  $\mathfrak{sp}_{2n}$ . Since  $[\mathfrak{sp}_{2n}, \mathfrak{sp}_{2n}] = \mathfrak{sp}_{2n}$ , we have  $\gamma \equiv 0$ . Meanwhile, any automorphism of  $\mathfrak{sp}_{2n}$  is inner, since  $\mathfrak{sp}_{2n}$  is a simple Lie algebra whose Dynkin diagram has no automorphisms. This proves  $\phi_{|U(\mathfrak{sp}_{2n})} = \operatorname{Ad}(T)$ ,  $T \in \operatorname{Sp}_{2n}$ . Composing

with an automorphism  $\phi'$  of  $H_{\zeta'}(\mathfrak{sp}_{2n})$ , defined by  $\phi'(A) = \operatorname{Ad}(T^{-1})(A), \phi'(x) = T^{-1}(x)$   $(A \in \mathfrak{sp}_{2n}, x \in V_{2n})$ , we can assume  $\phi_{|U(\mathfrak{sp}_{2n})} = \operatorname{Id}$ .

Recall the element  $I'_n = \text{diag}(1, \ldots, 1, -1, \ldots, -1) \in \mathfrak{sp}_{2n}$ . Since  $\operatorname{ad}(I'_n)$  has only even eigenvalues on  $U(\mathfrak{sp}_{2n})$  and eigenvalues  $\pm 1$  on  $V_{2n}$ , we actually have  $\phi(V_{2n}) \subset V_{2n}$ . Together with  $\operatorname{End}_{\mathfrak{sp}_{2n}}(V_{2n}) = \mathbb{C}^*$  this implies the result.

The converse, that is,  $H_{\zeta}(\mathfrak{sp}_{2n}) \cong H_{\theta\zeta}(\mathfrak{sp}_{2n})$  for any  $\zeta$  and  $\theta \in \mathbb{C}^*$ , is obvious.

#### B. Minimal nilpotent case

We compute the isomorphism of Theorem 7 explicitly for the case of  $e \in \mathfrak{g}$  being the minimal nilpotent. This case has been considered in detail in [P2, Sect. 4].

To state the main result we introduce some more notation. Let  $z_1, \ldots, z_{2s}$  be a Witt basis of  $\mathfrak{g}(-1)$ , i.e.,  $\omega_{\chi}(z_{i+s}, z_j) = \delta_i^j$ ,  $\omega_{\chi}(z_i, z_j) = \omega_{\chi}(z_{i+s}, z_{j+s}) = 0$  for any  $1 \leq i, j \leq s$ . We also define  $\sharp : \mathfrak{g}(0) \to \mathfrak{g}(0)$  by  $x^{\sharp} := x - \frac{1}{2}(x, h)h$ . Finally, we set  $c_0 := -n(n+1)/4$  for  $\mathfrak{g} = \mathfrak{sl}_{n+1}$  and  $c_0 := -n(2n+1)/8$  for  $\mathfrak{g} = \mathfrak{sp}_{2n}$ . Then we have the following theorem:

**Theorem 24** (cf. [P2, Thm. 6.1]). The algebra  $U(\mathfrak{g}, e)$  is generated by the Casimir element C and the subspaces  $\Theta(\mathfrak{g}_{\chi}(i))$  for i = 0, 1, subject to the following relations:

- (i)  $[\Theta_x, \Theta_y] = \Theta_{[x,y]}, \ [\Theta_x, \Theta_u] = \Theta_{[x,u]} \text{ for all } x, y \in \mathfrak{z}_{\chi}(0), u \in \mathfrak{z}_{\chi}(1);$
- (ii) C is central in  $U(\mathfrak{g}, e)$ ;
- (iii) for all  $u, v \in \mathfrak{z}_{\chi}(1)$ ,

$$\begin{split} [\Theta_u, \Theta_v] &= \frac{1}{2} (f, [u, v]) (C - \Theta_{\operatorname{Cas}} - c_0) \\ &+ \frac{1}{2} \sum_{1 \le i \le 2s} (\Theta_{[u, z_i]^{\sharp}} \Theta_{[v, z_i^*]^{\sharp}} + \Theta_{[v, z_i^*]^{\sharp}} \Theta_{[u, z_i]^{\sharp}}), \end{split}$$

where  $\Theta_{\text{Cas}}$  is a Casimir element of the Lie algebra  $\Theta(\mathfrak{z}_{\chi}(0))$ .

Our goal is to construct explicitly isomorphisms of Theorem 7 for those two cases, that is, for  $\mathfrak{g} = \mathfrak{sl}_{n+1}$ ,  $\mathfrak{sp}_{2n+2}$ , and a minimal nilpotent  $e \in \mathfrak{g}$ .

Lemma 25. Formulas

$$\widetilde{\gamma}(\zeta_0) = \frac{c_0 - C}{2}, \quad \widetilde{\gamma}(y_i) = \Theta_{E_{i,n+1}}, \quad \widetilde{\gamma}(x_i) = \Theta_{E_{n,i}}, \\ \widetilde{\gamma}(A) = \Theta_A, \quad A \in \mathfrak{gl}_n \simeq \mathfrak{z}_{\chi}(0)$$
(10)

establish the isomorphism  $H_2(\mathfrak{gl}_{n-1}) \xrightarrow{\sim} U(\mathfrak{sl}_{n+1}, E_{n,n+1})$  from Theorem 7(a).

*Proof.* Choose a natural  $\mathfrak{sl}_2$ -triple  $(e, h, f) = (E_{n,n+1}, E_{n,n} - E_{n+1,n+1}, E_{n+1,n})$  in  $\mathfrak{g} = \mathfrak{sl}_{n+1}$ . Then  $\{E_{i,n+1}, E_{ni}\}_{1 \leq i \leq n-1}$  form a basis of  $\mathfrak{z}_{\chi}(1)$ , while  $\{E_{ij}, E_{11} - E_{kk}, T_{n-1,2}\}_{1 \leq i \neq j \leq n-1}^{2 \leq k \leq n-1}$  form a basis of  $\mathfrak{z}_{\chi}(0)$ . Identifying  $\mathfrak{z}_{\chi}(1)$  with  $V_{n-1} \oplus V_{n-1}^*$ , we get an epimorphism of algebras  $\gamma : U(\mathfrak{gl}_{n-1}) \ltimes T(V_{n-1} \oplus V_{n-1}^*)[C] \twoheadrightarrow U(\mathfrak{sl}_{n+1}, E_{n,n+1})$  defined by

$$\gamma(C) = C, \quad \gamma(y_i) = \Theta_{E_{i,n+1}}, \quad \gamma(x_i) = \Theta_{E_{n,i}}, \quad \gamma(I_{n-1}) = \Theta_{T_{n-1,2}},$$
$$\gamma(A) = \Theta_A, \quad A \in \mathfrak{sl}_{n-1} \subset \mathfrak{sl}_{n+1}.$$

According to Theorem 24, its kernel  $\operatorname{Ker}(\gamma)$  is generated by

$$w \otimes w' - w' \otimes w - \frac{1}{2} \left( f, [\gamma(w), \gamma(w')] \right) \left( C - \gamma^{-1}(\Theta_{\operatorname{Cas}}) - c_0 \right) \\ - \gamma^{-1} \left( \operatorname{Sym} \sum_{1 \le i \le 2s} \Theta_{[w, z_i]^{\sharp}} \Theta_{[w', z_i^*]^{\sharp}} \right),$$

with  $w, w' \in V_{n-1} \oplus V_{n-1}^*$ ,  $\gamma^{-1}(\Theta_{\varsigma}) \in \mathfrak{gl}_{n-1} \oplus V_{n-1} \oplus V_{n-1}^*$  well-defined for  $\varsigma \in \mathfrak{z}_{\chi}(0) \oplus \mathfrak{z}_{\chi}(1)$ .

Choose the Witt basis of  $\mathfrak{g}(-1)$  as  $z_i := E_{i,n}, z_{i+s} := E_{n+1,i}, 1 \le i \le n-1 =: s$ .

- For  $w, w' \in V_{n-1}$  or  $w, w' \in V_{n-1}^*$  we just get  $w \otimes w' w' \otimes w \in \text{Ker}(\gamma)$ .
- For  $w = y_p \in V_{n-1}, w' = x_q \in V_{n-1}^*$  we get the following element of  $\operatorname{Ker}(\gamma)$ :

$$y_p \otimes x_q - x_q \otimes y_p + \frac{\delta_p^q}{2} \left( C - \gamma^{-1}(\Theta_{\text{Cas}}) - c_0 \right) - \gamma^{-1} \left( \text{Sym } \sum_{1 \le i \le 2s} \Theta_{[E_{p,n+1}, z_i]} \Theta_{[E_{nq}, z_i^*]} \right).$$

For  $1 \leq i \leq s$  we obviously have  $[E_{p,n+1}, z_i] = 0$ , while

 $[E_{p,n+1}, z_{i+s}] = E_{pi} - \delta_p^i E_{n+1,n+1} \Rightarrow [E_{p,n+1}, z_{i+s}]^{\sharp} = E_{pi} - \frac{1}{2} \delta_p^i (E_{nn} + E_{n+1,n+1}).$ A similar argument implies

$$[E_{nq}, z_{i+s}^*] = E_{iq} - \delta_q^i E_{nn} \Rightarrow [E_{nq}, z_{i+s}^*]^{\sharp} = E_{iq} - \frac{1}{2} \delta_q^i (E_{nn} + E_{n+1,n+1}).$$

Thus

$$\Theta_{[E_{p,n+1},z_{i+s}]^{\sharp}} = \gamma(E_{pi}) + \frac{1}{2} \delta_p^i \gamma(I_{n-1}), \ \Theta_{[E_{nq},z_{i+s}^*]^{\sharp}} = \gamma(E_{iq}) + \frac{1}{2} \delta_q^i \gamma(I_{n-1}),$$

so that

$$\gamma^{-1}(\operatorname{Sym} \sum \Theta_{[E_{p,n+1}, z_i]^{\sharp}} \Theta_{[E_{nq}, z_i^*]^{\sharp}}) = \operatorname{Sym}\left(\sum E_{pi} E_{iq}\right) + \operatorname{Sym}(I_{n-1} \cdot E_{pq}) + \frac{1}{4} \delta_p^q I_{n-1}^2.$$

On the other hand, since  $\gamma^{-1}(\gamma(E_{lk})^*) = E_{kl} + \frac{1}{2}\delta_k^l I_{n-1}$ , we get

$$\gamma^{-1}(\Theta_{\text{Cas}}) = \sum_{k \neq l} E_{kl} E_{lk} + \sum_{k} E_{kk}^2 + \frac{1}{2} I_{n-1}^2.$$

Let  $\widetilde{R}_{n-1} := \sum E_{ii}^2 + \frac{1}{2} \sum_{i \neq j} (E_{ii}E_{jj} + E_{ij}E_{ji})$ . Then we get  $y_p \otimes x_q - x_q \otimes y_p - \left(\frac{c_0 - C}{2} \cdot \underbrace{\delta_p^q}_{r_0(y_p, x_q)} + \underbrace{\operatorname{Sym}\left(\sum E_{pi}E_{iq} + I_{n-1} \cdot E_{pq} + \delta_p^q \widetilde{R}_{n-1}\right)}_{r_2(y_p, x_q)}\right) \in \operatorname{Ker}(\gamma)$ . This

implies the statement of the lemma.  $\hfill\square$ 

Lemma 26. Formulas

$$\widetilde{\gamma}(\xi_0) = \frac{c_0 - C}{2}, \quad \widetilde{\gamma}(y_i) = \frac{\Theta_{v_i}}{\sqrt{2}}, \quad \widetilde{\gamma}(A) = \Theta_A, \quad A \in \mathfrak{sp}_{2n} \simeq \mathfrak{z}_{\chi}(0)$$
(11)

establish the isomorphism  $H_1(\mathfrak{sp}_{2n}) \xrightarrow{\sim} U(\mathfrak{sp}_{2n+2}, E_{1,2n+2})$  from Theorem 7(b).

*Proof.* First, choose an  $\mathfrak{sl}_2$ -triple  $(e, h, f) = (E_{1,2n+2}, E_{11} - E_{2n+2,2n+2}, E_{2n+2,1})$ in  $\mathfrak{g} = \mathfrak{sp}_{2n+2}$ . Then  $\{v_k := E_{k+1,2n+2} + (-1)^k E_{1,2n+2-k}\}_{1 \leq k \leq 2n}$  form a basis of  $\mathfrak{z}_{\chi}(1)$ , while  $\mathfrak{z}_{\chi}(0) \simeq \mathfrak{sp}_{2n}$ . Identifying  $\mathfrak{z}_{\chi}(1)$  with  $V_{2n}$  via  $y_k \mapsto v_k$ , we get an algebra epimorphism

$$\begin{aligned} \gamma : U(\mathfrak{sp}_{2n}) &\ltimes T(V_{2n})[C] \twoheadrightarrow U(\mathfrak{sp}_{2n+2}, E_{1,2n+2}), \\ C &\mapsto C, \quad y_i \mapsto \Theta_{v_i}, \quad A \mapsto \Theta_A \quad (A \in \mathfrak{sp}_{2n}). \end{aligned}$$

According to Theorem 24, its kernel  $\operatorname{Ker}(\gamma)$  is generated by  $\{y_q \otimes y_p - y_p \otimes y_q - (\ldots)\}_{p,q \leq 2n}$ . Let us now compute the expression represented by the ellipsis.

Choose the Witt basis of  $\mathfrak{g}(-1)$  with respect to the form  $\omega_{\chi}$  as

$$z_i := \frac{(-1)^{i+1}}{2} (E_{2n+2-i,1} + (-1)^i E_{2n+2,i+1}),$$
  
$$z_{i+s} := E_{i+1,1} - (-1)^i E_{2n+2,2n+2-i}, \quad 1 \le i \le n =: s.$$

Since  $(f, [v_q, v_p]) = 2(-1)^q \delta_{p+q}^{2n+1}$ , the above expression in ellipsis equals to:

$$(-1)^q \delta_{p+q}^{2n+1} \left( C - \gamma^{-1}(\Theta_{\operatorname{Cas}}) - c_0 \right) + \gamma^{-1} \left( \operatorname{Sym} \left( \sum_{1 \le i \le 2s} \Theta_{[v_q, z_i]^{\sharp}} \Theta_{[v_p, z_i^*]^{\sharp}} \right) \right),$$

where  $\gamma^{-1}(\Theta_{\varsigma}) \in \mathfrak{sp}_{2n} \oplus V_{2n}$  is well-defined for any  $\varsigma \in \mathfrak{z}_{\chi}(0) \oplus \mathfrak{z}_{\chi}(1)$ , though  $\gamma$  is not injective.

For any  $1 \le k, l \le 2n, \ 1 \le j \le n$  it is easily verified that

$$[v_k, z_j] = -\frac{1}{2} (E_{k+1,j+1} - (-1)^{k+j} E_{2n+2-j,2n+2-k}) - \frac{1}{2} \delta_k^j \cdot h,$$
  
$$[v_l, z_{j+s}] = (-1)^{j+1} (E_{l+1,2n+2-j} + (-1)^{l-j} E_{j+1,2n+2-l}) + (-1)^l \delta_{l+j}^{2n+1} \cdot h,$$

so that

$$[v_k, z_j]^{\sharp} = \frac{(-1)^{k+j} E_{2n+2-j,2n+2-k} - E_{k+1,j+1}}{2},$$
  
$$[v_l, z_{j+s}]^{\sharp} = (-1)^{j+1} E_{l+1,2n+2-j} + (-1)^{l+1} E_{j+1,2n+2-l}.$$

We also have

$$\gamma^{-1}(\Theta_{\text{Cas}}) = \frac{1}{4} \sum_{i,j} (E_{j,i} + (-1)^{i+j+1} E_{2n+1-i,2n+1-j}) \times (E_{i,j} + (-1)^{i+j+1} E_{2n+1-j,2n+1-i}).$$

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On the other hand, it is straightforward to check that

$$\begin{aligned} r_0(y_q, y_p) &= (-1)^p \delta_{p+q}^{2n+1}, \\ r_2(y_q, y_p) &= \frac{(-1)^{q+1}}{4} \operatorname{Sym} \sum_{s} \left( E_{s,2n+1-q} + (-1)^{s+q} E_{q,2n+1-s} \right) \\ &\times \left( E_{p,s} + (-1)^{p+s+1} E_{2n+1-s,2n+1-p} \right) \\ &+ \frac{(-1)^p}{8} \delta_{p+q}^{2n+1} \operatorname{Sym} \sum_{i,j} \left( E_{i,j} + (-1)^{i+j+1} E_{2n+1-j,2n+1-i} \right) \\ &\times \left( E_{j,i} + (-1)^{i+j+1} E_{2n+1-i,2n+1-j} \right). \end{aligned}$$

To summarize, the kernel of the epimorphism  $\gamma$  is generated by the elements

 $\{y_q \otimes y_p - y_p \otimes y_q - (2r_2(y_q, y_p) + (c_0 - C)r_0(y_q, y_p))\}_{p,q \le 2n}.$ 

This implies the statement of the lemma.  $\hfill\square$ 

# C. Decompositions (\*) and ( $\blacklozenge$ ) for m = -1, 0

• Decomposition isomorphism  $H_{\hbar,-1}(\mathfrak{gl}_n)^{\wedge_v} \cong H'_{\hbar,0}(\mathfrak{gl}_{n-1})^{\wedge_0}\widehat{\otimes}_{\mathbb{C}[[\hbar]]}W^{\wedge_v}_{\hbar,n}$ 

Here  $H_{\hbar,0}^{'}(\mathfrak{gl}_{n-1})$  is defined similarly to  $H_{\hbar,0}(\mathfrak{gl}_{n-1})$  with an additional central parameter  $\zeta_0$  and the main relation being  $[y, x] = \hbar^2 \zeta_0 r_0(y, x)$ , while  $H_{\hbar,-1}(\mathfrak{gl}_n) := U_{\hbar}(\mathfrak{gl}_n \ltimes (V_n \oplus V_n^*))$ .

Notation: We use  $y_k$ ,  $x_l$ ,  $e_{k,l}$  when referring to the elements of  $H_{\hbar,-1}(\mathfrak{gl}_n)$  and capital  $Y_i$ ,  $X_j$ ,  $E_{i,j}$  when referring to the elements of  $H_{\hbar,0}^{'}(\mathfrak{gl}_{n-1})$ . We also use indices  $1 \leq k, l \leq n$  and  $1 \leq i, j, i', j' < n$  to distinguish between  $\leq n$  and < n. Finally, set  $v_n := (0, \ldots, 0, 1) \in V_n$ .

The following lemma establishes explicitly the aforementioned isomorphism: Lemma 27. Formulas

$$\Psi_{-1}(y_k) = z_k, \quad \Psi_{-1}(e_{n,k}) = z_n \partial_k,$$
  

$$\Psi_{-1}(e_{i,j}) = E_{i,j} + z_i \partial_j, \quad \Psi_{-1}(e_{i,n}) = z_n^{-1} Y_i - \sum_{j < n} z_n^{-1} z_j E_{i,j} + z_i \partial_n,$$
  

$$\Psi_{-1}(x_j) = X_j, \quad \Psi_{-1}(x_n) = -z_n^{-1} \zeta_0 - \sum_{p < n} z_n^{-1} z_p X_p$$

 $define \ an \ isomorphism \ \Psi_{-1}: H_{\hbar,-1}(\mathfrak{gl}_n)^{\wedge_{v_n}} \xrightarrow{\sim} H_{\hbar,0}^{'}(\mathfrak{gl}_{n-1})^{\wedge_0} \widehat{\otimes}_{\mathbb{C}[[\hbar]]} W_{\hbar,n}^{\wedge_{v_n}}.$ 

Its proof is straightforward and is left to an interested reader (most of the verifications are the same as those carried out in the proof of Lemma 28 below).

• Decomposition isomorphism  $H_{\hbar,0}(\mathfrak{gl}_n)^{\wedge_v} \cong H'_{\hbar,1}(\mathfrak{gl}_{n-1})^{\wedge_0} \widehat{\otimes}_{\mathbb{C}[[\hbar]]} W^{\wedge_v}_{\hbar,n}$ 

Here  $H'_{\hbar,1}(\mathfrak{gl}_{n-1})$  is an algebra defined similarly to  $H_{\hbar,1}(\mathfrak{gl}_{n-1})$  with an additional central parameter  $\zeta_0$  and the main relation being  $[y,x] = \hbar^2(\zeta_0 r_0(y,x) + r_1(y,x))$ . We follow analogous conventions as for variables  $y_k$ ,  $x_l$ ,  $e_{k,l}$ ,  $Y_i$ ,  $X_j$ ,  $E_{i,j}$  and indices i, j, i', j', k, l.

The following lemma establishes explicitly the aforementioned isomorphism:

Lemma 28. Formulas

$$\Psi_0(y_k) = z_k, \quad \Psi_0(e_{n,k}) = z_n \partial_k,$$
  

$$\Psi_0(e_{i,j}) = E_{i,j} + z_i \partial_j, \quad \Psi_0(e_{i,n}) = z_n^{-1} Y_i - \sum_{j < n} z_n^{-1} z_j E_{i,j} + z_i \partial_n,$$
  

$$\Psi_0(x_j) = -\partial_j + X_j, \quad \Psi_0(x_n) = -\partial_n - \sum_{i < n} z_n^{-1} z_i X_i - z_n^{-1} \left(\zeta_0 + \sum_{i < n} E_{i,i}\right)$$

define an isomorphism  $\Psi_0: H_{\hbar,0}(\mathfrak{gl}_n)^{\wedge_{v_n}} \xrightarrow{\sim} H'_{\hbar,1}(\mathfrak{gl}_{n-1})^{\wedge_0} \widehat{\otimes}_{\mathbb{C}[[\hbar]]} W^{\wedge_{v_n}}_{\hbar,n}$ . Proof. These formulas provide a homomorphism

$$H_{\hbar,0}(\mathfrak{gl}_{n})^{\wedge_{v_{n}}} \to H_{\hbar,1}^{'}(\mathfrak{gl}_{n-1})^{\wedge_{0}} \widehat{\otimes}_{\mathbb{C}[[\hbar]]} W_{\hbar,n}^{\wedge_{v_{n}}}$$

if and only if  $\Psi_0$  preserves all the defining relations of  $H_{\hbar,0}(\mathfrak{gl}_n)$ . This is quite straightforward and we present only the most complicated verifications, leaving the rest to an interested reader.

• Verification of  $[\Psi_0(e_{i,n}), \Psi_0(e_{i',j'})] = -\hbar^2 \delta^i_{j'} \Psi_0(e_{i',n})$ :

$$\begin{split} [\Psi_0(e_{i,n}), \Psi_0(e_{i',j'})] &= [z_n^{-1}Y_i - \sum_{p < n} z_n^{-1} z_p E_{i,p} + z_i \partial_n, \ E_{i',j'} + z_{i'} \partial_{j'}] \\ &= \hbar^2 \bigg( -\delta_{j'}^i z_n^{-1} Y_{i'} - z_n^{-1} z_{i'} E_{i,j'} + \delta_{j'}^i \sum_{p < n} z_n^{-1} z_p E_{i',p} \\ &+ z_n^{-1} z_{i'} E_{i,j'} - \delta_{j'}^i z_{i'} \partial_n \bigg) \\ &= -\hbar^2 \delta_{j'}^i \Psi_0(e_{i',n}). \end{split}$$

• Verification of  $[\Psi_0(e_{i,n}), \Psi_0(x_j)] = -\hbar^2 \delta_i^j \Psi_0(x_n)$ :

$$\begin{split} [\Psi_0(e_{i,n}), \Psi_0(x_j)] &= [z_n^{-1}Y_i - \sum_{1 \le q \le n-1} z_n^{-1} z_q E_{i,q} + z_i \partial_n, -\partial_j + X_j] \\ &= -\hbar^2 z_n^{-1} E_{i,j} + \delta_i^j \hbar^2 \partial_n + \delta_i^j \hbar^2 \sum_{q < n} z_n^{-1} z_q X_q + z_n^{-1} [Y_i, X_j] \\ &= -\hbar^2 z_n^{-1} E_{i,j} + \delta_i^j \hbar^2 \left( \partial_n + \sum_{q < n} z_n^{-1} z_q X_q \right) \\ &+ \hbar^2 z_n^{-1} \left( E_{i,j} + \delta_i^j \sum_{i < n} E_{i,i} + \delta_i^j \zeta_0 \right) \\ &= -\delta_i^j \hbar^2 \Psi_0(x_n). \end{split}$$

• Verification of  $[\Psi_0(e_{i,n}), \Psi_0(x_n)] = 0$ :

$$\begin{split} [\Psi_0(e_{i,n}), \Psi_0(x_n)] &= [z_n^{-1}Y_i - \sum_{p < n} z_n^{-1} z_p E_{i,p} + z_i \partial_n, \\ &\quad -\partial_n - \sum_{j < n} z_n^{-1} z_j X_j - z_n^{-1} \left(\zeta_0 + \sum_{j < n} E_{j,j}\right)] \\ &= \hbar^2 \left(\sum_{p < n} z_n^{-2} z_p E_{i,p} - z_n^{-2} Y_i + z_i z_n^{-2} \zeta_0 + z_i z_n^{-2} \sum_{j < n} E_{j,j} + z_n^{-2} Y_i - \sum_{j < n} z_j z_n^{-2} [Y_i, X_j]\right) = 0. \end{split}$$

Once homomorphism  $\Psi_0$  is established, it is easy to check that the map

$$z_k \mapsto y_k, \quad \partial_k \mapsto y_n^{-1} e_{n,k}, \quad E_{i,j} \mapsto e_{i,j} - y_i y_n^{-1} e_{n,j}, \quad \zeta_0 \mapsto -\sum_{k \le n} y_k x_k - \sum_{k \le n} e_{k,k},$$
$$X_j \mapsto x_j + y_n^{-1} e_{n,j}, \quad Y_i \mapsto \sum_{1 \le q \le n} y_q (e_{i,q} - y_i y_n^{-1} e_{n,q})$$

provides the inverse to  $\Psi_0$ . This completes the proof of the lemma.  $\Box$ 

• Decomposition isomorphism  $H_{\hbar,-1}(\mathfrak{sp}_{2n})^{\wedge_v} \cong H'_{\hbar,0}(\mathfrak{sp}_{2n-2})^{\wedge_0} \widehat{\otimes}_{\mathbb{C}[[\hbar]]} W^{\wedge_v}_{\hbar,2n}$ 

Here  $H_{\hbar,0}^{'}(\mathfrak{sp}_{2n-2})$  is defined similarly to  $H_{\hbar,0}(\mathfrak{sp}_{2n-2})$  with an additional central parameter  $\zeta_0$  and the main relation being  $[x, y] = \hbar^2 \zeta_0 r_0(x, y)$ , while  $H_{\hbar,-1}(\mathfrak{sp}_{2n}) := U_{\hbar}(\mathfrak{sp}_{2n} \ltimes V_{2n})$ .

Notation: We use  $y_k$ ,  $u_{k,l} := e_{k,l} + (-1)^{k+l+1} e_{2n+1-l,2n+1-k}$  when referring to the elements of  $H_{\hbar,-1}(\mathfrak{sp}_{2n})$  and  $Y_i$ ,  $U_{i,j} := E_{i,j} + (-1)^{i+j+1} E_{2n-1-j,2n-1-i}$  when referring to the elements of  $H'_{\hbar,0}(\mathfrak{sp}_{2n-2})$ . Note that  $\{u_{k,l}\}_{k,l\geq 1}^{k+l\leq 2n+1}$  is a basis of  $\mathfrak{sp}_{2n}$ , while  $\{U_{i,j}\}_{i,j\geq 1}^{i+j\leq 2n-1}$  is a basis of  $\mathfrak{sp}_{2n-2}$ . We use indices  $1 \leq k, l \leq 2n$  and  $1 \leq i, j \leq 2n-2$ . Finally, set  $v_1 := (1, 0, \ldots, 0) \in V_{2n}$ .

The following lemma establishes explicitly the aforementioned isomorphism:

**Lemma 29.** Define  $\psi_1(u_{k,l}) := z_k \partial_l + (-1)^{k+l+1} z_{2n+1-l} \partial_{2n+1-k}$  for all k, l. We also define

$$\psi_0(u_{1,k}) = 0, \quad \psi_0(u_{i+1,1}) = Y_i, \quad \psi_0(u_{i+1,j+1}) = U_{i,j}, \quad \psi_0(u_{2n,1}) = \zeta_0$$

Formulas  $\Upsilon_{-1}(y_k) = z_k, \Upsilon(u_{k,l}) = \psi_0(u_{k,l}) + \psi_1(u_{k,l})$  give rise to an isomorphism

$$\Upsilon_{-1}: H_{\hbar,-1}(\mathfrak{sp}_{2n})^{\wedge_{v_1}} \xrightarrow{\sim} H_{\hbar,0}^{'}(\mathfrak{sp}_{2n-2})^{\wedge_0} \widehat{\otimes}_{\mathbb{C}[[\hbar]]} W_{\hbar,2n}^{\wedge_{v_1}}.$$

The proof of this lemma is straightforward and is left to an interested reader.

• Finally, we have the case of  $\mathfrak{g} = \mathfrak{sp}_{2n}$ , m = 0.

There is also a decomposition isomorphism

$$\Upsilon_0: H_{\hbar,0}(\mathfrak{sp}_{2n})^{\wedge_v} \xrightarrow{\sim} H_{\hbar,1}(\mathfrak{sp}_{2n-2})^{\wedge_0} \widehat{\otimes}_{\mathbb{C}[[\hbar]]} W_{\hbar,2n}^{\wedge_v}.$$

This isomorphism can be made explicit, but we find the formulas quite heavy and unrevealing, so we leave them to an interested reader.

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