# **REPRESENTATIONS OF FINITE GROUPS OF LIE TYPE:** EXERCISES

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### NOTATION

Fix a prime number p and a power q of p.

 $k = \mathbb{F}_q;$  $k_d = \mathbb{F}_{q^d}.$ 

 $\nu \vdash n$  means  $\nu$  is a partition of n.

## 1. $\operatorname{GL}_n$

#### **Conjugacy classes**

1.1. Let  $A \subset \operatorname{GL}_n(k)$  be a maximal abelian subgroup whose order is prime to p. We call such A a maximal torus.

- (1) Show that A is isomorphic to  $\prod_{i=1}^{r} k_{\nu_i}^{\times}$  for a partition  $\nu = (\nu_1, \dots, \nu_r)$  of n. We say A is a maximal torus of type  $\nu$ .
- (2) If two such A, A' correspond to the same partition of n, then they are conjugate to each other inside  $\operatorname{GL}_n(k)$ .

1.2. For a partition  $\nu \vdash n$ , let  $T_{\nu} \subset \operatorname{GL}_n(k)$  be a maximal torus of type  $\nu$ . Describe the normalizer  $N(T_{\nu})$ of  $T_{\nu}$  inside  $\operatorname{GL}_n(k)$ , and the Weyl group  $W_{\nu} = N(T_{\nu})/T_{\nu}$ . Show that two elements in  $T_{\nu}$  are conjugate in  $\operatorname{GL}_n(k)$  if and only if they are in the same  $W_{\nu}$ -orbit (acting by conjugation on  $T_{\nu}$ ).

1.3. Show that there is a bijection

$$\coprod_{\nu \vdash n} T_{\nu}/W_{\nu} \leftrightarrow \{ \text{conjugacy classes in } \operatorname{GL}_n(k) \}$$

such that if the  $W_{\nu}$ -orbit of  $t \in T_{\nu}$  corresponds to the conjugacy class of  $g \in GL_n(k)$ , then t and g have the same characteristic polynomial.

1.4. Suppose  $g \in \operatorname{GL}_n(k)$  has characteristic polynomial  $\prod_f f^{m_f}$  (where f runs over monic irreducible polynomials in k[t]), and the sizes of the Jordan blocks for each f is given by a partition  $\nu(f)$  of  $m_f$ . Let Z(g) be the centralizer of g in  $GL_n(k)$ . Show that

$$#Z(g) = \prod_{f} \left( q_f^{m_f + 2n(\nu(f))} \prod_{i \ge 1} \phi_{\lambda_i(f) - \lambda_{i+1}(f)}(q_f^{-1}) \right).$$

Here

- q<sub>f</sub> = q<sup>deg(f)</sup>.
  For a partition ν = (ν<sub>1</sub> ≥ · · · ν<sub>s</sub>), we define

$$n(\nu) = \sum_{i=1}^{s} (i-1)\nu_i.$$

• 
$$\lambda(f) = (\lambda_1(f) \ge \lambda_2(f) \cdots)$$
 is the partition conjugate to  $\nu(f)$ .

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• For  $r \ge 1$  we define  $\phi_r(t)$  to be the polynomial

(1.1) 
$$\phi_r(t) = (1-t)(1-t^2)\cdots(1-t^r).$$

and, for r = 0, we define  $\phi_0(t) = 1$ .

### Hall algebras

In the following exercises, let X be a smooth algebraic curve over k. Let  $\mathbb{H}_{X,\text{tor}}$  be the Hall algebra of torsion coherent sheaves on X, with  $\mathbb{Q}$ -coefficients (in fact it suffices to consider  $\mathbb{Z}[p^{-1}]$ -coefficients).

1.5. Show that  $\mathbb{H}_{X,\text{tor}}$  is commutative and cocommutative. (Hint: use Serre duality.)

1.6. Show that the Hall algebra  $\mathbb{H}_{X,\text{tor}}$  is a bialgebra, i.e., the comultiplication  $\Delta : \mathbb{H}_{X,\text{tor}} \to \mathbb{H}_{X,\text{tor}} \otimes \mathbb{H}_{X,\text{tor}}$  is an algebra map.

1.7. Define a map  $S : \mathbb{H}_{X, \text{tor}} \to \mathbb{H}_{X, \text{tor}}$  by sending  $\mathbf{1}_M$  (the characteristic function of a torsion  $\mathcal{O}_X$ -module M) to

(1.2) 
$$S(\mathbf{1}_M) := \sum_{F_*M} (-1)^{\ell(F_*M)} \frac{\prod \# \operatorname{Aut}(\operatorname{Gr}_i^F M)}{\# \operatorname{Aut}(M)} (\prod \mathbf{1}_{\operatorname{Gr}_i^F M})$$

where the sum runs over all finite *strict* filtrations

 $F_*M: 0 = F_0M \subsetneq F_1M \subsetneq \cdots \subsetneq F_\ell M = M$ 

with  $\ell(F_*M) = \ell$ , and the last  $\prod$  in (1.2) means Hall multiplication.

Show that S is an antipode for  $\mathbb{H}_{X,\text{tor}}$ , so that  $\mathbb{H}_{X,\text{tor}}$  is a Hopf algebra. Recall that this means

- S is an involutive automorphism of  $\mathbb{H}_{X,\text{tor}}$  as a bi-algebra;
- $m \circ (1 \otimes S) \circ \Delta = i \circ \epsilon = m \circ (S \otimes 1) \circ \Delta$ , where *i* and  $\epsilon$  are the unit and counit maps.

1.8. The above construction of the antipode holds in greater generality. Let  $H = \bigoplus_{n \ge 0} H_n$  be a graded bi-algebra over a commutative ring A and that  $H_0 = A$  (with multiplication m, comultiplication  $\Delta$ , unit  $i : A \to H$  given by the inclusion of  $A = H_0$  and the counit  $\epsilon : H \to A$  given by projection to  $H_0 = A$ ). Then

- (1) There is a unique graded map  $S: H \to H$  such that  $m \circ (1 \otimes S) \circ \Delta = i \circ \epsilon$ . (Hint: inductively define S on each  $H_n$ .)
- (2) Suppose H is commutative and cocommutative, show that S is a bialgebra automorphism and that  $S^2 = id$ . Therefore in this case H has a unique structure of a Hopf algebra.
- (3) We have the following explicit formula for S. For a strict composition  $c = (n_1, \dots, n_\ell)$  of n (strict means each  $n_i > 0$ ), let  $\Delta_c : H_n \to H_{n_1} \otimes \dots \otimes H_{n_\ell}$  be the projection of the iterated comultiplication to the corresponding graded pieces; similarly, let  $m_c : H_{n_1} \otimes \dots \otimes H_{n_\ell} \to H_n$  be the multiplication map. Then we have for each  $a_n \in H_n$ ,

$$S(a_n) = \sum_c (-1)^{\ell(c)} m_c(\Delta_c(a_n))$$

where the sum is over all strict compositions of n.

(One can try to use this explicit formula to prove (2).)

1.9. Let  $x \in |X|$ , and let  $\mathbf{1}_{\operatorname{Coh}_x}$  be the constant function on  $\operatorname{Coh}_x(X)$  (torsion sheaves supported at x), viewed as an element in the completion  $\widehat{\mathbb{H}}_{X,\operatorname{tor}}$  of  $\mathbb{H}_{X,\operatorname{tor}}$  (by degree). What is  $S(\mathbf{1}_{\operatorname{Coh}_x})$ ?

### Symmetric functions

Let  $\Lambda = \mathbb{Z}[x_1, x_2, \cdots]^{S_{\infty}}$  be the graded ring of symmetric functions in the variables  $x_1, x_2, \cdots$ . We denote the elementary symmetric functions, complete symmetric functions and power sums by  $e_n, h_n$  and  $p_n$ . For example,

$$h_3 = \sum_i x_i^3 + \sum_{i < j} (x_i^2 x_j + x_i x_j^2) + \sum_{i < j < k} x_i x_j x_k.$$

 $\mathbf{2}$ 

1.10. Show that as a commutative ring,  $\Lambda$  is freely generated by the set  $\{e_n\}_{n\geq 1}$  over  $\mathbb{Z}$ ;  $\Lambda$  is freely generated by the set  $\{h_n\}_{n\geq 1}$  over  $\mathbb{Z}$ ;  $\Lambda_{\mathbb{Q}}$  is freely generated by the set  $\{p_n\}$  over  $\mathbb{Q}$ .

1.11. Define the following elements in  $\Lambda[[t]]$ :

$$E(t) = \sum_{n \ge 0} e_n t^n,$$
  

$$H(t) = \sum_{n \ge 0} h_n t^n,$$
  

$$PS(t) = \sum_{n \ge 1} \frac{p_n}{n} t^n.$$

Show that

$$E(-t)^{-1} = H(t) = \exp(PS(t)).$$

1.12. Recall  $\Lambda$  has a graded Hopf algebra structure determined by the condition that  $p_n$  be primitive. Denote the corresponding comultiplication by  $\Delta$ . Show that

$$\Delta(e_n) = \sum_{i=0}^n e_i \otimes e_{n-i},$$
$$\Delta(h_n) = \sum_{i=0}^n h_i \otimes h_{n-i}.$$

1.13. Show that the antipode S of  $\Lambda$  maps  $e_n$  to  $(-1)^n h_n$ , for all n.

### Symmetric groups

1.14. Let  $\mathbf{R} = \bigoplus_{n \ge 0} R(S_n)$ , the direct sum of Grothendieck groups of  $\mathbb{C}$ -representations of all symmetric groups  $S_n$  (where  $S_0$  is by definition the trivial group).

(1) Equip **R** with the structure of a Hopf algebra using induction along  $S_n \times S_m \hookrightarrow S_{n+m}$ .

(2) Let S be the antipode on **R**. Show that, for an irreducible representation  $\rho$  of  $S_n$ , we have

(1.3) 
$$S(\rho) = \pm \rho \otimes \operatorname{sgn}_n$$

and figure out the sign above. Here  $\operatorname{sgn}_n$  is the sign character of  $S_n$ .

Let  $\Phi_1: \Lambda \to \mathbf{R}$  be the graded ring homomorphism sending  $h_n$  to the trivial representation of  $S_n$ .

1.15. Show that  $\Phi_1$  is an isomorphism of Hopf algebras.

1.16. Show that

(1.4) 
$$\Phi_1(e_n) = \operatorname{sgn}_n \in R(S_n), \quad n \ge 1.$$

1.17. Show that  $\Phi_1(p_n) \in R(S_n)$  has nonzero character value only on the class of cyclic permutations  $(12 \cdots n)$ , and compute this value.

### Hall algebra and symmetric functions

Let  $\mathbb{H}_q$  be the Hall algebra (with  $\mathbb{Q}$ -coefficients) of torsion coherent sheaves on the algebraic curve  $\mathbb{G}_m$ supported at the point 1. For a partition  $\lambda$ , let  $\mathbf{1}_{\lambda} \in \mathbb{H}_q$  be the characteristic function on the torsion modules with Jordan type  $\lambda$ . For example,  $\lambda = (1^n)$  means  $k^n$  and  $\lambda = (n)$  means  $k[t, t^{-1}]/(t-1)^n$ .

Define a ring homomorphism

(1.5) 
$$\Phi_q: \Lambda_{\mathbb{Q}} \to \mathbb{H}_q$$

$$\Phi_q(e_n) = q^{n(n-1)/2} \mathbf{1}_{(1^n)}$$

1.18. Show that  $\Phi_q$  is an isomorphism of Hopf algebras over  $\mathbb{Q}$ .

1.19. Show that

$$\begin{array}{lll} \Phi_q(h_n) & = & \displaystyle \sum_{\lambda \vdash n} \mathbf{1}_{\lambda}; \\ \Phi_q(p_n) & = & \displaystyle \sum_{\lambda \vdash n} \phi_{r(\lambda)-1}(q) \mathbf{1}_{\lambda}. \end{array}$$

Here  $r(\lambda)$  denotes the number of parts in the partition  $\lambda$ ; see (1.1) for the definition of  $\phi_r(t)$ .

#### Groupoids and inertia

Let X be a groupoid and let  $\mathcal{F} \in Sh(X)$ , the category of sheaves on X in abelian groups (i.e., such a sheaf is the same as a functor from X to the category of abelian groups). Define the global sections and global sections with compact support of  $\mathcal{F}$  to be

$$\Gamma(X,\mathcal{F}) := \prod_{x \in \operatorname{Ob}(X)/\cong} (\mathcal{F}_x)^{\operatorname{Aut}(x)};$$
  
$$\Gamma_c(X,\mathcal{F}) := \bigoplus_{x \in \operatorname{Ob}(X)/\cong} (\mathcal{F}_x)_{\operatorname{Aut}(x)}.$$

Let  $f: X \to Y$  be a map between groupoids, define  $f_*: \operatorname{Sh}(X) \to \operatorname{Sh}(Y)$  by the formula

$$(f_*\mathcal{F})_y = \Gamma(X_y, \mathcal{F}|_{X_y})$$

where  $X_y = f^{-1}(y)$  is the fiber of f over y (a groupoid), and  $\mathcal{F}|_{X_y}$  is the restriction of  $\mathcal{F}$  to  $X_y$ . Similarly define  $f_! : \operatorname{Sh}(X) \to \operatorname{Sh}(Y)$  by the formula

$$(f_!\mathcal{F})_y = \Gamma_c(X_y, \mathcal{F}|_{X_y}).$$

We also have the obvious pullback functor

$$f^* : \operatorname{Sh}(Y) \to \operatorname{Sh}(X).$$

1.20. Let  $\varphi : G \to H$  be a group homomorphism, and let  $f : \text{pt}/G \to \text{pt}/H$  be the induced map of groupoids. Identify Sh(pt/G) with Rep(G), and Sh(pt/H) with Rep(H).

- (1) Show that  $f^*$  is the restriction functor  $\operatorname{Rep}(H) \to \operatorname{Rep}(G)$ .
- (2) Show that  $f_*$  is the composition

$$\operatorname{Rep}(G) \xrightarrow{(-)^K} \operatorname{Rep}(\varphi(G)) \xrightarrow{\operatorname{Ind}_{\varphi(G)}^H} \operatorname{Rep}(H)$$

where  $K = \ker(\varphi)$ .

(3) Show that  $f_!$  is the composition

$$\operatorname{Rep}(G) \xrightarrow{(-)_K} \operatorname{Rep}(\varphi(G)) \xrightarrow{\operatorname{ind}_{\varphi(G)}^H} \operatorname{Rep}(H)$$

Here  $\operatorname{ind}_{\varphi(G)}^{H} = \mathbb{Z}[H] \otimes_{\mathbb{Z}[\varphi(G)]} (-).$ 

1.21. If  $f: X \to Y$  and  $g: Y \to Z$  are maps between groupoids, then there are canonical isomorphisms of functors

$$(g \circ f)_* = g_* \circ f_* : \operatorname{Sh}(X) \to \operatorname{Sh}(Z),$$
$$(g \circ f)_! = g_! \circ f_! : \operatorname{Sh}(X) \to \operatorname{Sh}(Z)$$

1.22. Let  $f: X \to Y$  be a map between groupoids. Show that  $f_!$  is left adjoint to  $f^*$ , and  $f_*$  is right adjoint to  $f^*$ .

1.23. Let X be a groupoid and let  $I_X$  be its inertia groupoid. Let  $\operatorname{Sh}_f(X, \mathbb{Q})$  be the category of  $\mathbb{Q}$ -sheaves on X with finite-dimensional stalks. Define a natural additive map

$$\chi_X : \operatorname{Sh}_{fd}(X, \mathbb{Q}) \to \operatorname{Fun}(I_X, \mathbb{Q})$$

where  $\operatorname{Fun}(I_X, \mathbb{Q})$  denotes vector space of  $\mathbb{Q}$ -valued functions on the set of isomorphism classes of  $I_X$ .

1.24. Let  $f: X \to Y$  is a finite map of groupoids.

(1) Show that the induced map  $I_f: I_X \to I_Y$  is also finite, so that the "integration along the fibers" is defined

$$f_{!}: \operatorname{Fun}(I_X, \mathbb{Q}) \to \operatorname{Fun}(I_Y, \mathbb{Q}).$$

(2) Show that the following diagram is commutative

$$\begin{aligned} \operatorname{Sh}_{fd}(X,\mathbb{Q}) & \xrightarrow{\chi_X} \operatorname{Fun}(I_X,\mathbb{Q}) \\ & \downarrow^{f_1} & \downarrow^{f_2} \\ \operatorname{Sh}_{fd}(Y,\mathbb{Q}) & \xrightarrow{\chi_Y} \operatorname{Fun}(I_Y,\mathbb{Q}) \end{aligned}$$

#### Hecke algebras

1.25. Let  $\operatorname{Ind}_n$  be the induction of the trivial rep from  $B_n(k)$  (upper triangular matrices) to  $\operatorname{GL}_n(k)$ . Define the Hecke algebra to be

$$H_q(S_n) := \operatorname{End}_{\operatorname{GL}_n(k)}(\operatorname{Ind}_n)$$

The notation suggests that  $H_q(S_n)$  is a q-deformation of the group algebra of  $S_n$ .

- (1) Show that  $H_q(S_n)$  is a semisimple algebra (over  $\mathbb{C}$ ).
- (2) Show that the irreducible  $H_q(S_n)$ -modules are in natural bijection with irreducible  $GL_n(k)$ representations that appear in  $Ind_n$ .
- (3) There is a natural embedding of algebras

$$H_q(S_n) \otimes H_q(S_m) \hookrightarrow H_q(S_{n+m})$$

deforming the standard embedding  $S_n \times S_m \hookrightarrow S_{n+m}$ .

1.26. Let  $R_{\text{uni},n} \subset R(\text{GL}_n(k))$  be the  $\mathbb{Z}$ -span of irreducible summands of  $\text{Ind}_n$  for various n. Then by the previous exercise, we may identify  $R_{\text{uni},n}$  with  $R(H_q(S_n))$ , the Grothendieck group of finite-dimensional  $H_q(S_n)$ -modules. The Hopf algebra structure on  $R_{\text{uni}} = \bigoplus_{n \geq 0} R_{\text{uni},n}$  induces a Hopf algebra structure on  $\bigoplus_{n \geq 0} R(H_q(S_n))$ . Can you describe this latter Hopf algebra structure directly in terms of  $H_q(S_n)$ -modules?

### Semisimple functions

We are in the setting of Hall algebra  $\mathbb{H}_{X,\text{tor}}$  for torsion coherent sheaves on a curve X. Let  $\text{Div}^+(X)$  be the set of effective divisors on X. Then we have the support map (with finite fibers)

$$\pi : \operatorname{Coh}_{\operatorname{tor}}(X) \to \operatorname{Div}^+(X).$$

Hence a pullback map on functions

$$\pi^* : C_c(\operatorname{Div}^+(X)) \to \mathbb{H}_{X, \operatorname{tor}}.$$

The elements in the image of  $\pi^*$  are called *semisimple functions*.

We sometimes also consider pullback of functions without support conditions

 $\pi^*: C(\mathrm{Div}^+(X)) \to \widehat{\mathbb{H}}_{X,\mathrm{tor}} = C(\mathrm{Coh}_{\mathrm{tor}}(X)).$ 

and we also call its image semisimple functions.

1.27. Let add :  $\operatorname{Div}^+(X) \times \operatorname{Div}^+(X) \to \operatorname{Div}^+(X)$  be the addition map on effective divisors. Show that for  $f \in C_c(\operatorname{Div}^+(X))$ , we have

$$\Delta(\pi^* f) = (\pi \times \pi)^* \text{add}^*(f) \in C_c(\text{Coh}_{\text{tor}}(X) \times \text{Coh}_{\text{tor}}(X)) = \mathbb{H}_{X,\text{tor}} \otimes \mathbb{H}_{X,\text{tor}}.$$

In particular, semisimple functions form a sub-coalgebra of  $\mathbb{H}_{X,\text{tor}}$ .

1.28. Show, on the other hand, that semisimple functions do not form a subalgebra of  $\mathbb{H}_{X,\text{tor}}$ .

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EXERCISES

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1.29. Suppose  $f \in \widehat{\mathbb{H}}_{X,\text{tor}}$  is an *additive function*, namely, for any short exact sequence  $0 \to M' \to M \to M'' \to 0$  in  $\operatorname{Coh}_{\operatorname{tor}}(X)$ , we have f(M) = f(M') + f(M'').

- (1) Show that f is a semisimple function.
- (2) Show that

$$\Delta(f) = f \otimes \mathbf{1}_{\mathrm{Coh}} + \mathbf{1}_{\mathrm{Coh}} \otimes f.$$

Here  $\mathbf{1}_{\text{Coh}}$  is the constant function 1 on  $\text{Coh}_{\text{tor}}(X)$  (Warning:  $\mathbf{1}_{\text{Coh}}$  is not the unit of  $\mathbb{H}_{X,\text{tor}}$ ).

- (3) Show that  $fS(\mathbf{1}_{Coh})$  is a primitive element in  $\widehat{\mathbb{H}}_{X,tor}$ . Here S is the antipode of  $\mathbb{H}_{X,tor}$ .
- (4) Let  $x \in |X|$  (with residue field k(x)) and let  $\ell_x \in \mathbb{H}_x = C(\operatorname{Coh}_x(X))$  be the additive function given by  $\ell_x(M) = \dim_{k(x)} M$ . Let  $\mathbf{1}_{\operatorname{Coh}_x}$  be the constant function on  $\operatorname{Coh}_x(X)$ . Recall the isomorphism (see (1.5))

$$\Phi_x:\Lambda_\mathbb{Q}\cong\mathbb{H}_x$$

sending  $e_n$  to  $q_x^{n(n-1)/2} \mathbf{1}_{k(x)^n}$ .

Show that  $\Phi_x(p_n)$  is the degree nd(x) piece of  $\ell_x S(\mathbf{1}_{Coh_x}) \in \widehat{\mathbb{H}}_x$ .

## 2. Deligne-Lusztig theory

2.1. Let  $G = SL_2(k)$  for  $k = \mathbb{F}_q$  with odd q. Let T be a non-split maximal torus in G (so that  $T(k) = (\mathbb{F}_{q^2}^{\times})^{Nm=1}$ ). Let  $\epsilon : T(k) \to \{\pm 1\}$  be the quadratic character of T(k). Consider Deligne-Lusztig curve  $C \subset \mathbb{A}^2$  defined by

$$C: xy^q - x^q y = 1.$$

(1) Describe the action of T(k) on C.

The Delgine-Lusztig representation  $R_T^{\epsilon}$  attached to T and  $\epsilon$  is

$$V = \mathrm{H}^{1}_{c}(C, \overline{\mathbb{Q}}_{\ell})[\epsilon]$$

(the  $\epsilon$ -isotypic part of the T(k)-action.) We will decompose V into the direct sum of two irreducible representations of G(k) by studying how the Frobenius F acts on it.

(2) Let  $\overline{C}$  be the closure of C in  $\mathbb{P}^2$ . Show that T(k) acts trivially on the boundary  $\overline{C} - C$ , and conclude that

$$V \cong \mathrm{H}^1(\overline{C}, \overline{\mathbb{Q}}_\ell)[\epsilon]$$

as G(k)-modules.

(3) Let  $\overline{C'}$  be the base change of C to  $\mathbb{F}_{q^2}$  and let  $\overline{C'}$  be the corresponding projective curve. Show that  $\overline{C'}$  is isomorphic to the Fermat curve of degree q + 1 in  $\mathbb{P}^2$  (over  $\mathbb{F}_{q^2}$ ):

$$\overline{C'}: u^{q+1} + v^{q+1} + w^{q+1} = 0.$$

- (4) Prove, by counting the number of points in  $\overline{C'}$ , that the eigenvalues of  $F^2$  on V are all equal to -q.
- (5) Let  $\alpha$  and  $\beta$  be two square roots of -q in  $\overline{\mathbb{Q}}_{\ell}$ , and let  $V_{\alpha}$  and  $V_{\beta}$  be the corresponding generalized eigenspaces. Show that under the cup product pairing on  $\mathrm{H}^1(\overline{C}, \overline{\mathbb{Q}}_{\ell})[\epsilon]$ ,  $V_{\alpha}$  and  $V_{\beta}$  are isotropic and are paired perfectly with each other.
- (6) Show that dim  $V_{\alpha} = \dim V_{\beta} = \frac{1}{2} \dim V = \frac{1}{2}(q-1).$
- (7) By computing the inner product  $\langle V, V \rangle_{G(k)}^2$ , show that  $V_{\alpha}$  and  $V_{\beta}$  are irreducible and non-isomorphic to each other.

### References

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